



Perioperative immunotherapy in early-stage lung cancer

Jonathan Spicer, MD PhD FRCSC

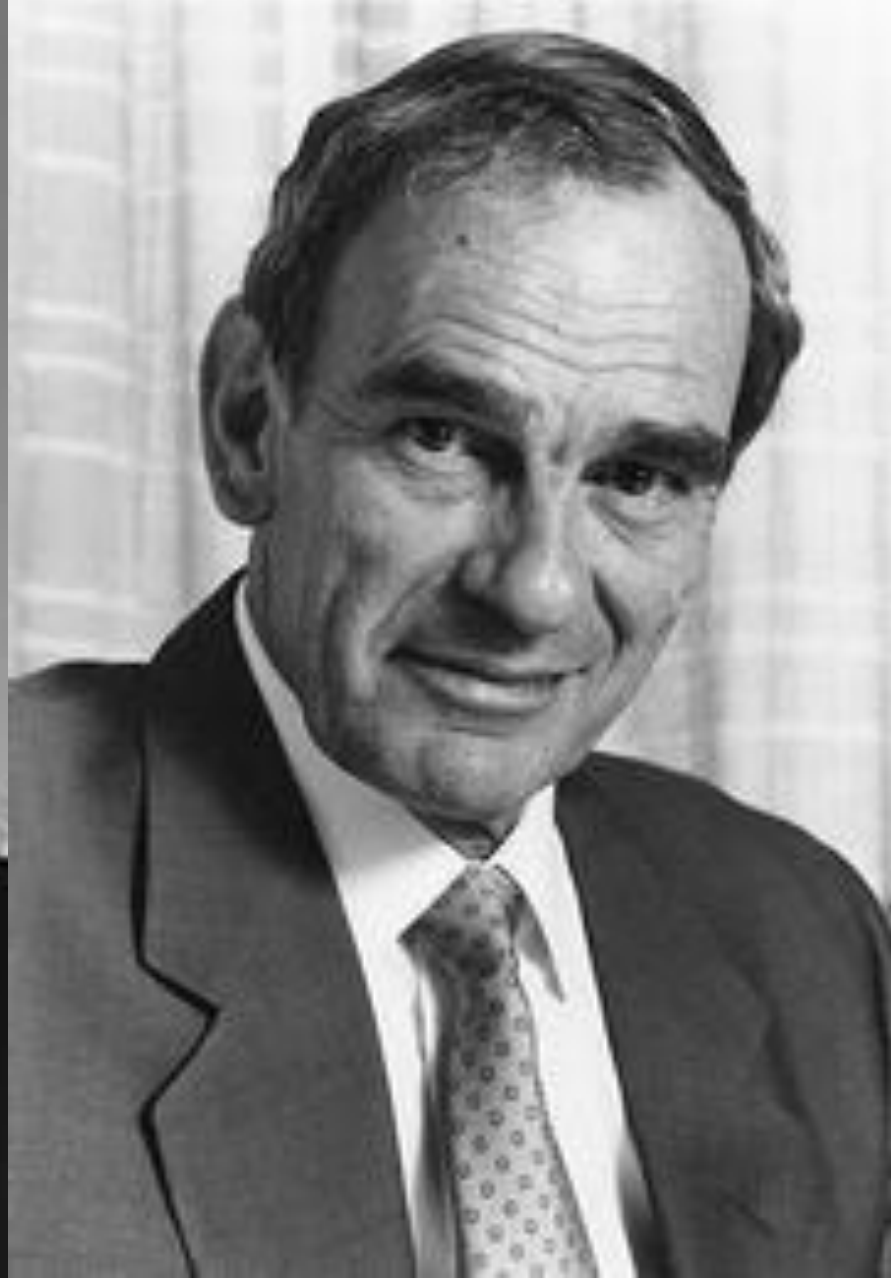


McGill

Department of
Surgery

Conflicts of interest

| Commercial Interest | Relationship(s) |
|--|--|
| AstraZeneca, Merck, Roche, BMS, Novartis, Chemocentryx, Amgen, Protalix Biotherapeutics, Xenetic Biosciences, Regeneron, Eisai, Peerview, OncLive, Medscape, Pfizer, Foresight Diagnostics | Consulting, advisory role or honoraria |
| AstraZeneca, BMS, Merck, Roche, CLS Therapeutics, Protalix Biotherapeutics, Pfizer, Regeneron | Grant to institution |
| BMS, Novartis, Roche, Merck, AstraZeneca | Clinical trial leadership role |



A SYSTEM FOR THE CLINICAL STAGING OF LUNG CANCER*

By CLIFTON F. MOUNTAIN, M.D.,† DAVID T. CARR, M.D.,‡
 and W. A. D. ANDERSON, M.D.§
 HOUSTON, TEXAS; ROCHESTER, MINNESOTA; AND MIAMI, FLORIDA

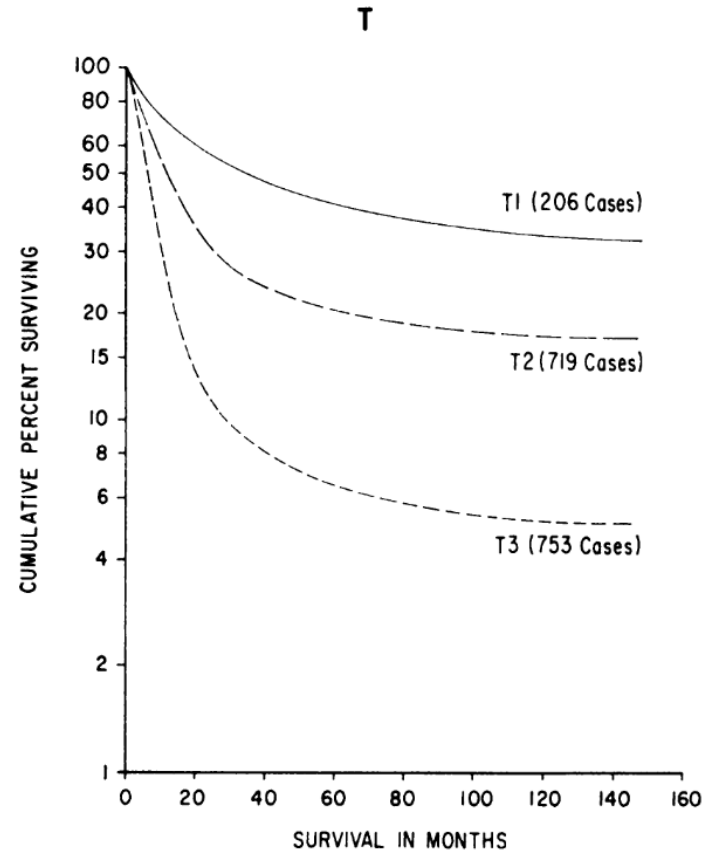
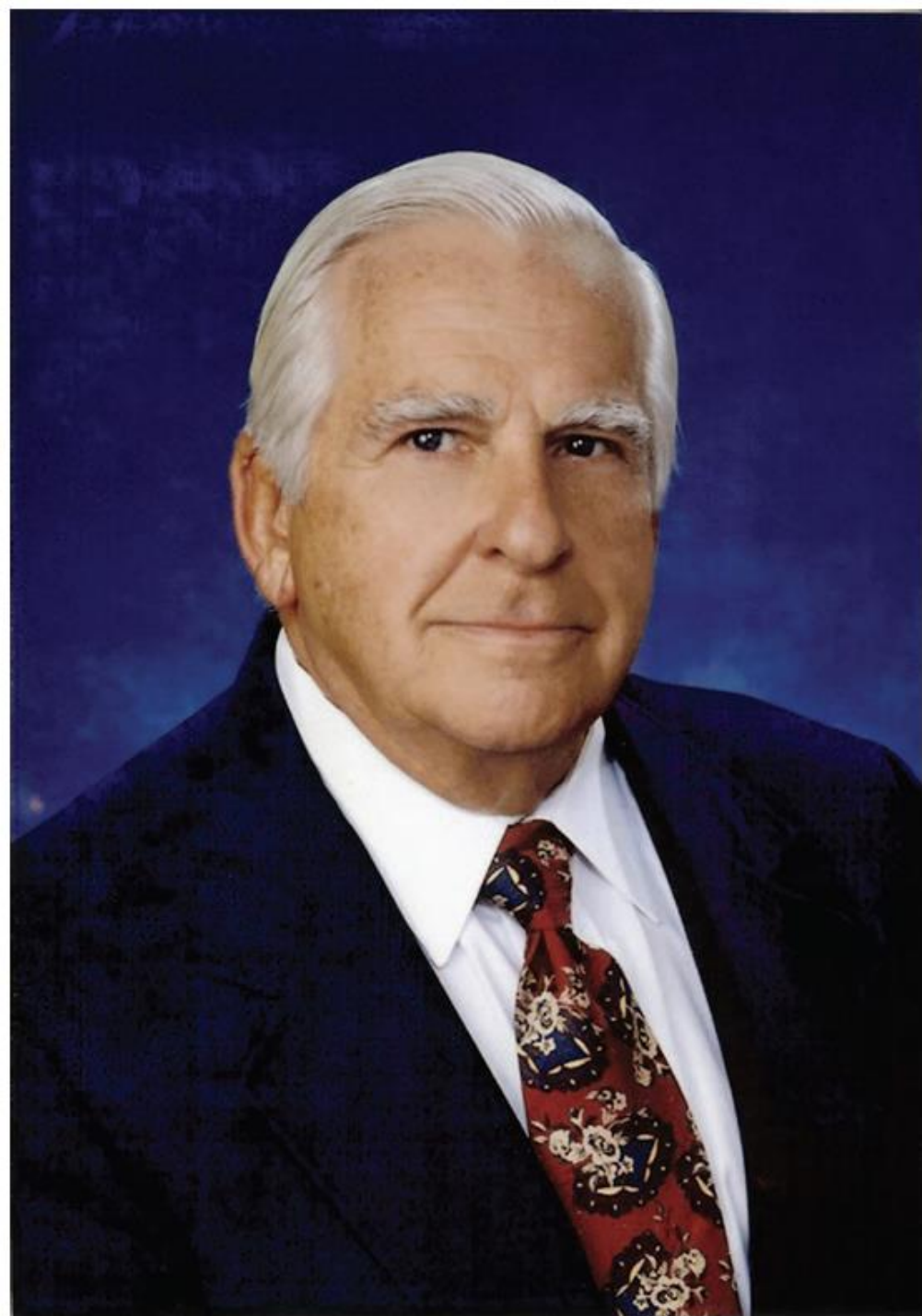


FIG. 2. Survival in lung cancer stratified by the anatomic extent of the primary tumor (T factor), excluding undifferentiated small cell carcinoma.

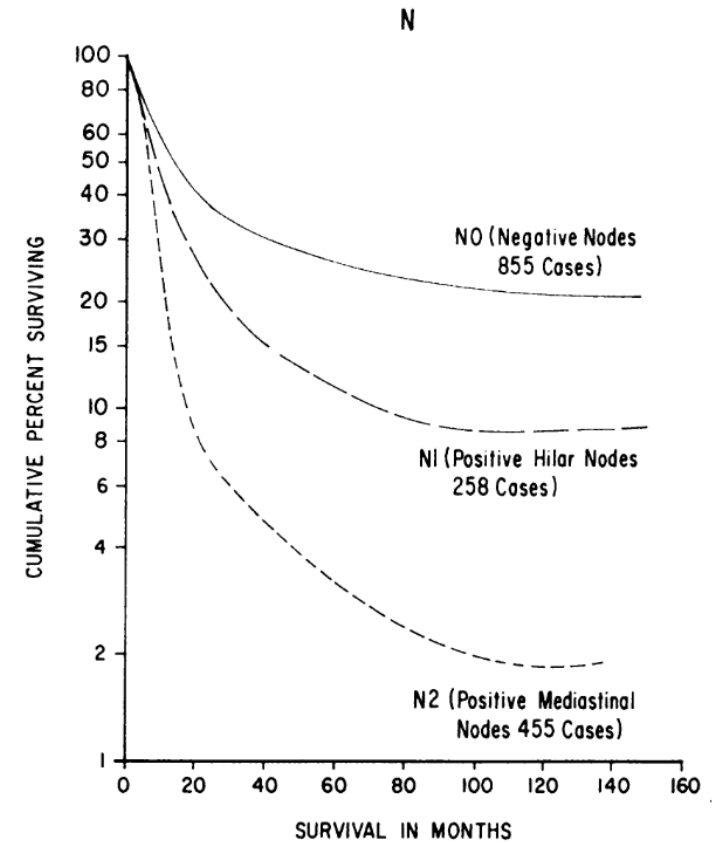
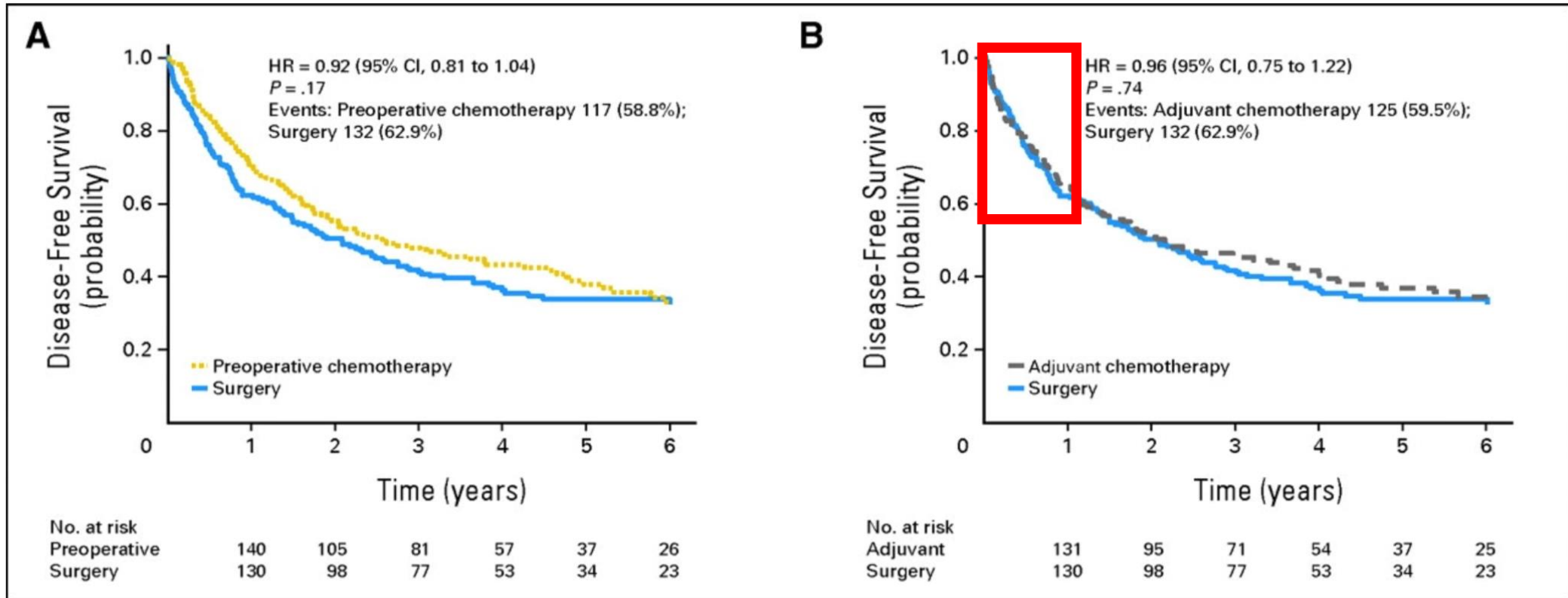


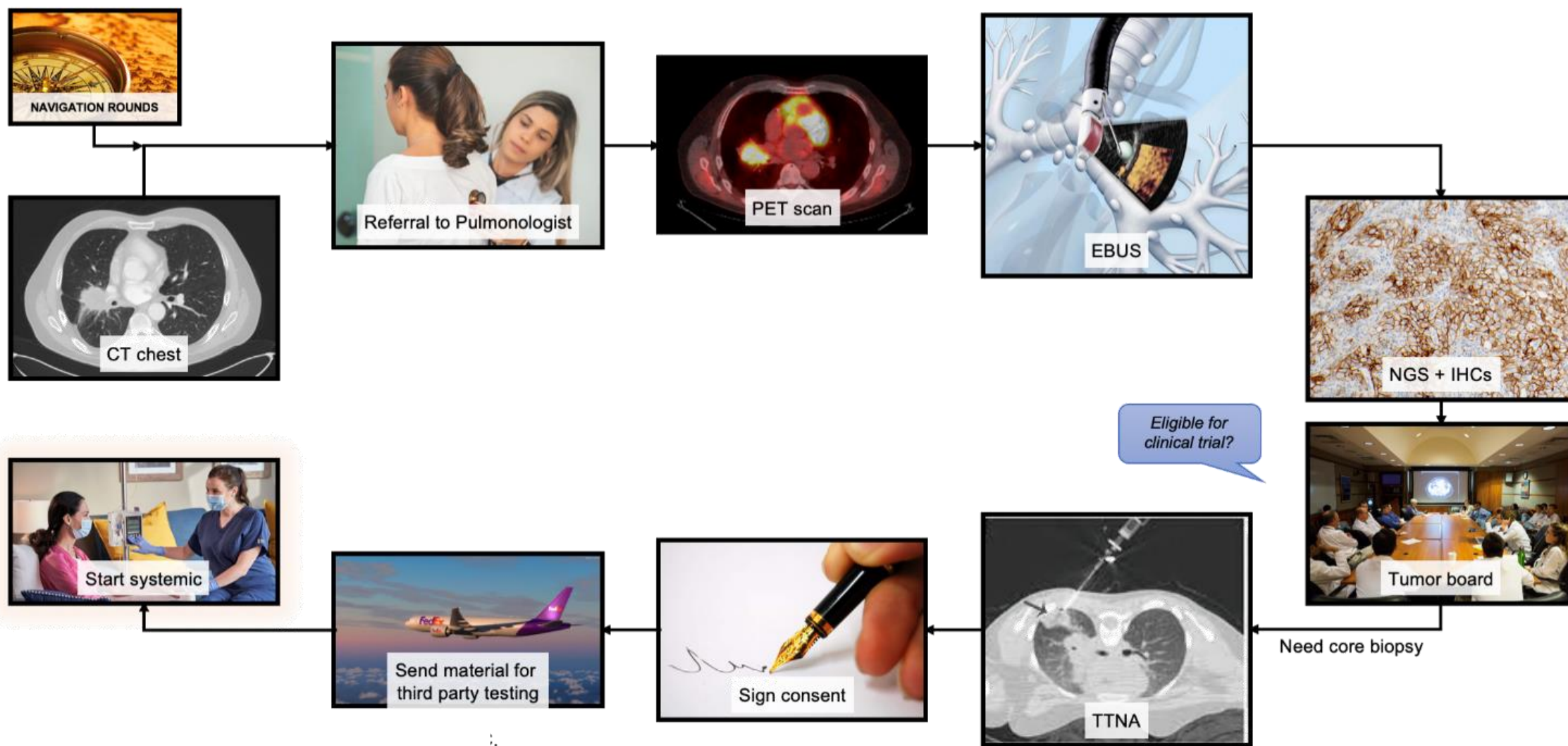
FIG. 3. Survival in lung cancer stratified by the extent of regional lymph node involvement (N factor), excluding undifferentiated small cell carcinoma.

What do we know about surgery for locally advanced NSCLC?

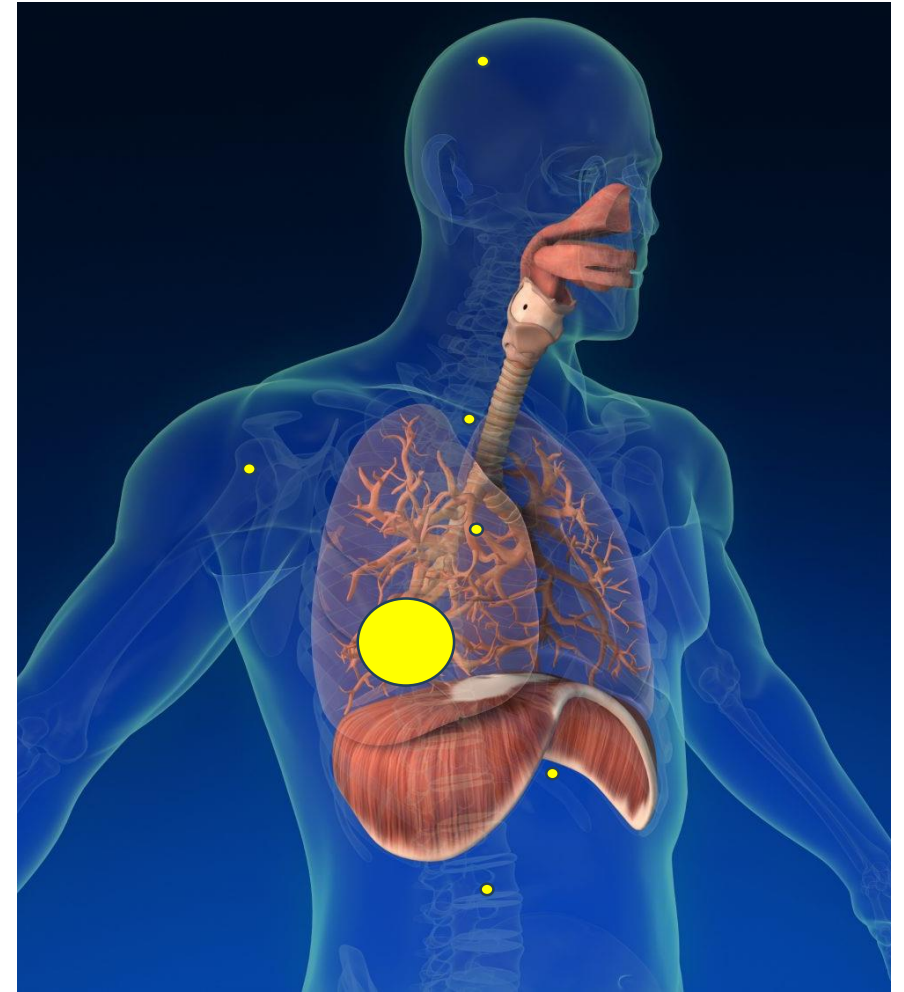
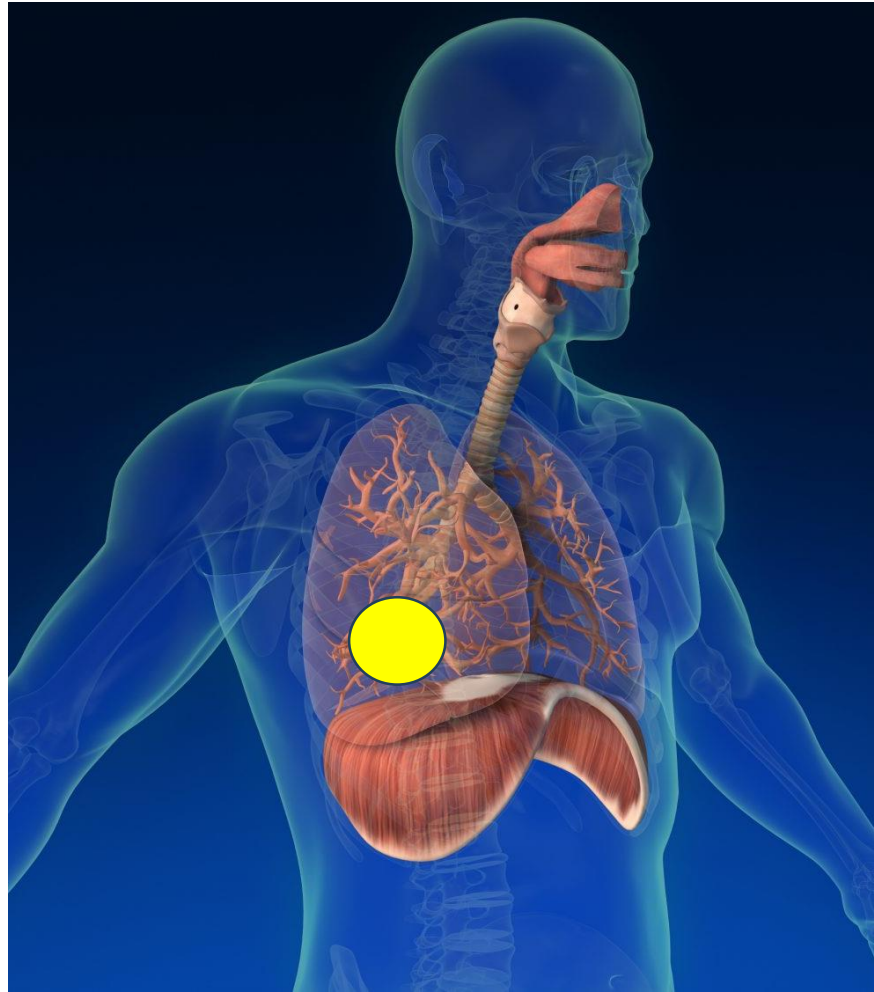


Felip et al, JCO 2010

Establishing TNM stage is a complex endeavour

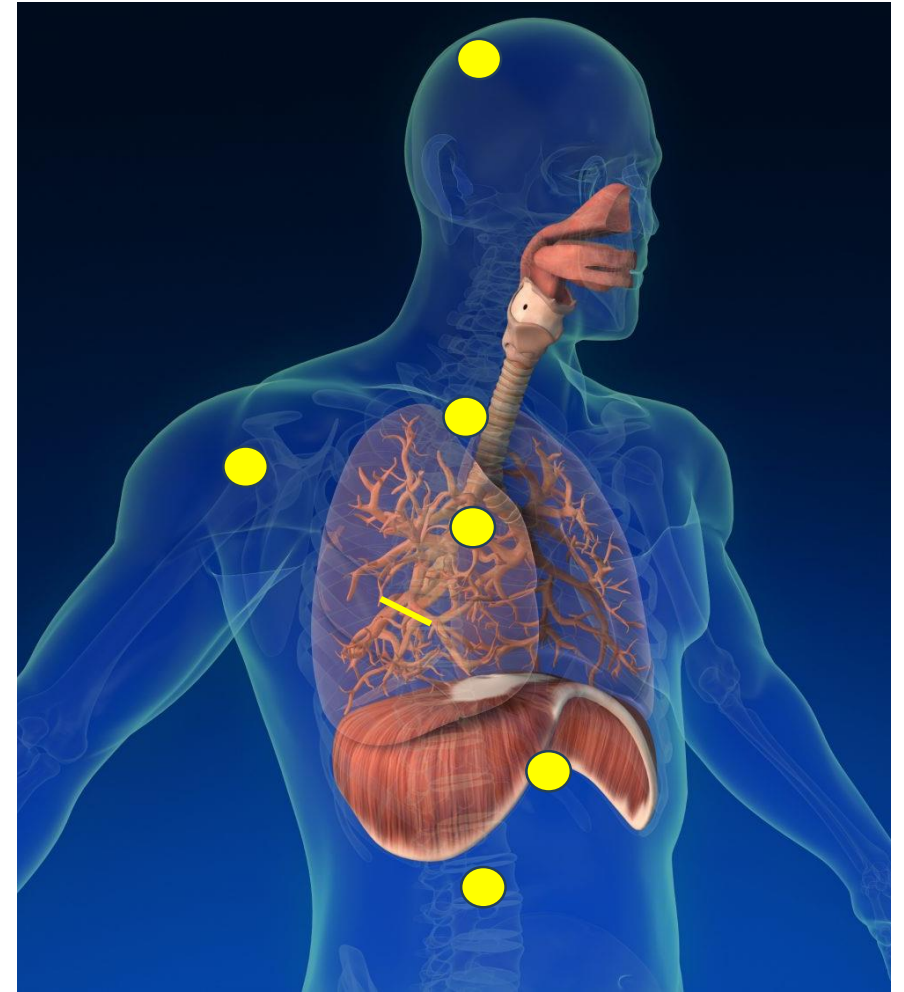


Despite our best efforts, current tech yields two indistinguishable patients in our clinics



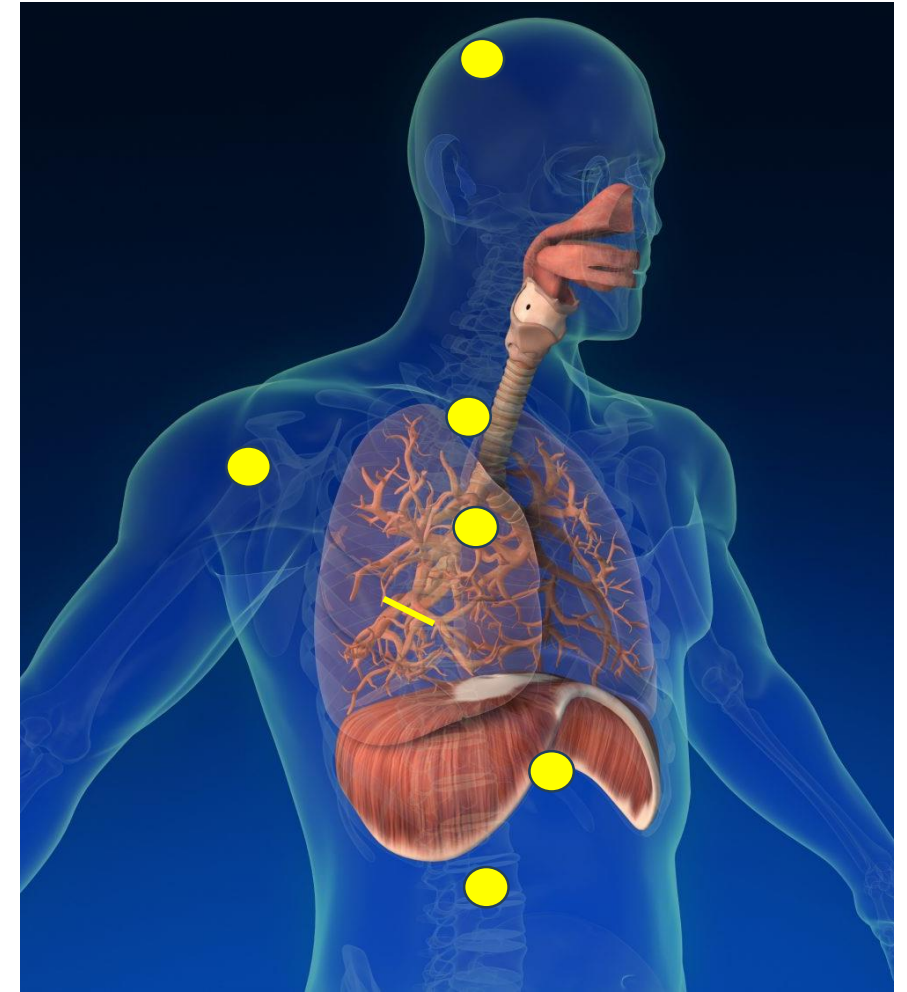
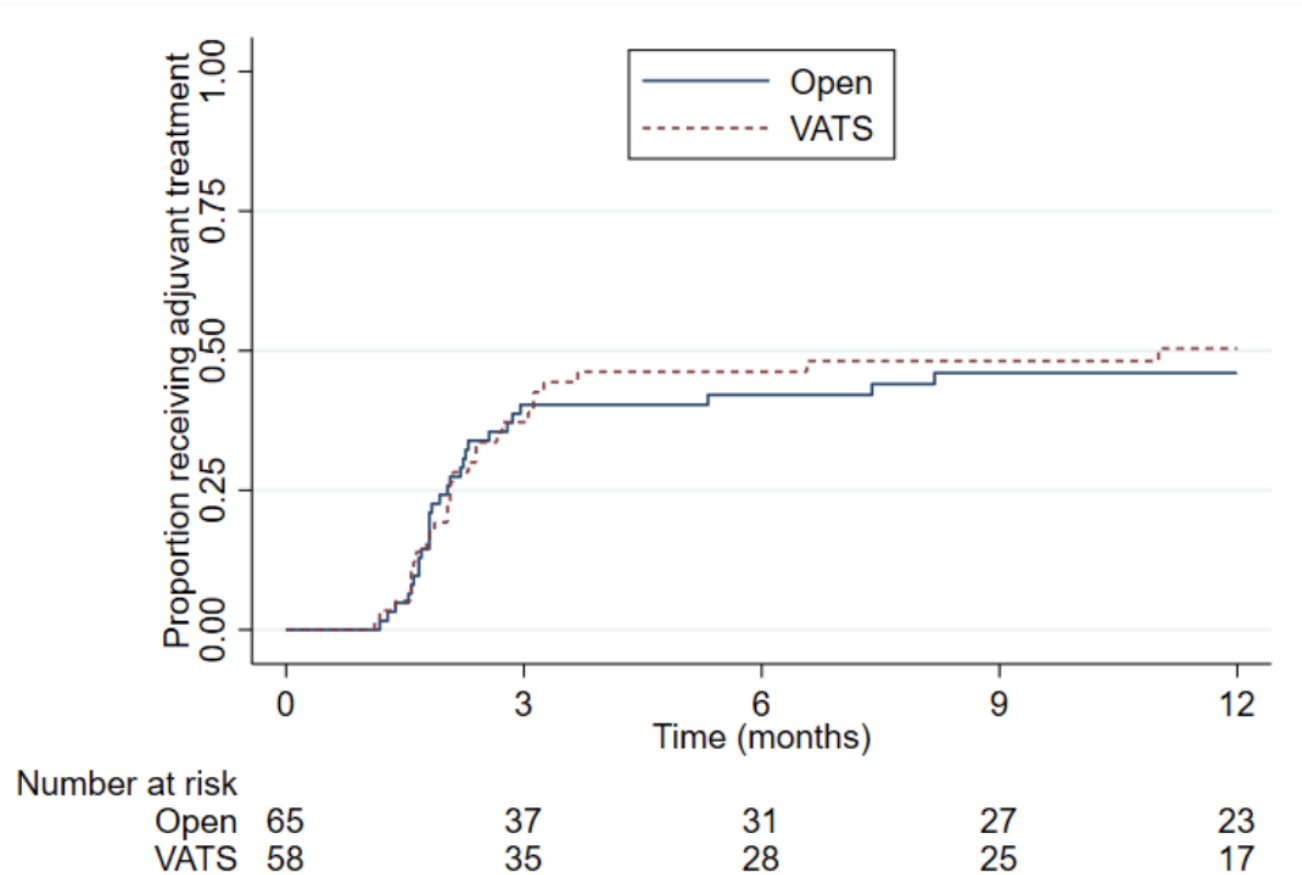
Both patients had a contrast infused CT chest/abdo/pelvis, PET, EBUS and brain MRI!

Surgery has tremendous potential for cure, but also in isolation can be futile and harmful



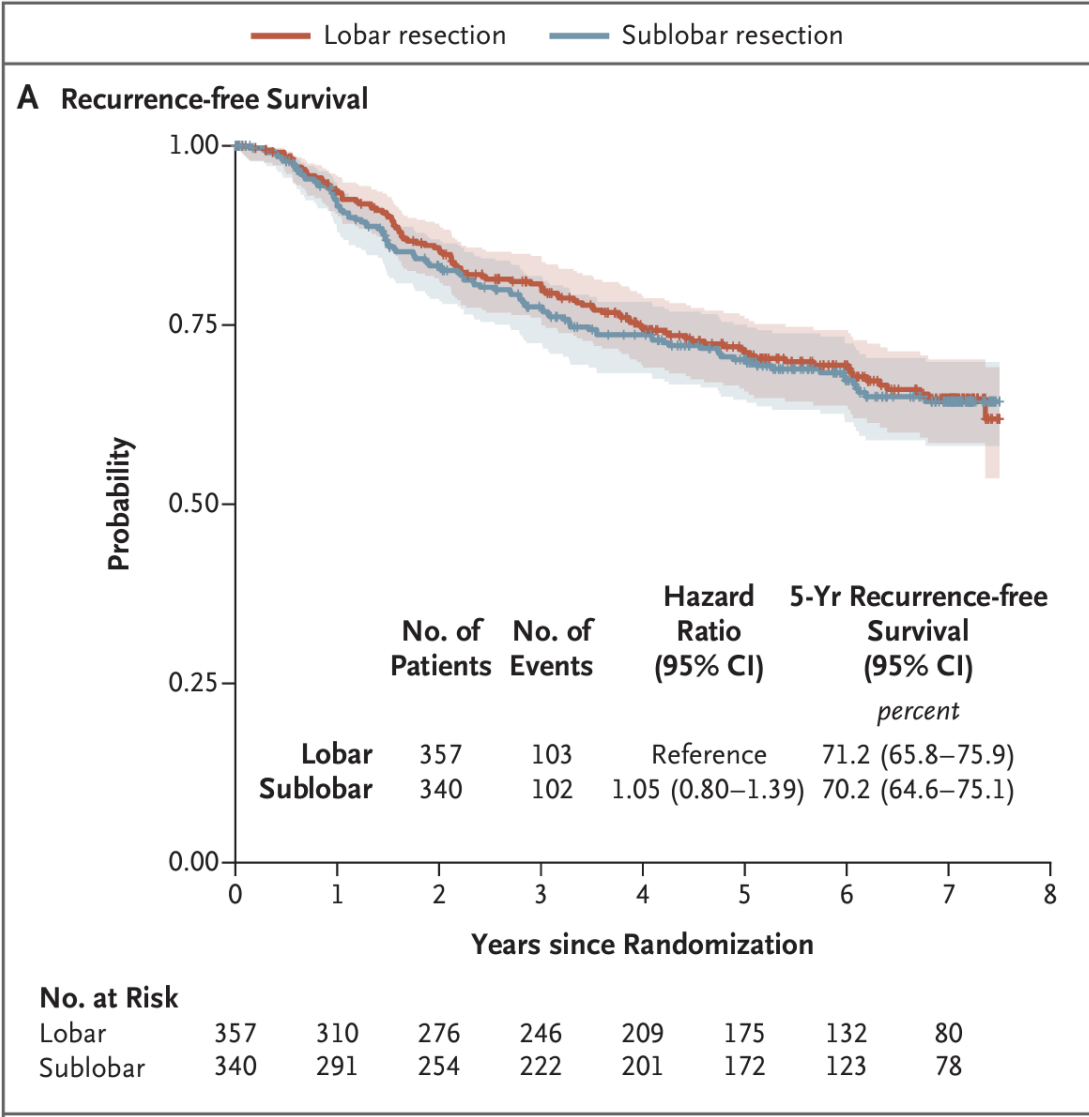
Indicated adjuvant therapy is inconsistently delivered...

Supplementary Figure S29 Uptake of adjuvant treatment: eligible cohort



If TNM lung cancer staging and surgery were perfect...

We would not see this:



The NEW ENGLAND

ORIGINAL ARTICLE

Perioperative Durvalumab for Resectable Non-Small-Cell Lung Cancer

J.V. Heymach, D. Harpole, T. Mitsudomi, J.M. Taube, G. Galffy, M. Hochmair, T. Winder, R. Zukov, G. Garbaos, S. Gao, H. Kuroda, G. Ostoros, T.V. Tran, M. K. ... Biswas, ... nn,

The NEW ENGLA

The NEW ENGLAND JOURNAL of

The NEW ENGLAND JOURNAL of MEDICINE

RESEARCH SUMMARY

Alectinib in Resected ALK-Positive Non-Small-Cell Lung Cancer

Wu Y-L et al. DOI: 10.1056/NEJMoa2310532

JOURNAL of MEDICINE

AUGUST 10, 2023

VOL. 389 NO. 6

Perioperative Pembrolizumab for Stage Non-Small-Cell Lung Cancer

, M. Tsuboi, S.-H. Lee, S. Gao, K.-N. Chen, C. Dooms, M. Majem, E. Eigendorff, D. Rodríguez-Abreu, J.E. Chaft, S. Novello, J. Yang, S.M. Keller, A. Samkari, J.D. Spicer, for the KEYNOTE-671 Investigators*

Osimert

Yi-Lon
Margarita M
Te
Charuw:
Manue
Ajlan Atasoy,

Research

JAMA | Original Investigation
Perioperative Toripalimab Plus Chemotherapy for Patients With Resectable Non-Small Cell Lung Cancer: The Neotorch Randomized Clinical Trial

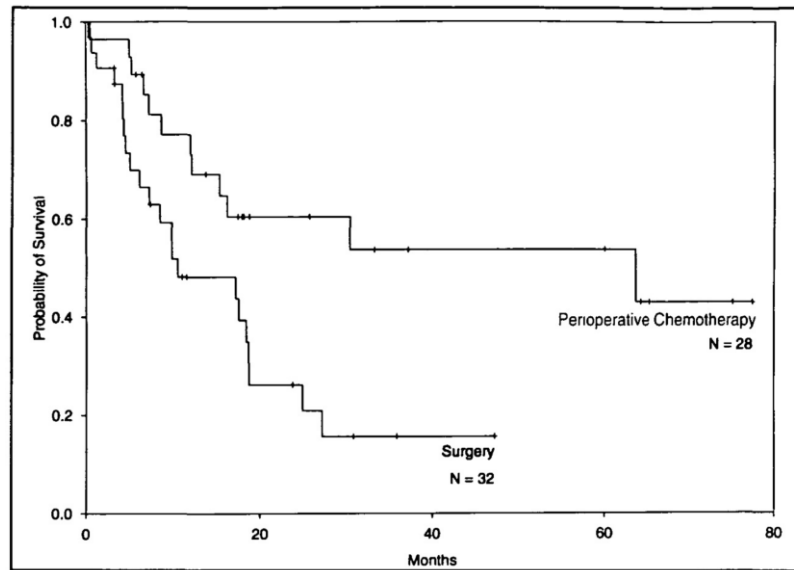
Shun Lu, MD; Wei Zhang, PhD; Lin Wu, PhD; Wenxiang Wang, PhD; Peng Zhang, PhD; and the Neotorch Investigators

Because most of the time, we are operating on micrometastatic disease AND surgery is a really good form of local consolidative therapy!!!

First evidence that effective systemic therapy changes outcomes is now 30 years old

A Randomized Trial Comparing Perioperative Chemotherapy and Surgery With Surgery Alone in Resectable Stage IIIA Non-Small-Cell Lung Cancer

Jack A. Roth, Frank Fossella, Ritsuko Komaki, M. Bernadette Ryan, J. B. Putnam, Jr., Jin Soo Lee, Hari Dhingra, Louis De Caro, Marvin Chasen, Malcolm McGavran, E. Neely Atkinson, Waun Ki Hong*



The New England
Journal of Medicine

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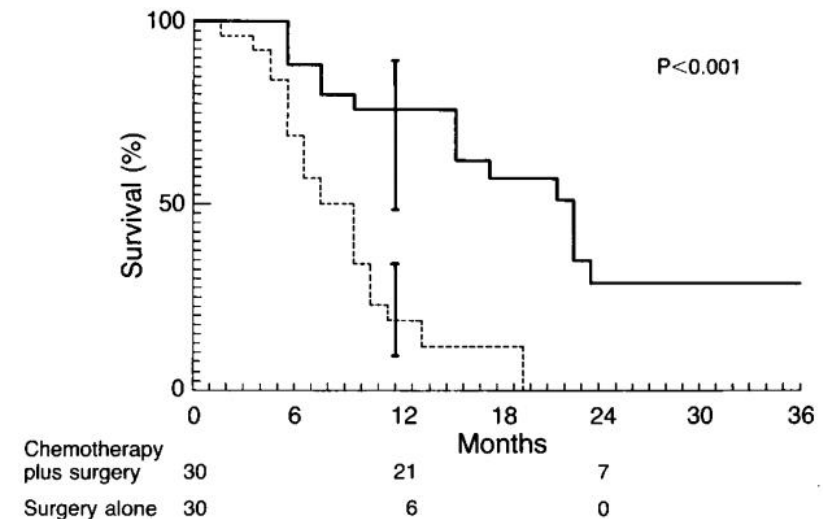
Volume 330

JANUARY 20, 1994

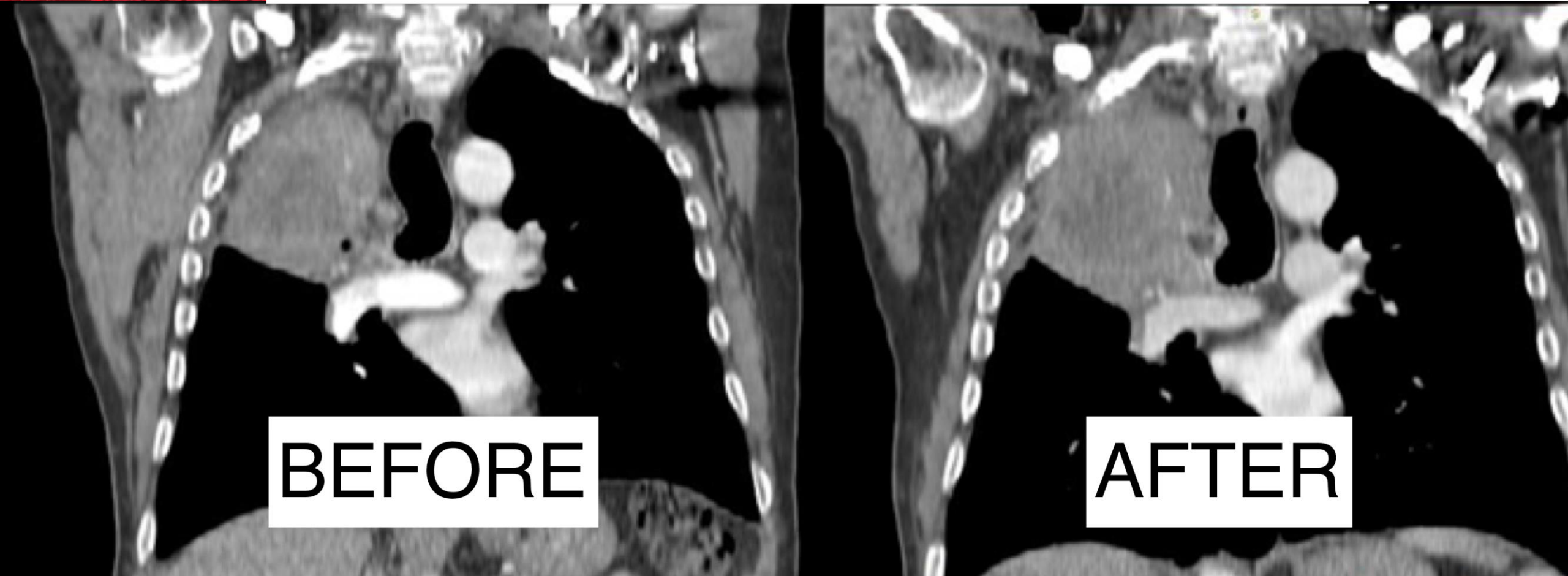
Number 3

A RANDOMIZED TRIAL COMPARING PREOPERATIVE CHEMOTHERAPY PLUS SURGERY WITH SURGERY ALONE IN PATIENTS WITH NON-SMALL-CELL LUNG CANCER

RAFAEL ROSELL, M.D., PH.D., JOSÉ GÓMEZ-CODINA, M.D., PH.D., CARLOS CAMPS, M.D., JOSÉ MAESTRE, M.D., PH.D., JOSÉ PADILLE, M.D., ANTONIO CANTÓ, M.D., JOSÉ LUIS MATE, M.D., SHANRONG LI, M.D., JORGE ROIG, M.D., PH.D., ANGEL OLAZÁBAL, M.D., PH.D., MERCEDES CANELA, M.D., PH.D., AURELIO ARIZA, M.D., PH.D., ZDENĚK SKÁČEL, M.D., JOSÉ MORERA-PRAT, M.D., PH.D., AND ALBERT ABAD, M.D., PH.D.



Checkmate 816 → PD1+CTLA4



BEFORE

AFTER

Open RUL: ypT4N1 squamous cell ca

ORIGINAL ARTICLE

Neoadjuvant Nivolumab plus Chemotherapy in Resectable Lung Cancer

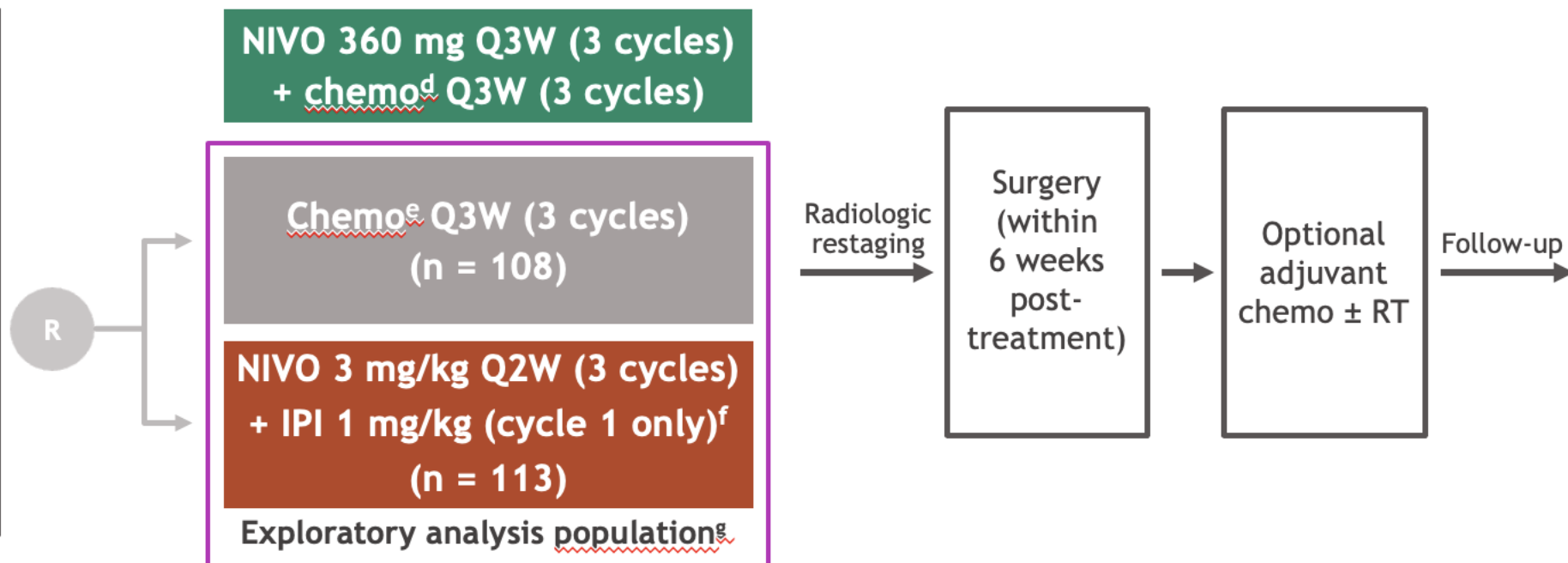
P.M. Forde, J. Spicer, S. Lu, M. Provencio, T. Mitsudomi, M.M. Awad, E. Felip, S.R. Broderick, J.R. Brahmer, S.J. Swanson, K. Kerr, C. Wang, T.-E. Ciuleanu, G.B. Saylor, F. Tanaka, H. Ito, K.-N. Chen, M. Liberman, E.E. Vokes, J.M. Taube, C. Dorange, J. Cai, J. Fiore, A. Jarkowski, D. Balli, M. Sausen, D. Pandya, C.Y. Calvet, and N. Girard, for the CheckMate 816 Investigators*

CheckMate 816^a study design

Key Eligibility Criteria

- Newly diagnosed, resectable, stage IB (≥ 4 cm)-IIIA NSCLC (per AJCC TNM 7th edition)
- ECOG performance status 0-1
- No known sensitizing *EGFR* mutations or *ALK* alterations

Stratified by
Stage (IB-II vs IIIA),
tumor PD-L1^b ($\geq 1\%$ vs $< 1\%$ ^c),
and sex



Primary analysis (NIVO + chemo vs chemo)

Primary endpoints

- pCR by BIPR
- EFS by BICR

Secondary endpoints

- MPR by BIPR
- OS
- TTDM

Exploratory analysis (NIVO + IPI vs chemo)

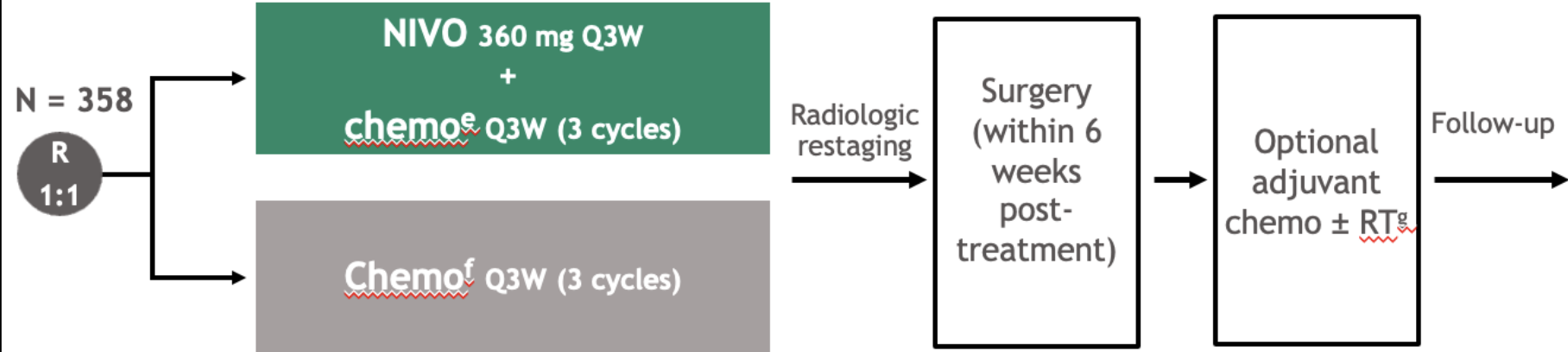
- EFS by BICR
- pCR and MPR by BIPR
- OS
- EFS, pCR, and MPR by 4-gene inflammatory signature score

CheckMate 816 study design^a

Key eligibility criteria

- Newly diagnosed, resectable, stage IB (≥ 4 cm)-IIIA NSCLC (per AJCC 7th edition^b)
- ECOG PS 0-1
- No known sensitizing *EGFR* mutations or *ALK* alterations

Stratified by
Stage (IB-II vs IIIA),
PD-L1^c ($\geq 1\%$ vs $< 1\%$ ^d), and sex



Primary endpoints

- pCR by BIPR
- EFS^h by BICR

Secondary endpoints

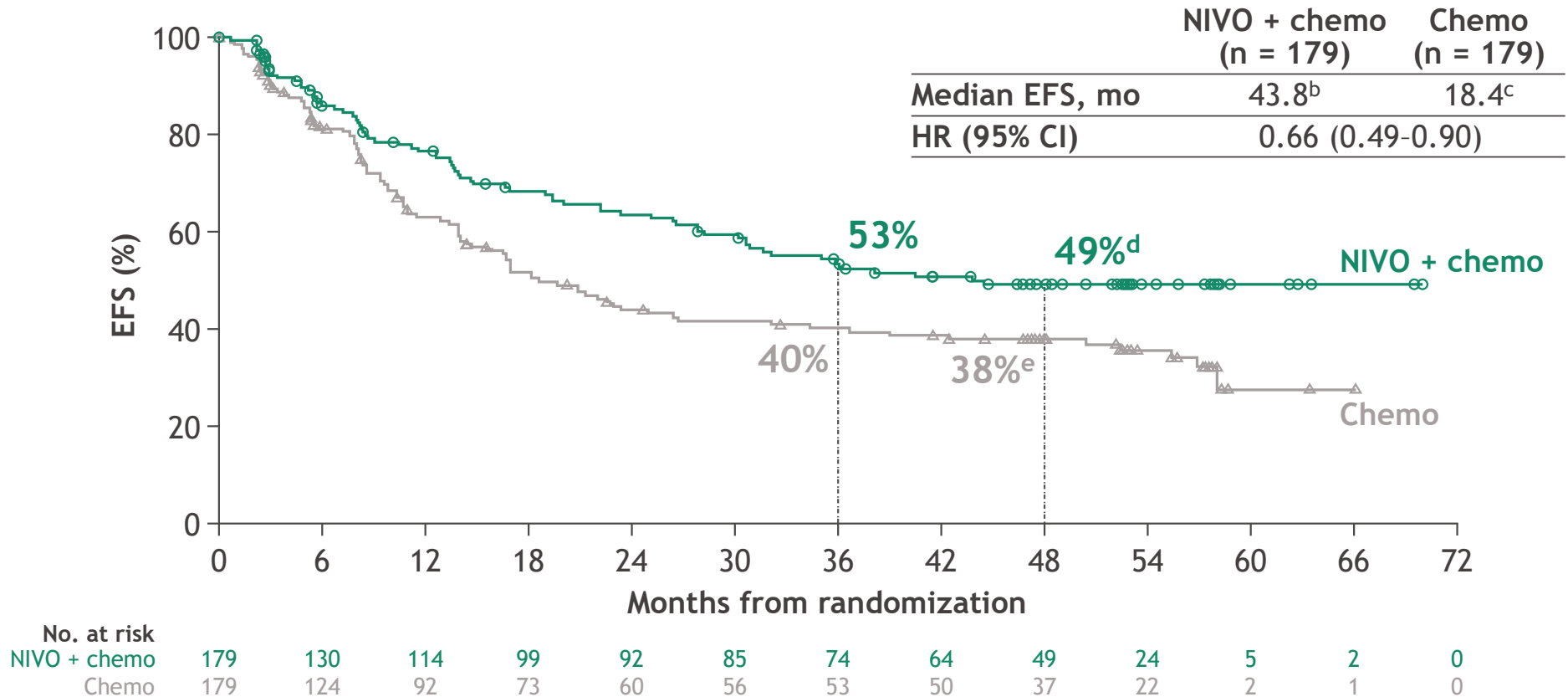
- MPR by BIPR
- OS
- Time to death or distant metastases

Key exploratory analysis

- EFS by pCR status

EFS: 4-year update^a

- In CheckMate 816, neoadjuvant NIVO + chemo significantly improved the primary endpoints of EFS and pCR vs chemo and demonstrated a favorable OS trend in patients with resectable NSCLC^{1,2}



Database lock date, February 23, 2024; minimum/median follow-up, 49.1/57.6 months.

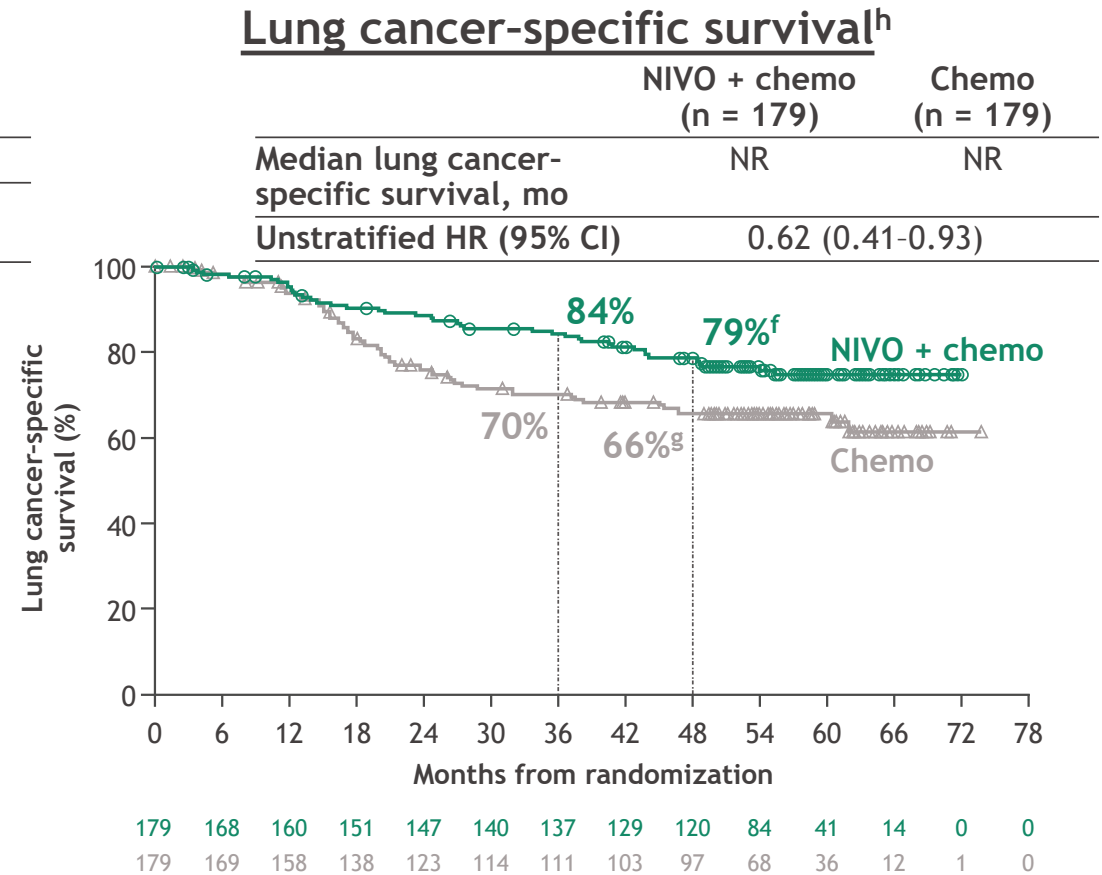
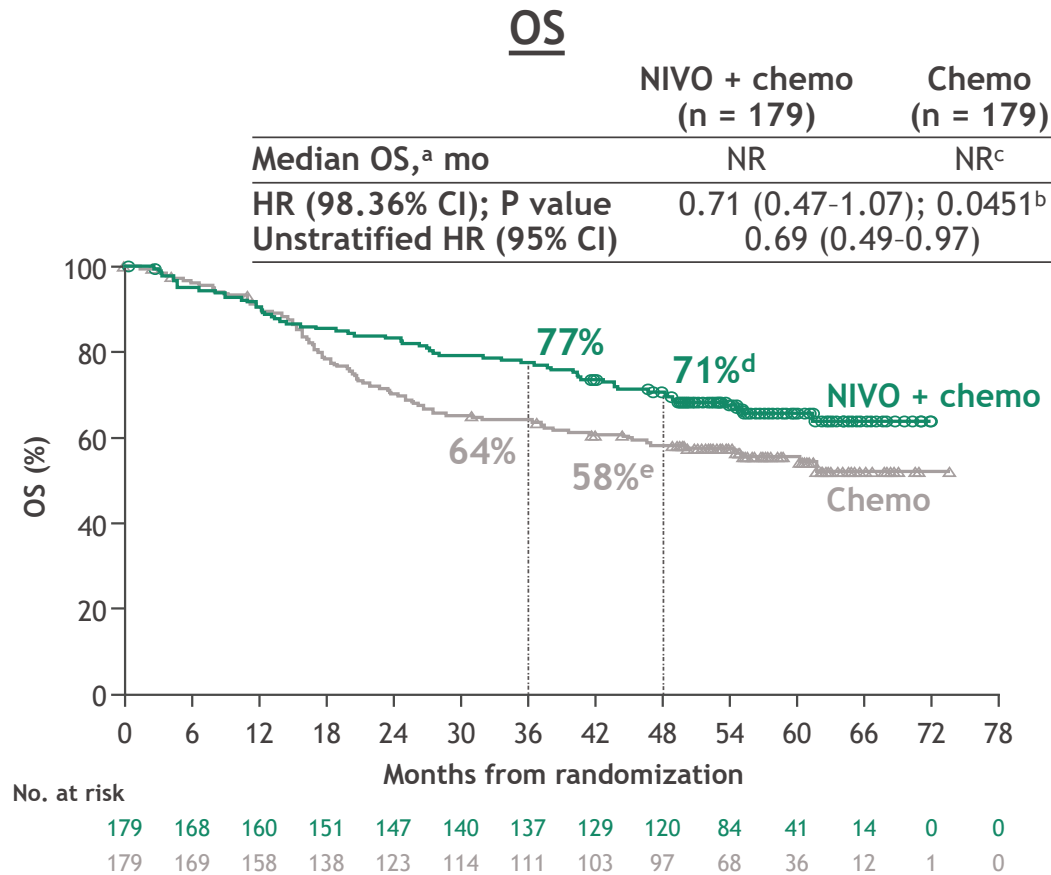
^aExploratory analysis. ^b95% CI: ^b30.6-NR; ^c14.0-26.7; ^d41-57; ^e30-46. 1. Forde PM, et al. *N Engl J Med* 2022;386:1973-1985. 2. Forde PM, et al. Oral presentation at European Lung Cancer Congress (ELCC); March 29-April 1, 2023; Copenhagen, Denmark. Presentation 840.

Subsequent anti-cancer therapy^a

| Patients, n (%) | Concurrently randomized patients | | Patients with EFS events ^b | |
|------------------------|----------------------------------|--------------------|---------------------------------------|--------------------|
| | NIVO + chemo (n = 179) | Chemo (n = 179) | NIVO + chemo (n = 75) | Chemo (n = 101) |
| Any subsequent therapy | 52 (29) | 89 (50) | 40 (53) | 72 (71) |
| Radiotherapy | 24 (13) | 42 (24) | 17 (23) | 35 (35) |
| Surgery | 5 (3) | 9 (5) | 5 (7) | 7 (7) |
| Systemic therapy | 44 (25) | 75 (42) | 33 (44) | 63 (62) |
| Chemo | 40 (22) | 47 (26) | 30 (40) | 39 (39) |
| Immunotherapy | 18 (10) | 48 (27) | 16 (21) | 42 (42) |
| VEGFR inhibitors | 12 (7) | 16 (9) | 11 (15) | 15 (15) |
| EGFR/ALK TKIs | 5 (3) | 11 (6) | 2 (3) | 10 (10) |
| Other targeted therapy | 0 | 4 (2) ^c | 0 | 3 (3) ^d |
| Other systemic therapy | 1 (1) | 8 (4) | 0 | 6 (6) |

^aSubsequent therapy was defined as therapy started on or after the first study treatment dosing date (randomization date if the patient was never treated), outside of protocol-specified adjuvant therapy. Patients may have received ≥ 1 type of subsequent therapy. ^bEFS events shown here are per investigator evaluation (not BICR). ^cIncluded amivantamab, capmatinib, entrectinib, pralsetinib, and regorafenib (n = 1 for each). ^dIncluded amivantamab, capmatinib, entrectinib, and pralsetinib (n = 1 for each).

OS and lung cancer-specific survival: 4-year update



- Patients in the NIVO + chemo arm who had pCR continued to have improved OS vs those who did not (HR [95% CI], 0.08 [0.02-0.34]; 4-year OS rates, 95% vs 63%)

Minimum/median follow-up, 49.1/57.6 months.

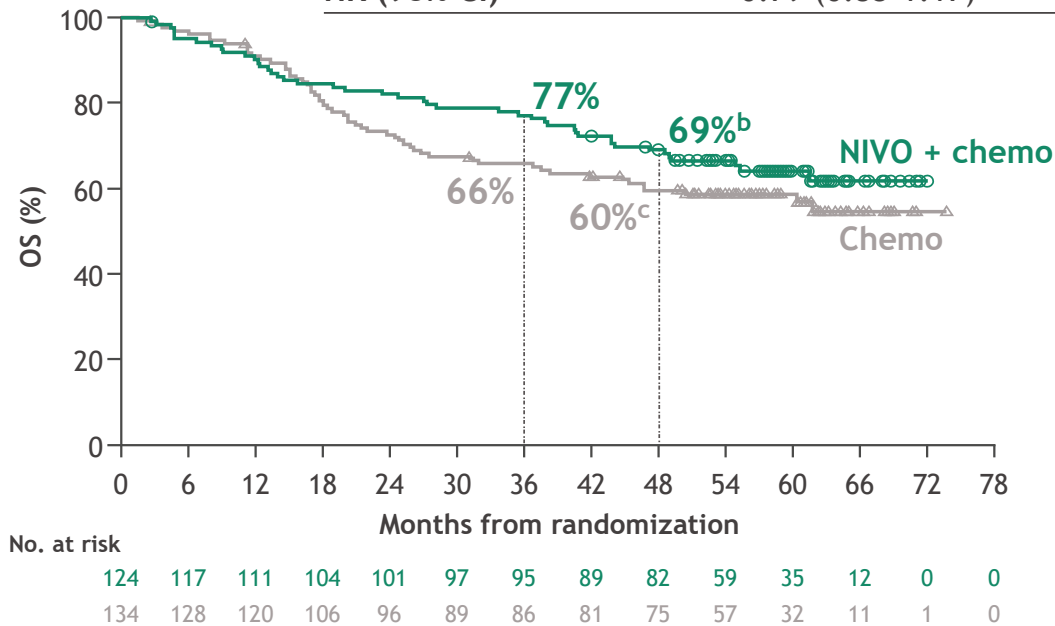
^aReasons for OS events (deaths) in all treated patients in the NIVO + chemo vs chemo arms (N = 176 in each arm) were disease (23% vs 33%), study drug toxicity (0% vs 2%), unknown (3% vs 3%), and other (7% vs 5%).

^bSignificance boundary for OS (0.0164) was not met at this interim analysis. ^c95% CI: ^e50.4-NR; ^f63-77; ^g50-65; ^h72-84; ⁱ58-72. ^hExploratory analysis; events were deaths with noted reason of "disease" per investigator assessment.

OS by neoadjuvant platinum chemo received

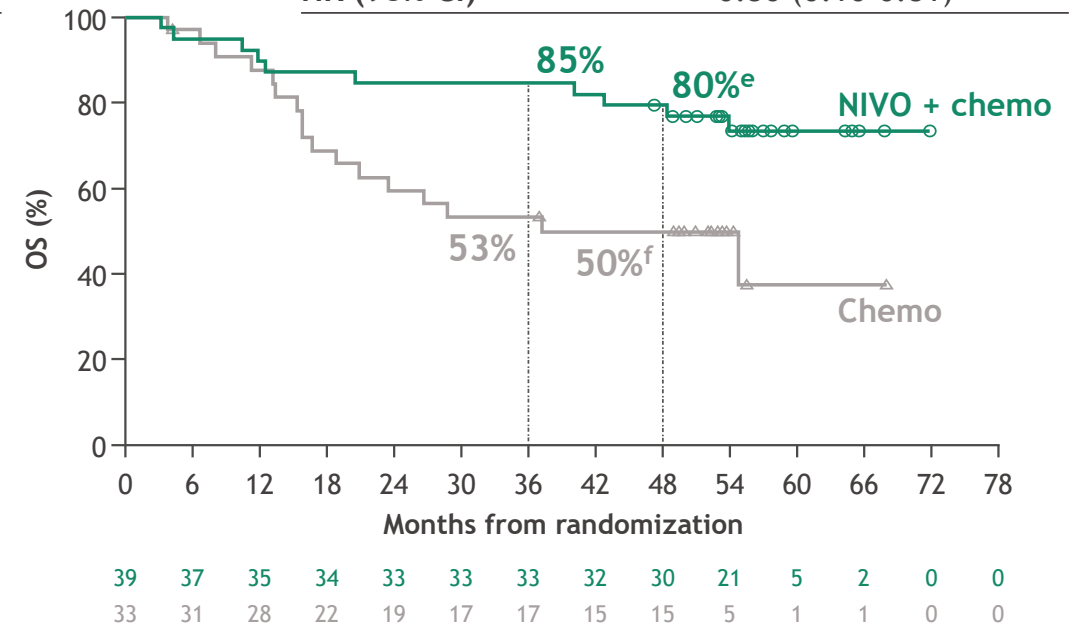
Cisplatin

| | NIVO + chemo (n = 124) | Chemo (n = 134) |
|---------------|---------------------------|--------------------|
| Median OS, mo | NR | NR ^a |
| HR (95% CI) | 0.79 (0.53-1.17) | |



Carboplatin

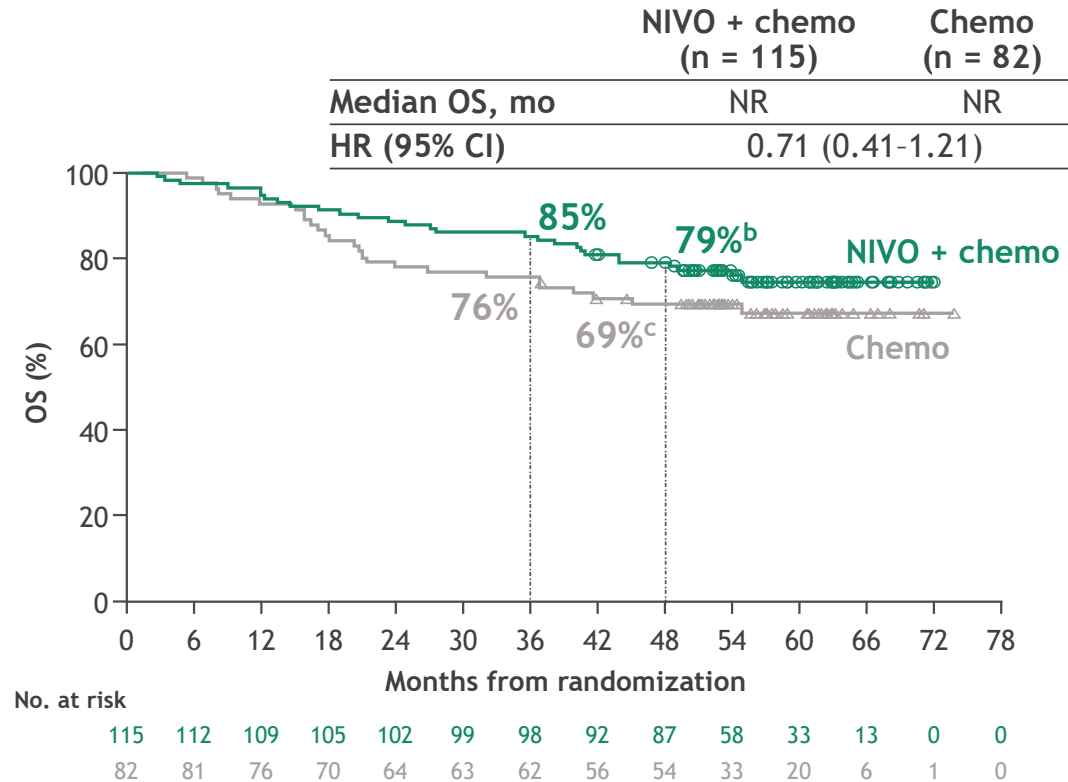
| | NIVO + chemo (n = 39) | Chemo (n = 33) |
|---------------|--------------------------|-------------------|
| Median OS, mo | NR | 37.2 ^d |
| HR (95% CI) | 0.36 (0.16-0.81) | |



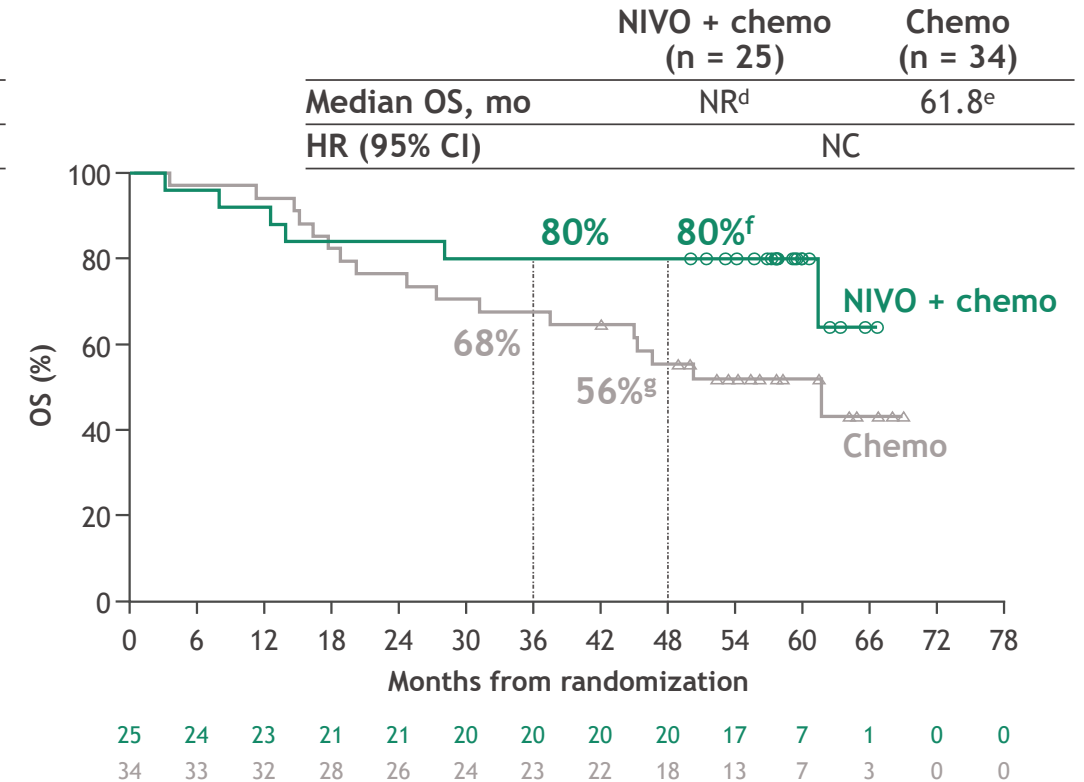
Minimum/median follow-up, 49.1/57.6 months.
^{a-f}95% CI: ^a50.4-NR; ^b60-76; ^c51-68; ^d16.8-NR; ^e63-89; ^f32-66.

OS by extent of resection^a

Lobectomy



Pneumonectomy



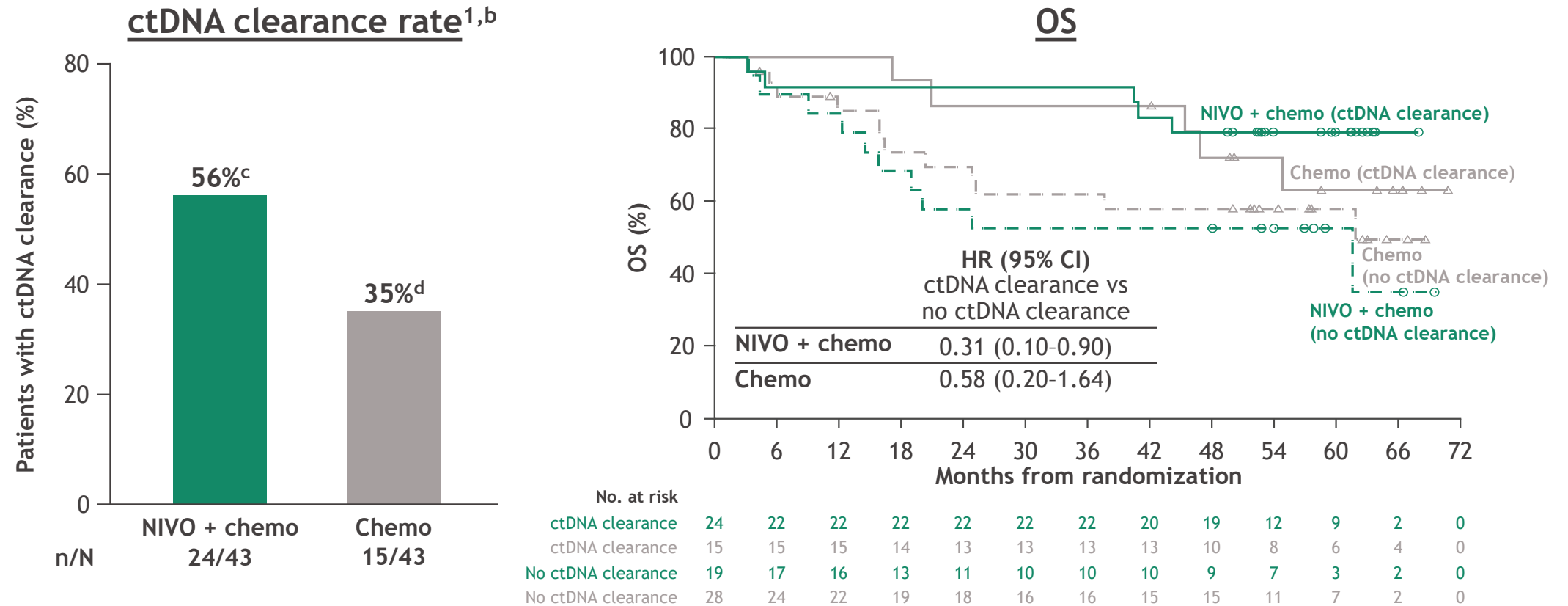
- 4-year EFS rates were 56%^h with NIVO + chemo vs 43%ⁱ with chemo in patients with lobectomy (HR, 0.59; 95% CI, 0.39-0.90) and 57%^j vs 40%^k in patients with pneumonectomy (HR, NC)

Minimum/median follow-up, 49.1/57.6 months.

HRs were NC if there was an insufficient number of events (< 10 per arm). ^aPatients may have had ≥ 1 type of surgery. In the respective NIVO + chemo and chemo arms, surgery types included lobectomy (77% and 61%) and pneumonectomy (17% [11 right; 14 left] and 25% [12 right; 22 left]). ^{b-k}95% CI: ^b70-86; ^c58-78; ^d61.5-NR; ^e31.2-NR; ^f58-91; ^g37-70; ^h46-65; ⁱ32-54; ^j33-75; ^k22-56.

ctDNA clearance rate and OS by ctDNA clearance

- Among concurrently randomized patients, 89 (25%) had evaluable ctDNA levels, and 86 (24%) had detectable ctDNA levels at baseline^{1,a}



Minimum/median follow-up, 49.1/57.6 months.

^aThe main reasons for sample attrition were lack of tissue for WES and lack of quality control pass for tissue and plasma. ^bctDNA clearance was defined as pre-surgical change from detectable ctDNA levels before cycle 1 to undetectable ctDNA levels before cycle 3. Analysis was performed using a WES tumor-guided personalized ctDNA panel (ArcherDX Personalized Cancer Monitoring). ^{c,d}95% CI: ^c40-71; ^d21-51. 1. Forde PM, et al. *N Engl J Med* 2022;386:1973-1985.

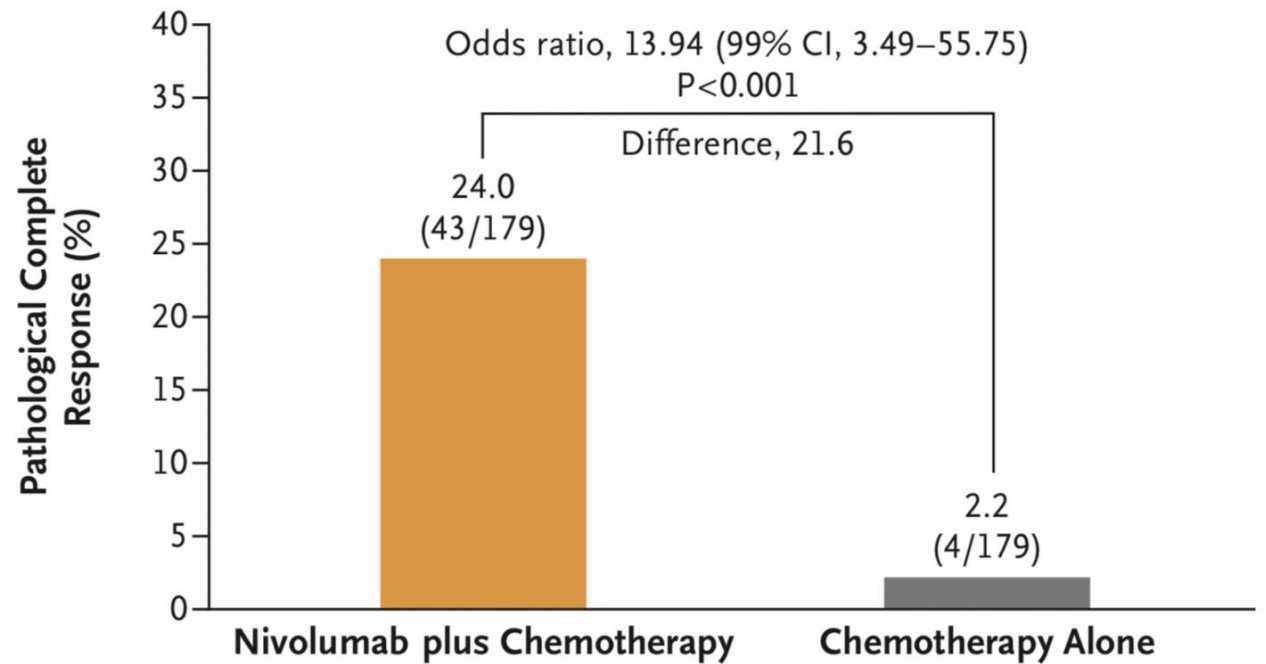
Safety summary^a

| Patients, n (%) | NIVO + chemo (n = 176) | | Chemo (n = 176) | |
|---|---------------------------|-----------|--------------------|-----------|
| | Any grade | Grade 3-4 | Any grade | Grade 3-4 |
| All AEs ^b | 165 (94) | 76 (43) | 173 (98) | 79 (45) |
| TRAEs ^b | 147 (84) | 63 (36) | 159 (90) | 67 (38) |
| All AEs leading to discontinuation ^b | 19 (11) | 10 (6) | 20 (11) | 7 (4) |
| TRAEs leading to discontinuation ^b | 19 (11) | 10 (6) | 17 (10) | 6 (3) |
| All SAEs ^b | 30 (17) | 19 (11) | 24 (14) | 17 (10) |
| Treatment-related SAEs ^b | 21 (12) | 15 (8) | 18 (10) | 14 (8) |
| Surgery-related AEs ^c | 67 (45) | 17 (11) | 66 (49) | 20 (15) |
| Treatment-related deaths ^d | 0 | | 3 (2) ^e | |

- Grade 5^f surgery-related AEs occurred in 2 patients in the NIVO + chemo arm (1 each due to pulmonary embolism and aortic rupture); both were unrelated to study drug

^aAEs per CTCAE v4.0 and MedDRA v26.1. ^bIncludes events reported between the first neoadjuvant dose and 30 days after the last dose of neoadjuvant study treatment. ^cIncludes events reported within 90 days after definitive surgery. Percentages calculated from treated patients who had definitive surgery (n = 149 in the NIVO + chemo arm; n = 135 in the chemo arm). ^dTreatment-related deaths occurring at any time after the first dose of neoadjuvant study treatment. ^eDue to pancytopenia, diarrhea, acute kidney injury (all in 1 patient), enterocolitis (n = 1), and pneumonia (n = 1). ^fAEs that led to death within 24 hours of onset.

Medical
oncologists
can achieve
RO!



19% locoregional failure in CM816

Locoregional recurrence^a

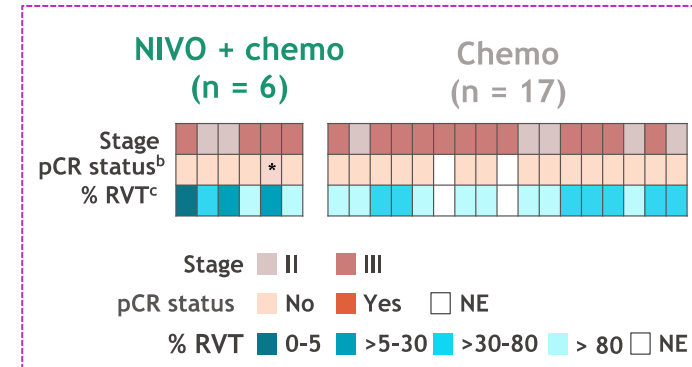
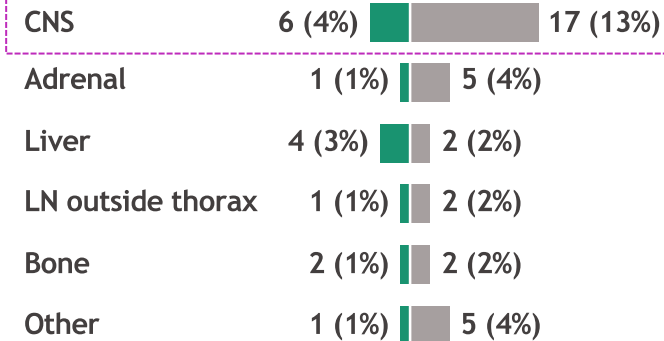
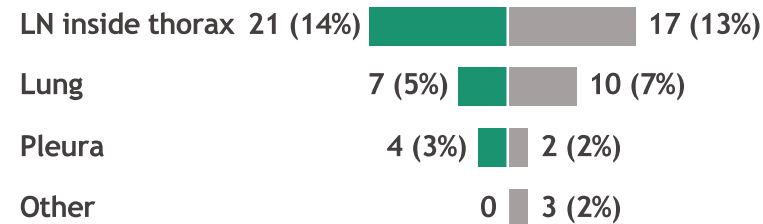
Distant recurrence

■ NIVO + chemo ■ Chemo

28 (19%) 29 (22%)

15 (10%) 30 (22%)

CNS recurrence by disease stage and pathologic response



40 30 20 10 0 10 20 30 40
Patients, n (%)

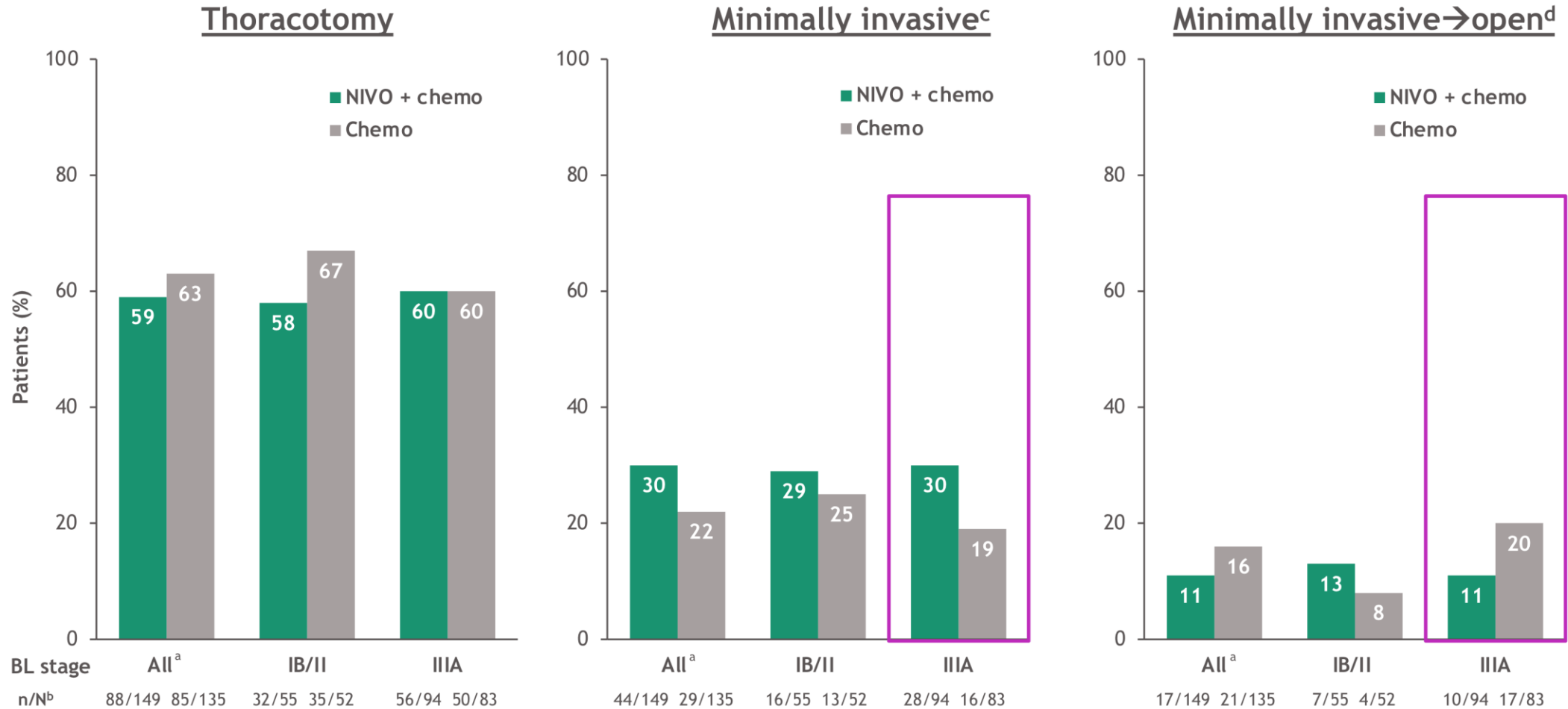
40 30 20 10 0 10 20 30 40
Patients, n (%)

Forde et al, ELCC 2023

De facto, resectability is defined by our ability to achieve locoregional control with surgery

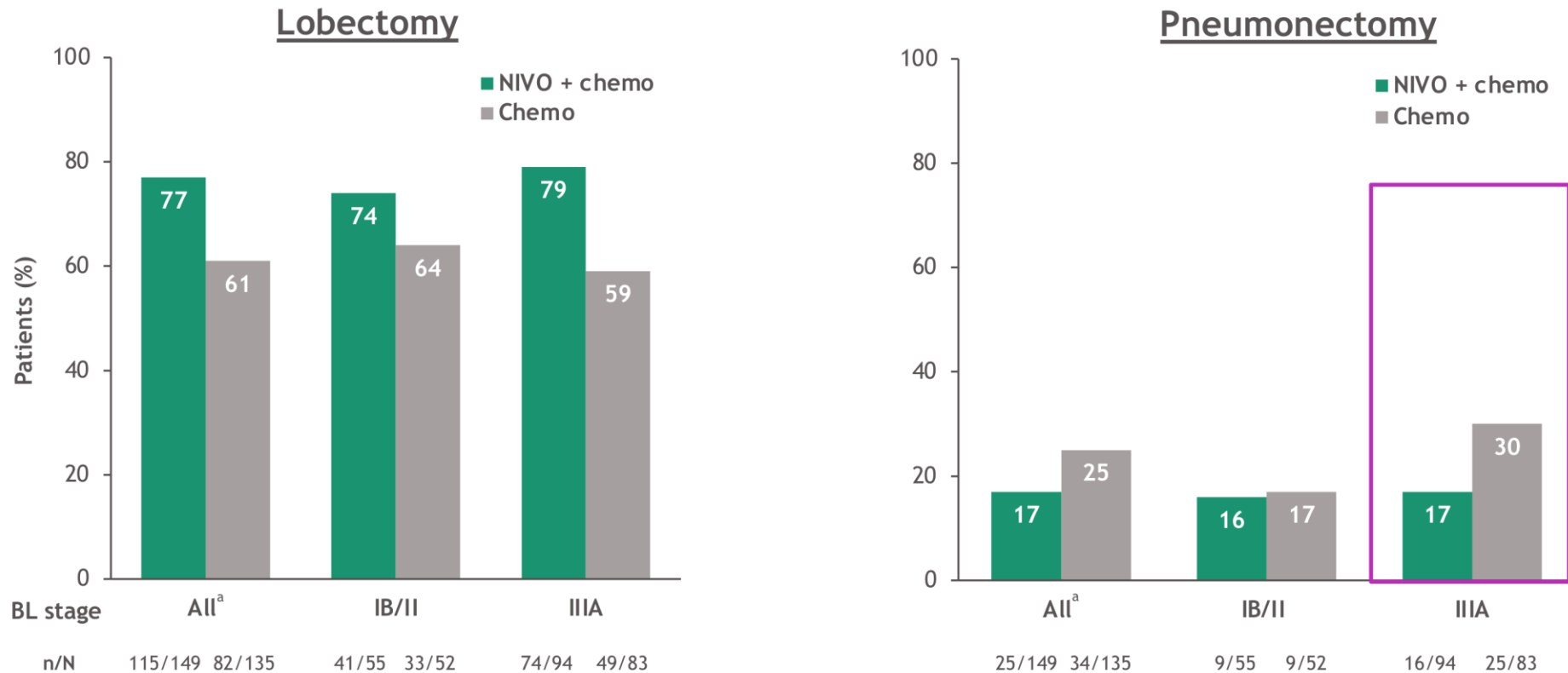
Need to fully define the patterns of locoregional progression, to understand to what extent they represent a failure of surgical technique

Surgical approach by baseline stage of disease



^aPatients with all baseline stages of disease and definitive surgery; ^bDenominator based on patients with definitive surgery; ^cThoracoscopic/robotic; ^dMinimally invasive to thoracotomy.

Type of surgery by baseline stage of disease

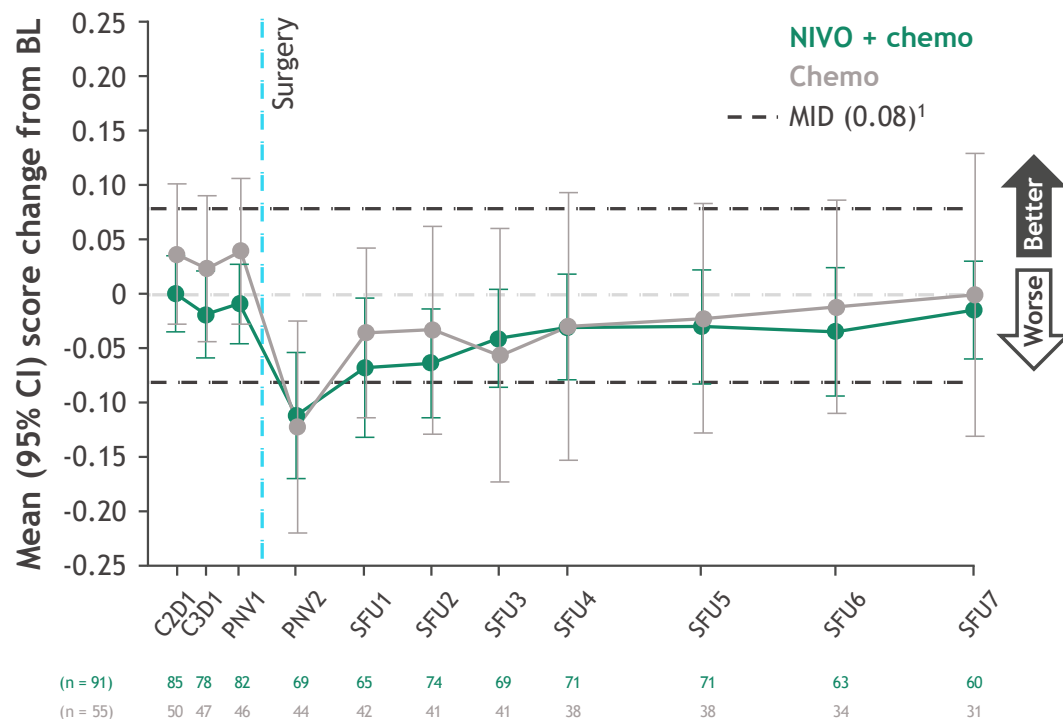


Patients may have had > 1 surgery type. Patient numbers (n/N) for stage IB/II and stage IIIA, respectively, for bilobectomy (NIVO + chemo: 1/55, 2/94; chemo: 2/52, 2/83), sleeve lobectomy (NIVO + chemo: 2/55, 0/94; chemo: 5/52, 5/83), and other (NIVO + chemo: 13/55, 11/94; chemo: 12/52, 9/83). ^aPatients with all baseline stages of disease with surgery.

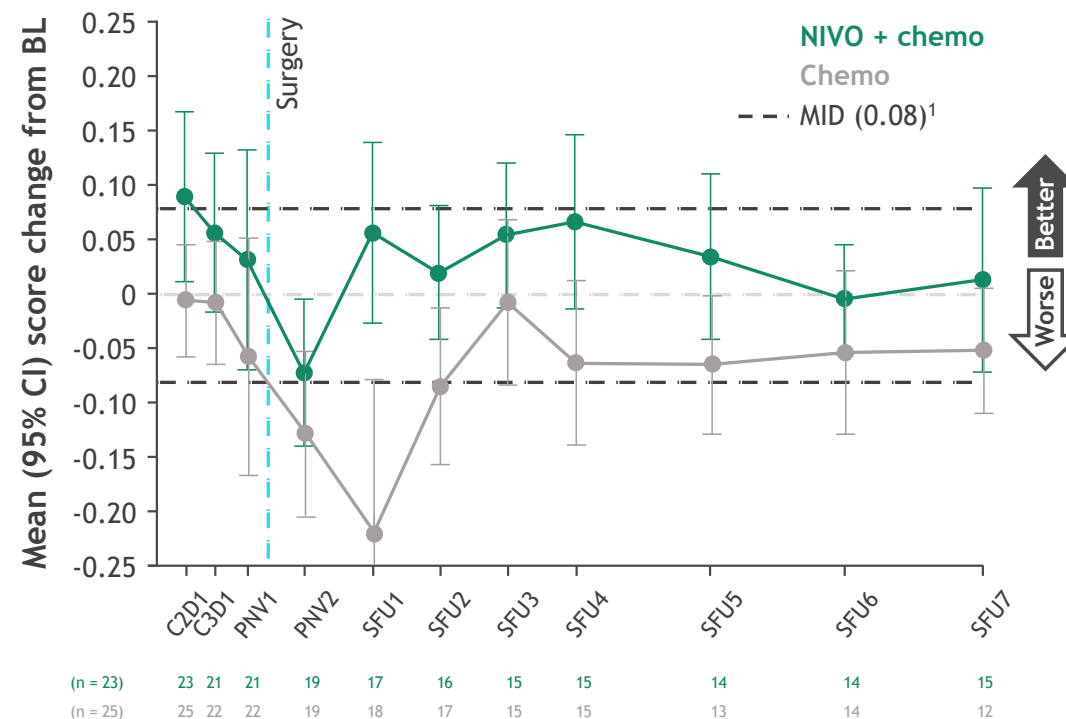
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EQ-5D UI mean change from baseline by type of surgery

Lobectomy



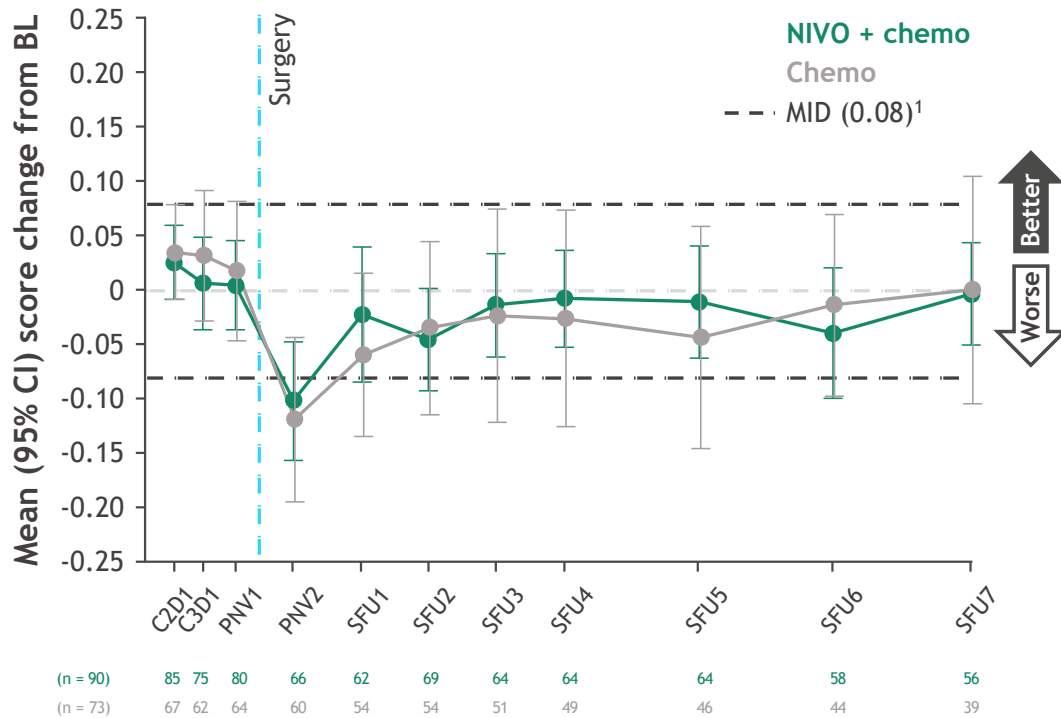
Pneumonectomy



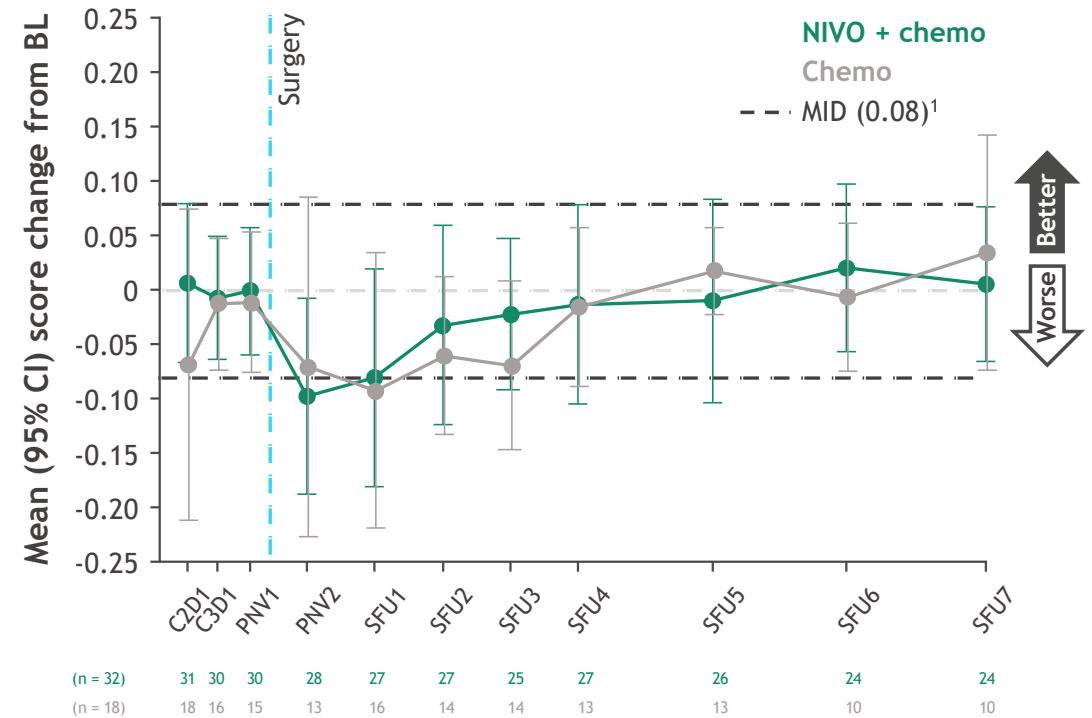
The EQ-5D-3L UK UI ranges from -0.594 to 1 with a higher score indicating a more favorable health state. Patients included in this analysis had definitive surgery and did not receive adjuvant therapy. Patients may have had ≥ 1 type of surgery. 1. Pickard AS, et al. *Health Qual Life Outcomes* 2007;5:70.

EQ-5D UI mean change from baseline by surgical approach

Thoracotomy/ minimally invasive to thoracotomy



Minimally invasive^a



The EQ-5D-3L UK UI ranges from -0.594 to 1 with a higher score indicating a more favorable health state. Patients included in this analysis had definitive surgery and did not receive adjuvant therapy. Patients may have had ≥ 1 surgical approach. ^aIncludes minimally invasive-thoracoscopic/robotic approaches. 1. Pickard AS, et al. *Health Qual Life Outcomes* 2007;5:70.

Key points from CM816

- Pure neoadjuvant chemo-immunotherapy offers prolonged survival advantage
- No measurable increase in toxicity compared to chemotherapy alone
- OS is improved regardless of platinum employed
- OS is improved regardless of extent of surgery (pneumonectomy is viable option)
- ctDNA clearance may inform likelihood of PCR and could provide decisional endpoint in future trial design
- Measurable surgical benefits in an open label design
- Rapid return to baseline QoL without impact of surgical access or extent of resection

| | CM816 (CTx-Nivo) | 77T (CTx-Nivo) | AEGEAN (CTx-Durva) | Neotorch (CTx-Tori) | KN671 (CTx-Pembro) | Rationale-315 (CTx-Tisli) |
|--------------------|---------------------|-------------------|-----------------------|------------------------|-----------------------|------------------------------|
| N | 358 1:1 | 461 1:1 | 740 1:1 | 404 1:1 | 797 1:1 | 453 1:1 |
| Endpoints | PCR, EFS | EFS | PCR, EFS | MPR, EFS | EFS, OS | MPS, EFS |
| Stages (AJCC 8) | II-IIIB | II-IIIB | II-IIIB | III | II-IIIB | II-IIIA |
| Systemic plan | Neoadj | Periadj | Periadj | Periadj | Periadj | Periadj |
| Surgery | 83% | 78% | 81% | 82% | 82% | 84.1% |
| 90-d mortality | 3.4% | N/A | N/A | N/A | 4% | 1.6% |
| R0 rate | 83% | 89% | 95% | 96% | 92% | 95% |
| EFS @ 2 years | 65% | 70% (18 mo) | 63.3% | 67% | 62% | 68% |
| OS @ 2 years | 82.7% (HR 0.57) | N/A | N/A | 81.2% (HR 0.62) | 82% (HR 0.72) | 88.6% (HR 0.62) |



| | N0 | N1 | N2 SINGLE (non-bulky, non-invasive) | N2 MULTI (non-bulky, non-invasive) | N2 BULKY [†] | N2 INVASIVE | N3 |
|-----------------------------------|--|--|---|--|-----------------------|--------------|--------------|
| T1-2 | NOT STAGE III DISEASE | NOT STAGE III DISEASE | RESECTABLE | POTENTIALLY RESECTABLE* | UNCLEAR | UNRESECTABLE | UNRESECTABLE |
| T3 size / satellite / invasion | NOT STAGE III DISEASE | RESECTABLE | RESECTABLE | POTENTIALLY RESECTABLE* | UNRESECTABLE | UNRESECTABLE | UNRESECTABLE |
| T4 size / satellite | RESECTABLE | RESECTABLE | RESECTABLE | POTENTIALLY RESECTABLE* | UNRESECTABLE | UNRESECTABLE | UNRESECTABLE |
| T4 invasion | POTENTIALLY RESECTABLE [‡] | POTENTIALLY RESECTABLE [‡] | POTENTIALLY RESECTABLE [‡] | POTENTIALLY RESECTABLE* [‡] | UNRESECTABLE | UNRESECTABLE | UNRESECTABLE |

*Multiple station N2: case-by-case discussion; the exact number of nodes/stations cannot be defined

[†]Bulky N2: lymph nodes with a short-axis diameter >2.5-3 cm; in specific situations of *highly selected patients*, including those patients in multidisciplinary trials with surgery as local therapy can be discussed

[‡]Some T4 tumours by infiltration of major structures are potentially resectable – see Table 1

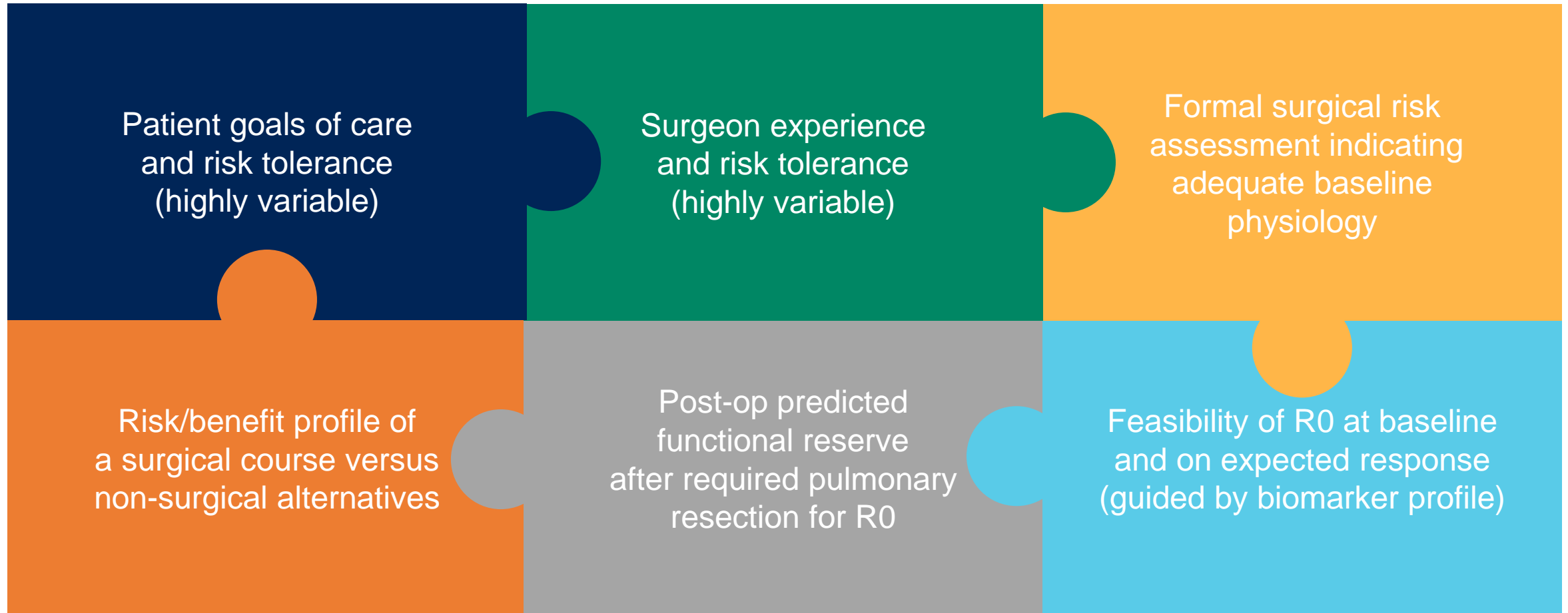




Does stage define respectability or is it defined by a matrix of individualized factors?



Resectability Criteria

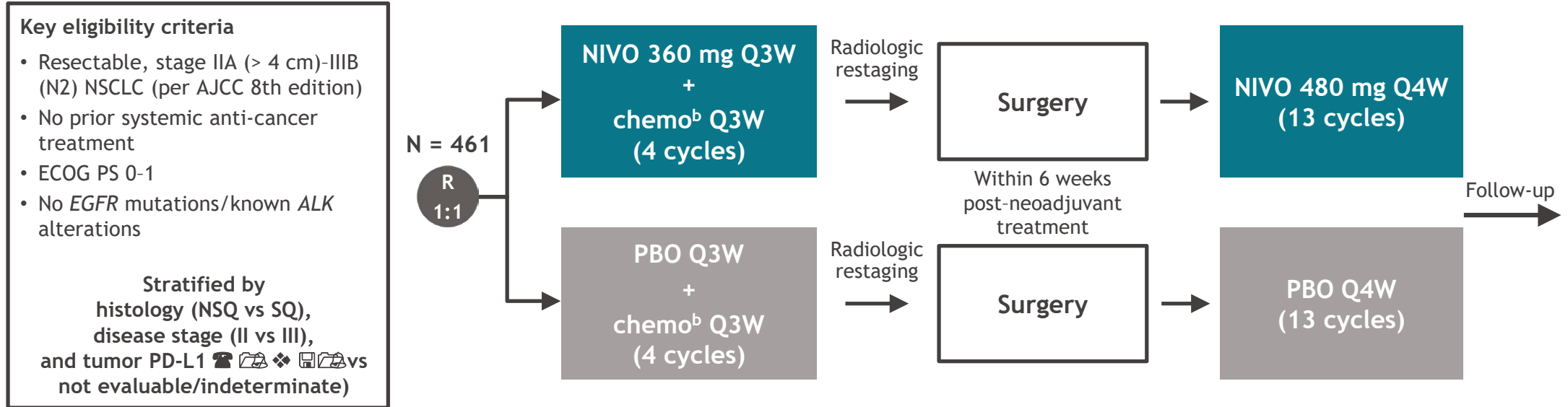


ORIGINAL ARTICLE

Perioperative Nivolumab in Resectable Lung Cancer

T. Cascone, M.M. Awad, J.D. Spicer, J. He, S. Lu, B. Sepesi, F. Tanaka, J.M. Taube, R. Cornelissen, L. Havel,* N. Karaseva, J. Kuzdzal, L.B. Petruzelka, L. Wu, J.-L. Pujol, H. Ito, T.-E. Ciuleanu, L. de Oliveira Muniz Koch, A. Janssens, A. Alexandru, S. Bohnet, F.V. Moiseyenko, Y. Gao, Y. Watanabe, C. Coronado Erdmann, P. Sathyanarayana, S. Meadows-Shropshire, S.I. Blum, and M. Provencio Pulla, for the CheckMate 77T Investigators†

CheckMate 77T^a study design



Primary endpoint

- EFS by BICR

Secondary endpoints

- pCR by BIPR
- MPR by BIPR
- OS
- Safety

Exploratory analyses

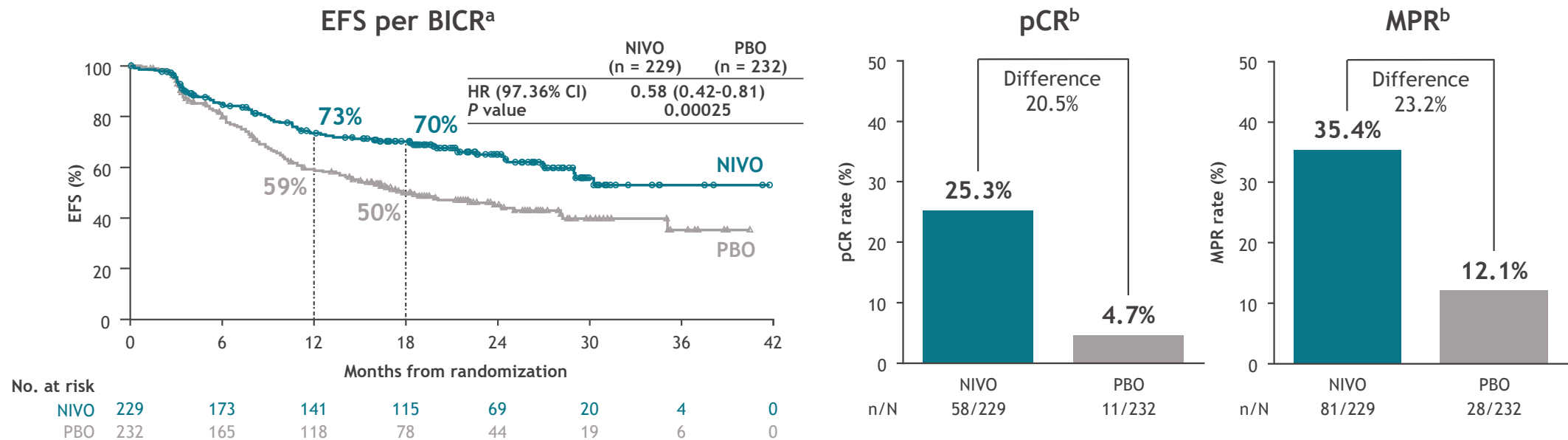
- Clinical outcomes by clinical stage III N2 or non-N2 status

Database lock date: September 6, 2023; median follow-up (range): 25.4 months (15.7-44.2).

^aNCT04025879. ^bNSQ: cisplatin + pemetrexed, carboplatin + pemetrexed, or carboplatin + paclitaxel; SQ: cisplatin + docetaxel or carboplatin + paclitaxel.

Background

- In the phase 3 CheckMate 77T study, perioperative NIVO showed significant EFS improvement vs PBO in patients with stage II-IIIB resectable NSCLC; pCR and MPR rates were also improved¹






- Stage IIIA-B resectable NSCLC is historically associated with poor survival; 5-year OS rates range from 24%-41%²

Here, we report clinical outcomes from CheckMate 77T for patients with baseline stage III N2 and non-N2 NSCLC

^aFollow-up, median (range): 25.4 (15.7-44.2) months. ^bFrom The New England Journal of Medicine, Cascone T, et al, Perioperative nivolumab in resectable lung cancer, 2024;390:1756-1769. Copyright © 2024 Massachusetts Medical Society. Adapted with permission from Massachusetts Medical Society. 1. Cascone T, et al. *N Engl J Med.* 2024;390:1756-1769. 2. Goldstraw P, et al. *J Thorac Oncol* 2016;11:39-51.

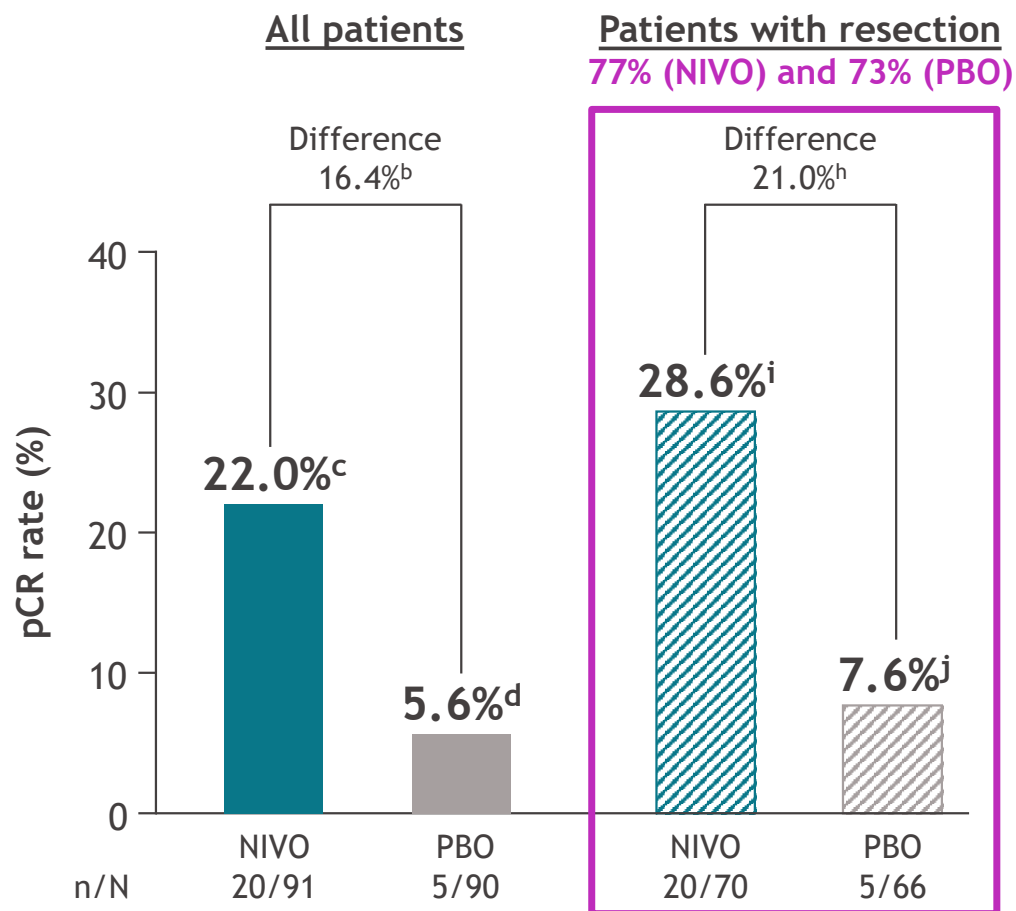
Baseline patient characteristics

| | Stage III N2 ^a | | Stage III non-N2 ^{a,b} | |
|---|---------------------------|-----------------|---------------------------------|-----------------|
| | NIVO (n = 91) | PBO (n = 90) | NIVO (n = 55) | PBO (n = 57) |
| Median age, years (range) | 66 (37-78) | 64 (39-86) | 66 (46-81) | 65 (35-80) |
| Male, n (%) | 61 (67) | 61 (68) | 43 (78) | 42 (74) |
| Geographic region, n (%) | | | | |
| North America | 9 (10) | 7 (8) | 7 (13) | 7 (12) |
| Europe | 50 (55) | 54 (60) | 31 (56) | 31 (54) |
| Asia | 25 (28) | 17 (19) | 15 (27) | 12 (21) |
| Rest of the world ^c | 7 (8) | 12 (13) | 2 (4) | 7 (12) |
| ECOG PS, n (%) | | | | |
| 0 | 67 (74) | 59 (66) | 31 (56) | 33 (58) |
| 1 | 24 (26) | 31 (34) | 24 (44) | 24 (42) |
| Disease stage III, n (%) | | | | |
| IIIA | 48 (53) | 57 (63) | 55 (100) | 57 (100) |
| IIIB | 43 (47) | 33 (37) | 0 | 0 |
| Histology, n (%) | | | | |
| Squamous | 40 (44) | 38 (42) | 31 (56) | 34 (60) |
| Non-squamous | 51 (56) | 52 (58) | 24 (44) | 23 (40) |
| Smoking status, n (%) | | | | |
| Current/former | 79 (87) | 79 (88) | 52 (94) | 55 (96) |
| Never | 12 (13) | 11 (12) | 3 (6) | 2 (4) |
| Tumor PD-L1 expression, n (%) | | | | |
| Not evaluable | 2 (2) | 4 (4) | 1 (2) | 1 (2) |
| < 1% | 41 (45) | 35 (39) | 24 (44) | 28 (49) |
| ☐  | 48 (53) | 51 (57) | 30 (54) | 28 (49) |
| 1-49% | 36 (40) | 29 (32) | 15 (27) | 17 (30) |
| ☐   | 12 (13) | 22 (24) | 15 (27) | 11 (19) |

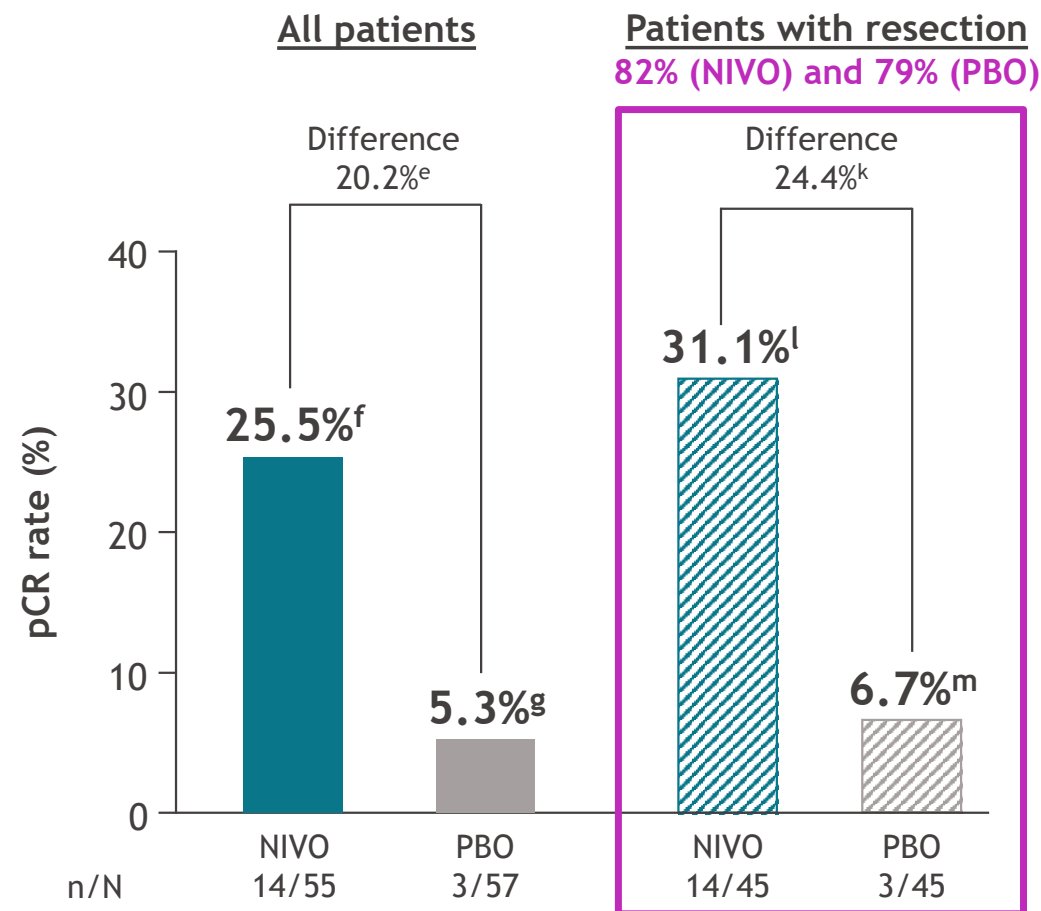
^aOf patients in the ITT population (NIVO, n = 229; PBO, n = 232), 40% and 39% in the NIVO and PBO arms, respectively, had stage III N2 NSCLC, and 24% and 25% had stage III non-N2 NSCLC. ^b2 patients in each arm had stage III N3 NSCLC and were not included in the non-N2 population. ^cIncludes Argentina, Australia, Brazil, and Mexico.

pCR^a

Stage III N2

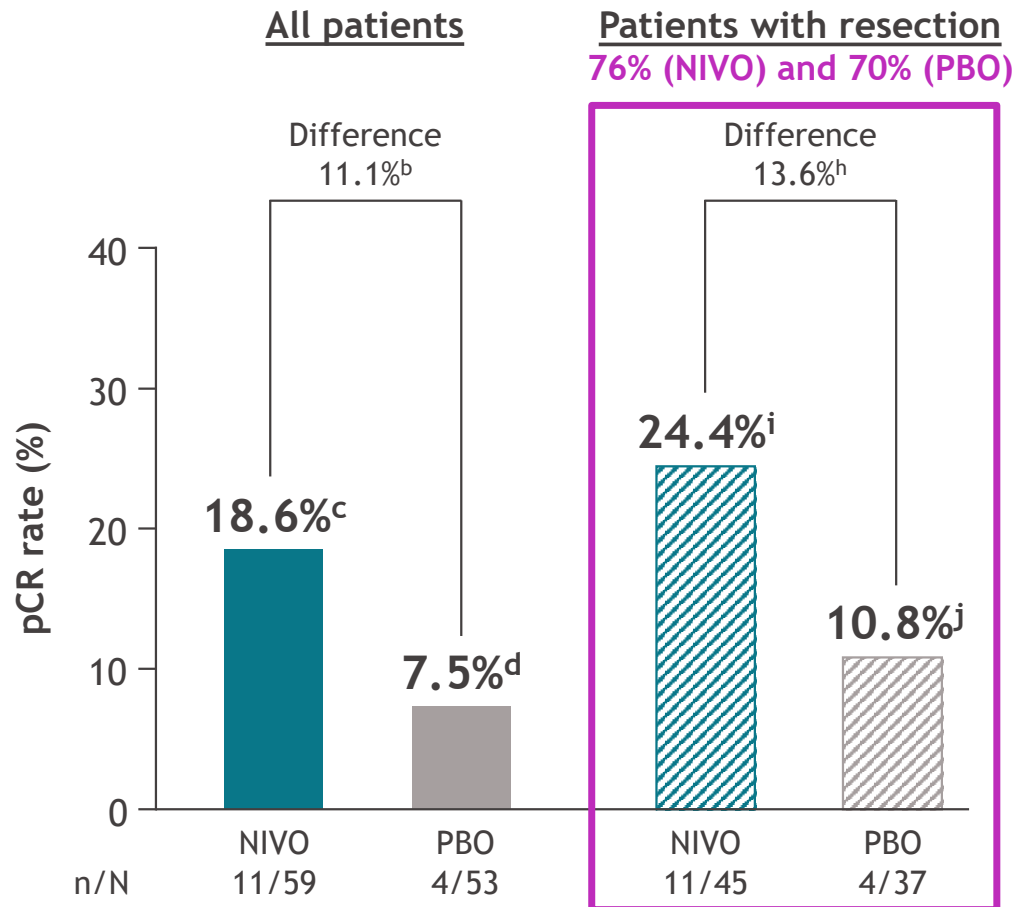
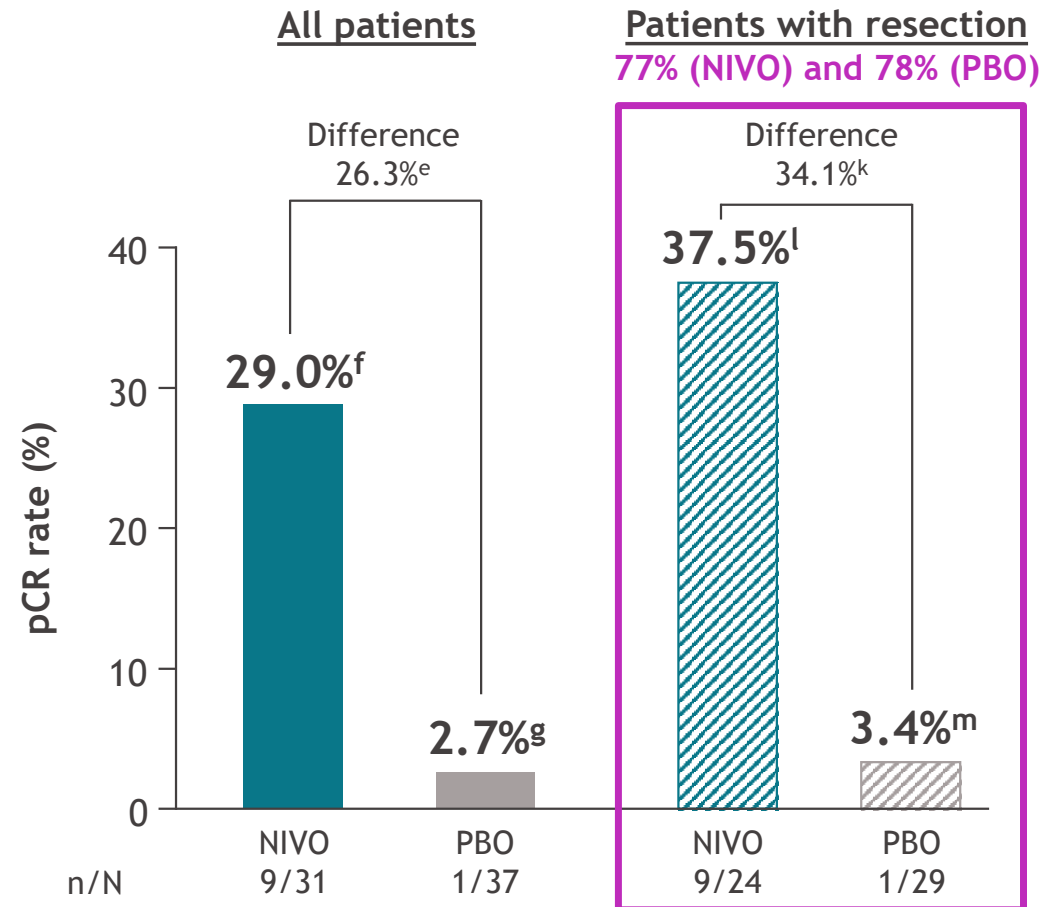


Stage III non-N2



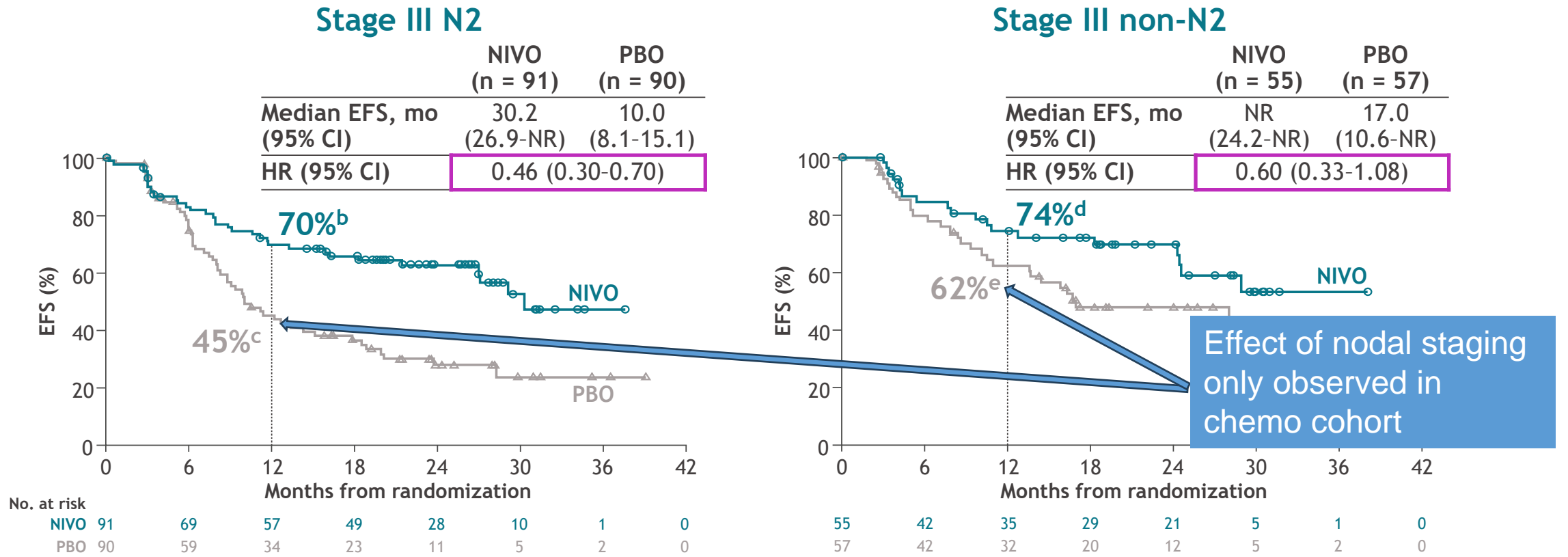
^a0% residual viable tumor cells post-surgery in both primary tumor (lung) and sampled lymph nodes. ^{b-m}95% CI: ^b6.5-26.5; ^c14.0-31.9; ^d1.8-12.5; ^e6.9-33.5; ^f14.7-39.0; ^g1.1-14.6; ^h8.1-33.3; ⁱ18.4-40.6; ^j2.5-16.8; ^k8.3-39.6; ^l18.2-46.6; ^m1.4-18.3.

pCR

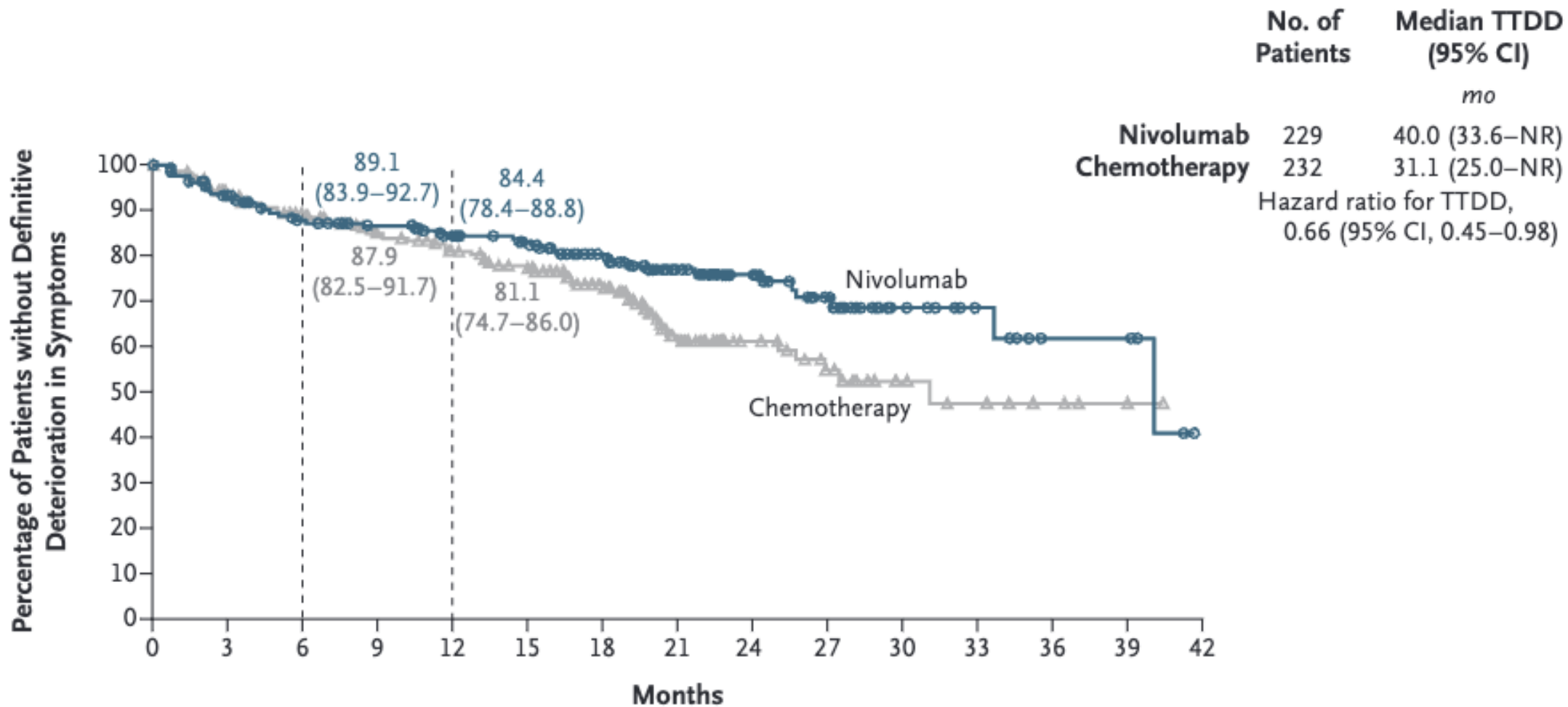
Stage III single-station N2^aStage III multi-station N2^a

^aN2 subcategory was not reported in 1 patient in the NIVO arm. ^{b-m}95% CI: ^b1.9-23.7; ^c9.7-30.9; ^d2.1-18.2; ^e9.3-44.0; ^f14.2-48.0; ^g0.1-14.2; ^h3.6-29.3; ⁱ12.9-39.5; ^j3.0-25.4; ^k12.7-54.0; ^l18.8-59.4; ^m0.1-17.8.

EFS from randomization^a



B TTDD in Disease-Related Symptoms, According to NSCLC-SAQ Total Scores



No. at Risk

| | | | | | | | | | | | | | | | |
|--------------|-----|-----|-----|-----|-----|-----|-----|----|----|----|----|----|---|---|---|
| Nivolumab | 229 | 182 | 159 | 150 | 137 | 129 | 105 | 72 | 52 | 36 | 16 | 10 | 5 | 5 | 0 |
| Chemotherapy | 232 | 188 | 163 | 148 | 135 | 124 | 90 | 48 | 33 | 24 | 12 | 9 | 4 | 1 | 0 |

Key points from 77T

- Perioperative Nivolumab offers comparable EFS results to neoadjuvant Nivolumab.
- Patient level comparisons will be required to provide insight into contribution of adjuvant Nivolumab
- High PCR rates regardless of N2 positivity or extent of involvement (multi-N2)
- **Peri-operative Nivolumab removed stage effects of N2 involvement**
- **Hence, biology trumps anatomical staging which in turn will dictate the role of surgery**
- QoL is prolonged via the receipt of peri-operative Nivolumab

A first in 30 years of resectable lung cancer research

The NEW ENGLAND JOURNAL *of* MEDICINE

ESTABLISHED IN 1812

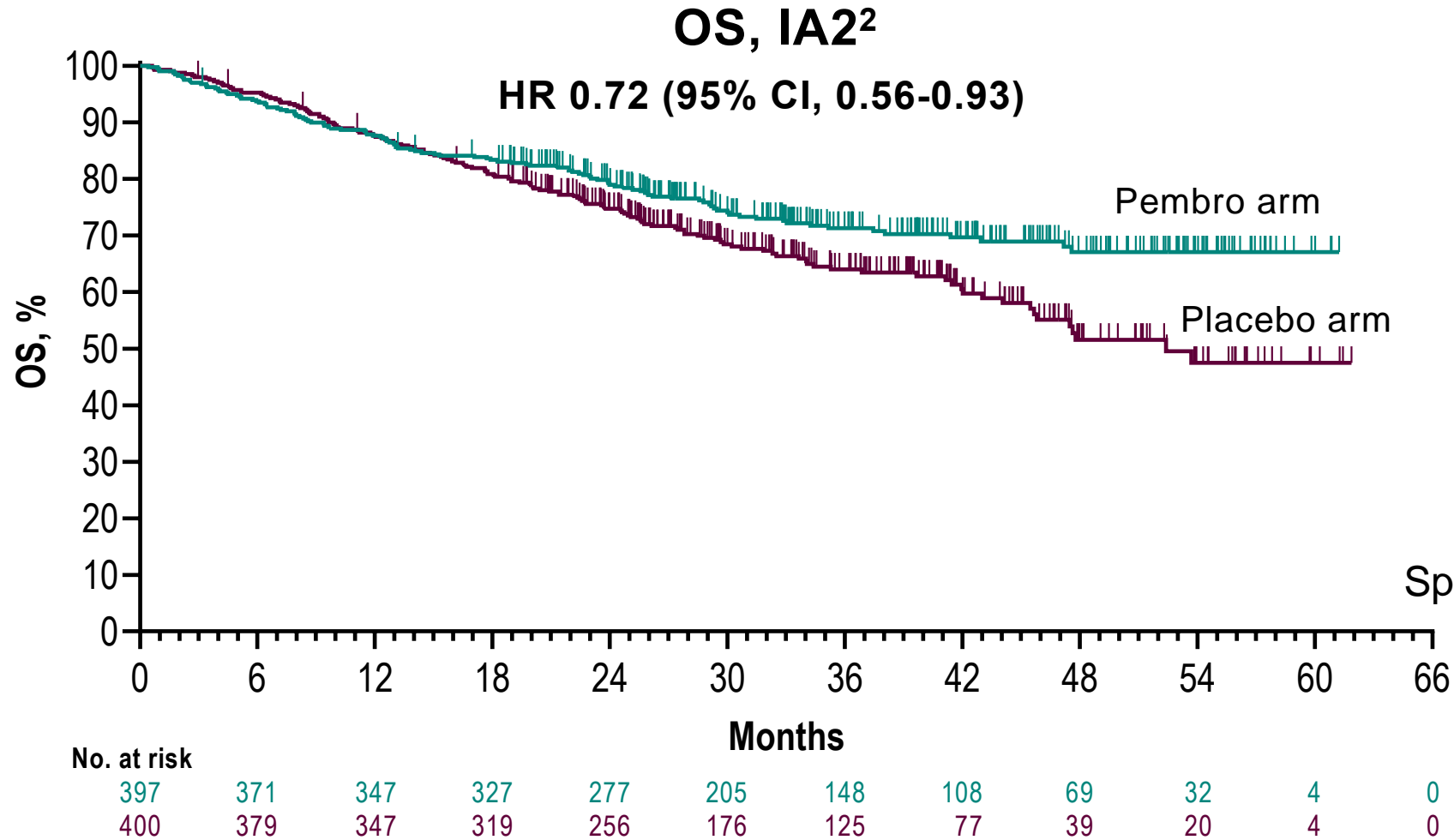
AUGUST 10, 2023

VOL. 389 NO. 6

Perioperative Pembrolizumab for Early-Stage Non–Small-Cell Lung Cancer

H. Wakelee, M. Liberman, T. Kato, M. Tsuboi, S.-H. Lee, S. Gao, K.-N. Chen, C. Doooms, M. Majem, E. Eigendorff, G.L. Martinengo, O. Bylicki, D. Rodríguez-Abreu, J.E. Chaft, S. Novello, J. Yang, S.M. Keller, A. Samkari, and J.D. Spicer, for the KEYNOTE-671 Investigators*

NCCN level 1A recommendation for KN671 peri-operative pembrolizumab with neoadjuvant chemotherapy

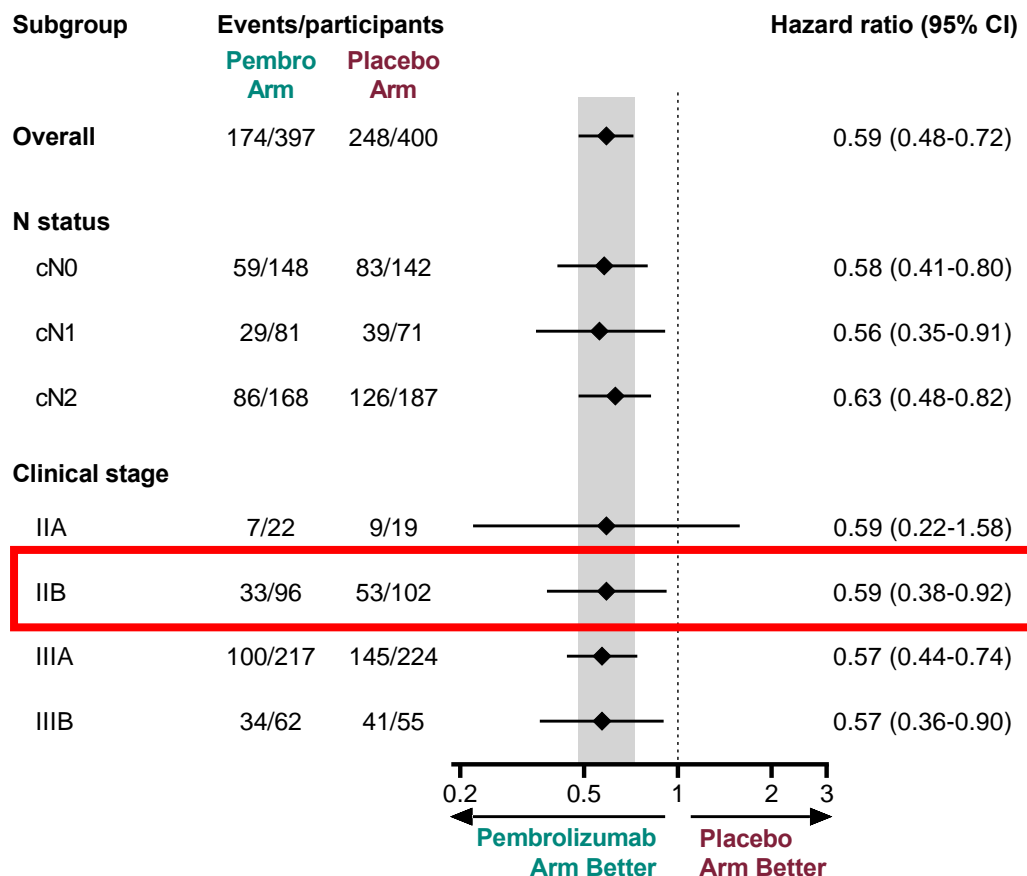


Spicer et al, ESMO 2023

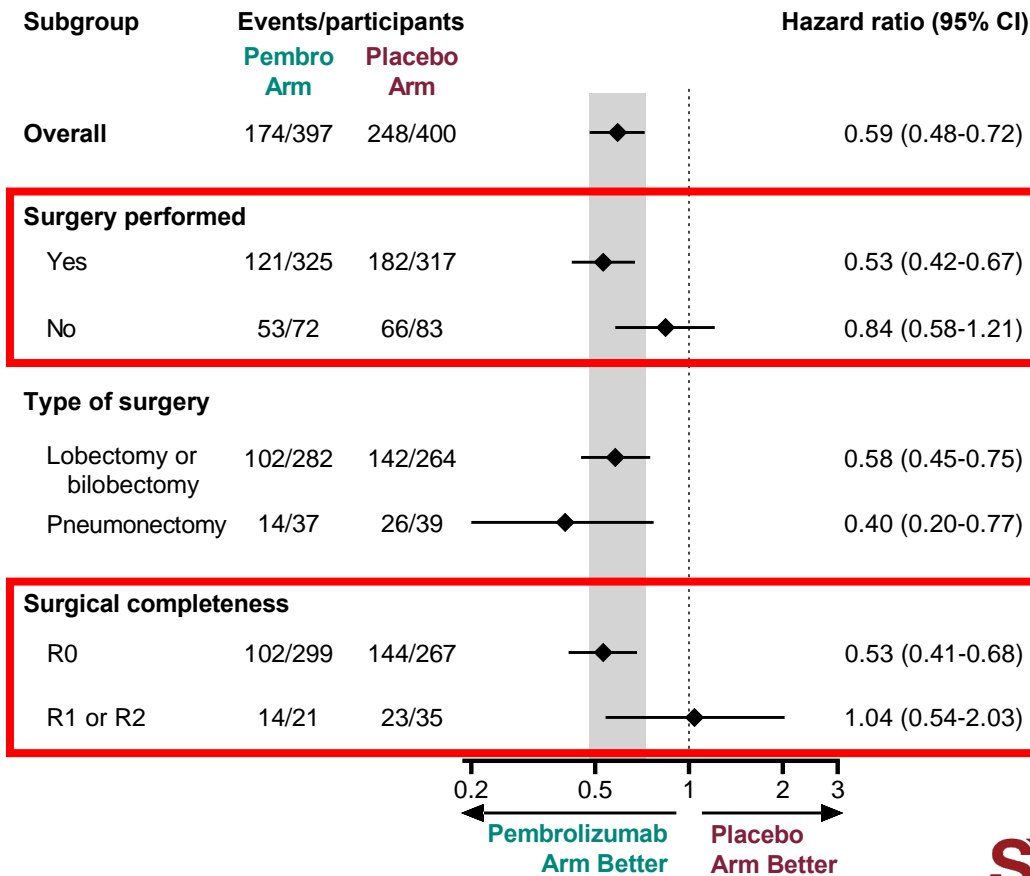
Completing surgery and achieving a complete resection are essential components

Post Hoc Analysis of EFS in Surgically Relevant Subgroups

Baseline Characteristics



Post Randomization Factors



Doing so safely is a primordial concern

All-Cause Mortality Within 30 and 90 Days of Surgery, Surgical Population

Spicer et al, STS 2024

| | Pembro Arm | Placebo Arm |
|--|------------------------|-----------------------|
| All participants who underwent surgery | n = 325 | n = 317 |
| Within 30 days | 6 (1.8%) ^a | 2 (0.6%) ^b |
| Within 90 days | 13 (4.0%) ^c | 5 (1.6%) ^d |
| Participants who underwent lobectomy or bilobectomy | n = 282 | n = 264 |
| Within 30 days | 4 (1.4%) | 2 (0.8%) |
| Within 90 days | 10 (3.5%) | 4 (1.5%) |
| Participants who underwent pneumonectomy | n = 37 | n = 39 |
| Within 30 days | 2 (5.4%) ^e | 0 |
| Within 90 days | 3 (8.1%) ^e | 1 (2.6%) ^f |

^aPulmonary embolism (n = 2) and pulmonary hemorrhage due to arterial injury during surgery, pulmonary sepsis, respiratory failure, and septic shock (n = 1 each); all attributed to surgery. ^bPneumonia and respiratory failure (n = 1 each); both attributed to surgery. ^cAdditional deaths that occurred from days 31-90: malignant neoplasm progression (n = 3) and cardiac arrest, pulmonary hemorrhage, immune-mediated lung disease, and unexplained death (n = 1 each); none attributed to surgery; immune-mediated lung disease attributed to study drug. ^dAdditional deaths that occurred from days 31-90: acute respiratory failure, malignant neoplasm progression, and septic shock (n = 1 each); none attributed to surgery or study drug. ^eDeaths within 30 days occurred in 1 of 23 participants with a left-sided tumor and 1 of 14 participants with a right-sided tumor; within 90 days, 1 additional participant with a right-sided tumor died. ^fDeath occurred in 1 of 24 participants with a right-sided tumor. Data cutoff date for IA2: July 10, 2023.

Key points from KN671




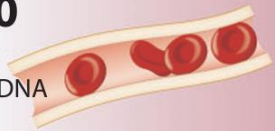

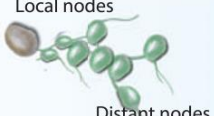
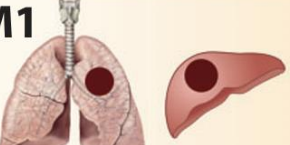


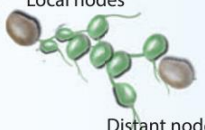

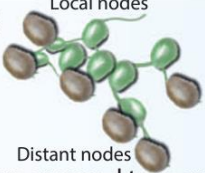
- Perioperative Pembrolizumab improves OS
- Stage II patients benefit to same extent as stage III patients
- Benefits most pronounced in patients who undergo surgery
- R0 resection is necessary to experience benefit from the addition of peri-operative pembrolizumab

Summary of unmet needs



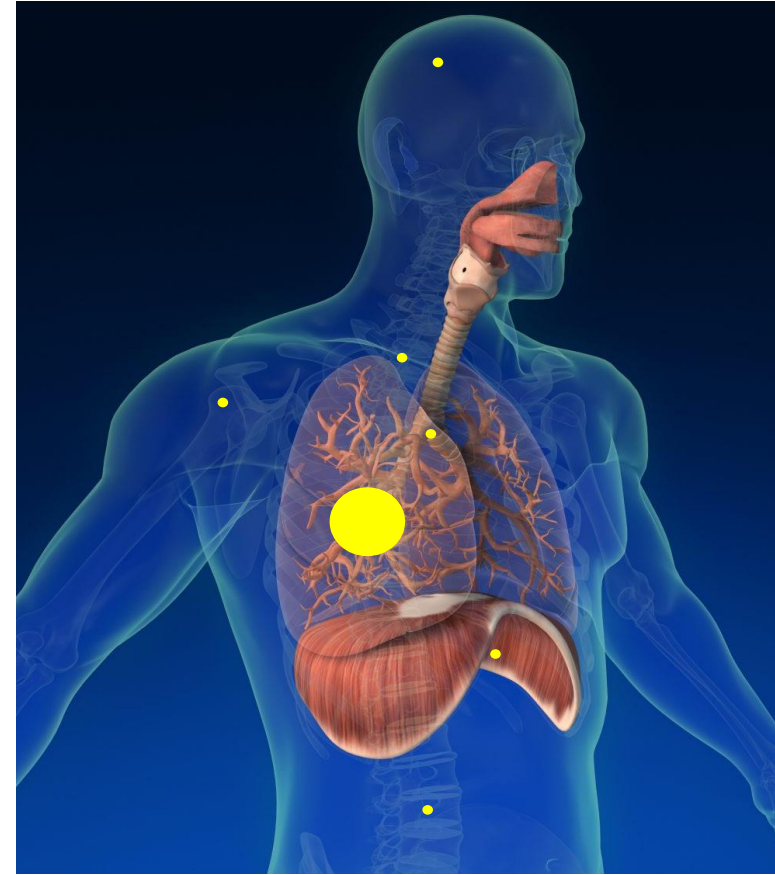
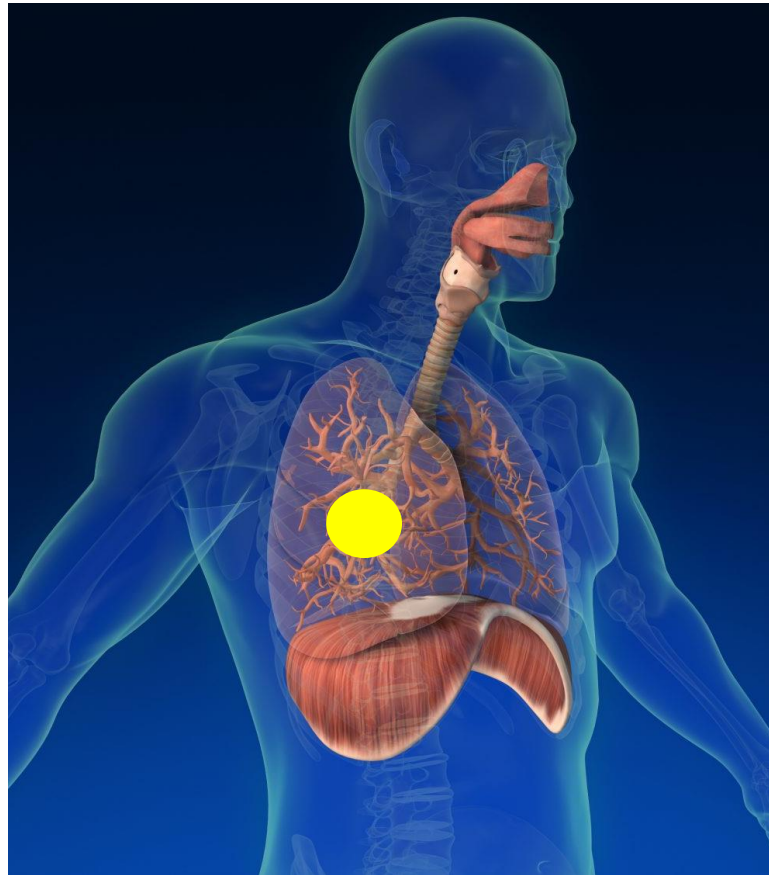
McGill

Key unmet need #1: Detection of micrometastasis to avoid unnecessary systemic therapy

| T Tumor Size | N Lymph Node | M Metastasis | B Blood |
|--|---|---|---|
| T1  Tumor size/local invasion | N0 Local nodes  Distant nodes No regional lymph node invasion | M0  No distant metastasis | B0  ctDNA No ctDNA mutations in blood |
| T2  Tumor size/local invasion | N1 Local nodes  Distant nodes Tumor spread to closest or small number of regional lymph nodes | M1  Distant metastasis | B1  ctDNA mutations in blood (can be further defined with more detailed quantification in the future) |
| T3  Tumor size/local invasion | N2 Local nodes  Distant nodes Tumor spread to an extent between N1 and N3 | | |
| T4  Tumor of any size that invades to other organs | N3 Local nodes  Distant nodes Tumor spread to more distant or regional numerous lymph nodes | | |



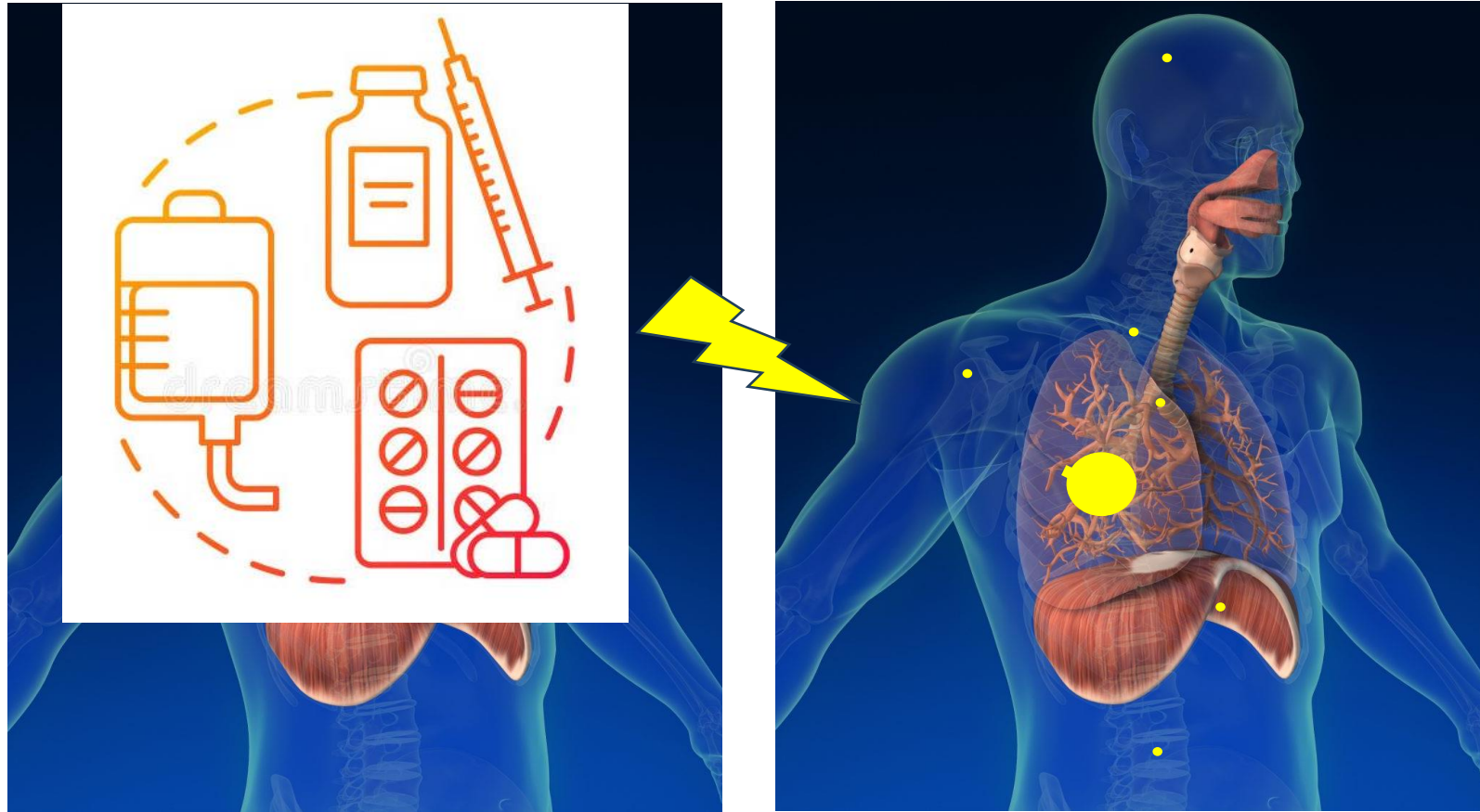
Key unmet need #1: Detection of micrometastasis to avoid unnecessary systemic therapy



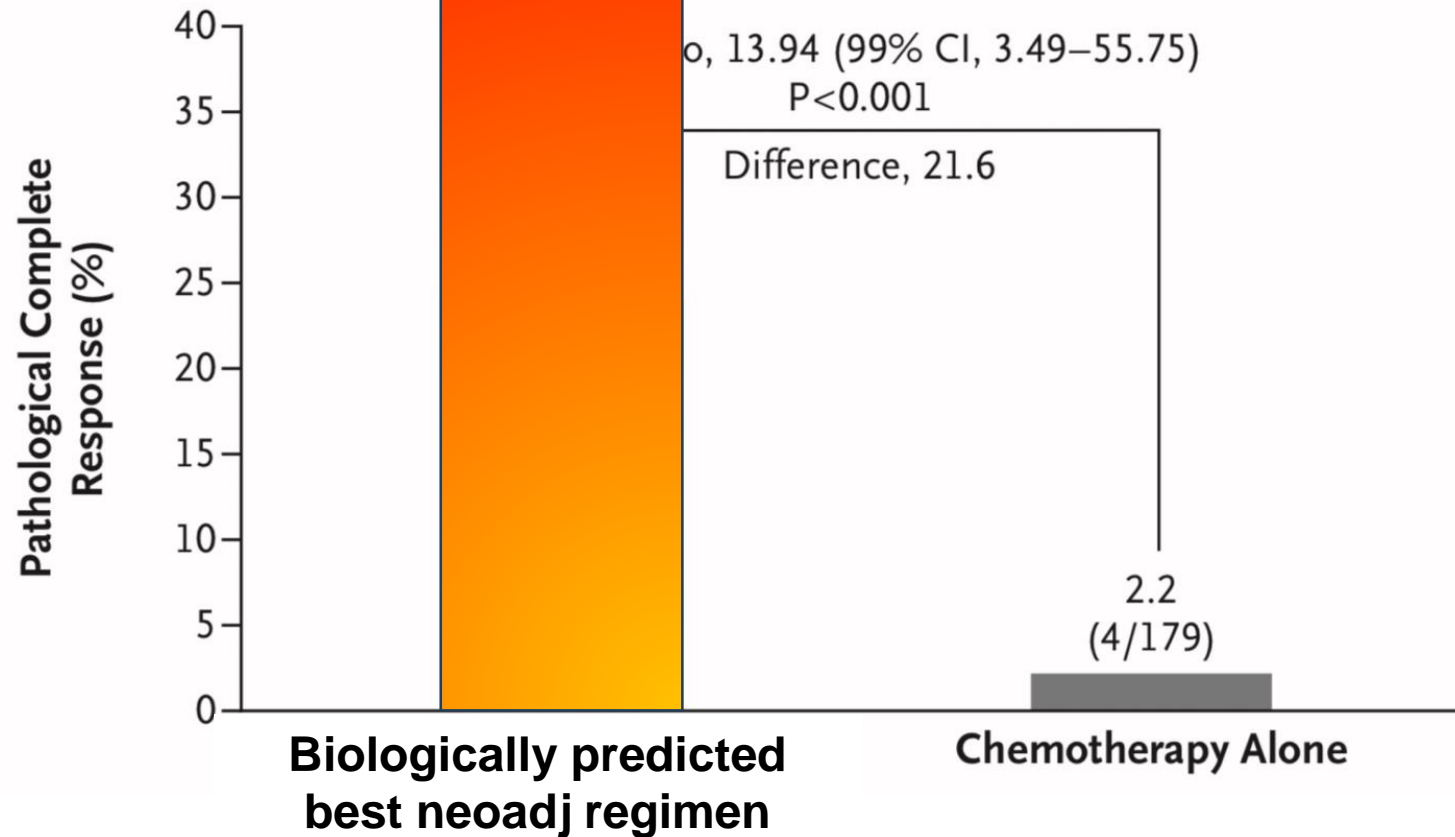
McGill

Department of
Surgery

Key unmet need #2: Assignment of micrometastatic patients to biologically tailored systemic therapy



Key unmet need #3: Improve ablative potential of systemic therapies via biomarker driven selection



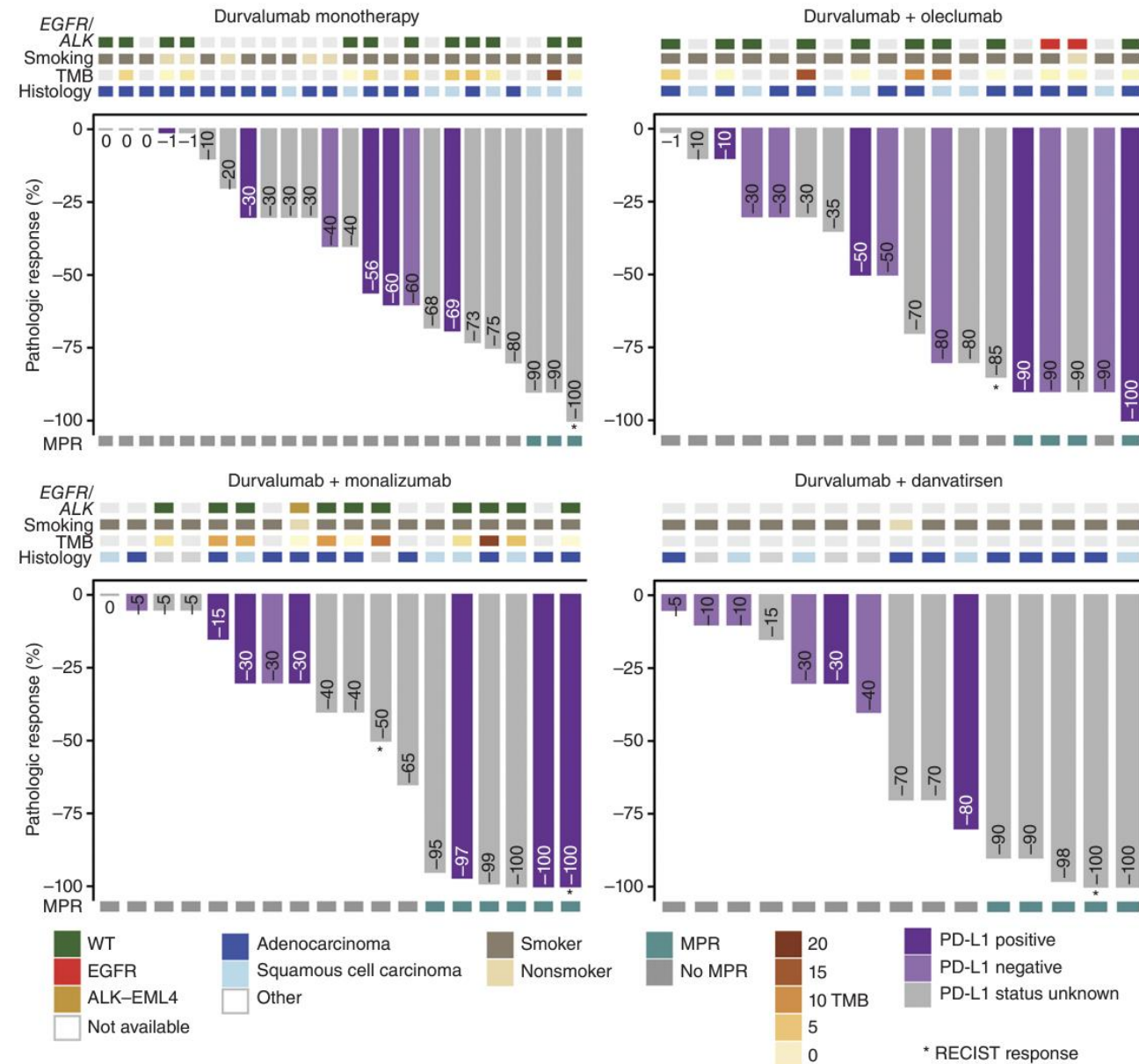
Forde et al, NEJM 2022

NeoCOAST is a proof-of-concept window of opportunity trial designed to perform signal finding by pathological response

RESEARCH ARTICLE

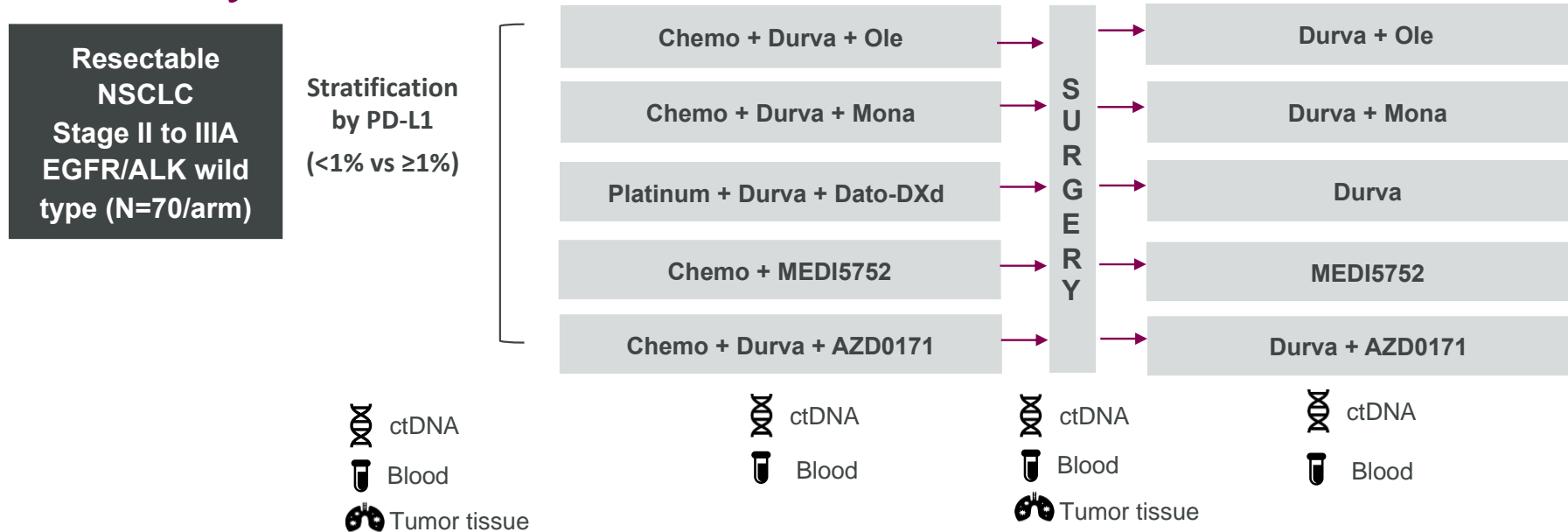
Neoadjuvant Durvalumab Alone or Combined with Novel Immuno-Oncology Agents in Resectable Lung Cancer: The Phase II NeoCOAST Platform Trial

Tina Cascone¹, Gozde Kar², Jonathan D. Spicer³, Rosario García-Campelo⁴, Walter Weder⁵, Davey B. Daniel⁶, David R. Spigel⁶, Maen Hussein⁷, Julien Mazieres⁸, Julio Oliveira⁹, Edwin H. Yau¹⁰, Alexander I. Spira¹¹, Valsamo Anagnostou¹², Raymond Mager¹³, Oday Hamid¹³, Lin-Yang Cheng¹³, Ying Zheng¹³, Jorge Blando¹³, Tze Heng Tan¹⁴, Michael Surace¹³, Jaime Rodriguez-Canales¹³, Vancheswaran Gopalakrishnan¹³, Bret R. Sellman¹³, Italia Grenga¹⁵, Yee Soo-Hoo¹³, Rakesh Kumar¹³, Lara McGrath¹⁵, and Patrick M. Forde¹²



Next iteration is underway...

Comprehensive translational profiling of tumor, ctDNA, and pharmacodynamic biomarkers in NeoCOAST-2



Biomarker analyses

- FFPE tumor for PD-L1, TROP2, CD73, others to determine patients most likely to benefit
- Longitudinal blood and tumor to provide insights to MoA and associations with response

ctDNA clearance

- Detect early signals of clinical benefit, including patients who will experience pCR
- Identify patients with improved EFS/OS despite not experiencing pCR

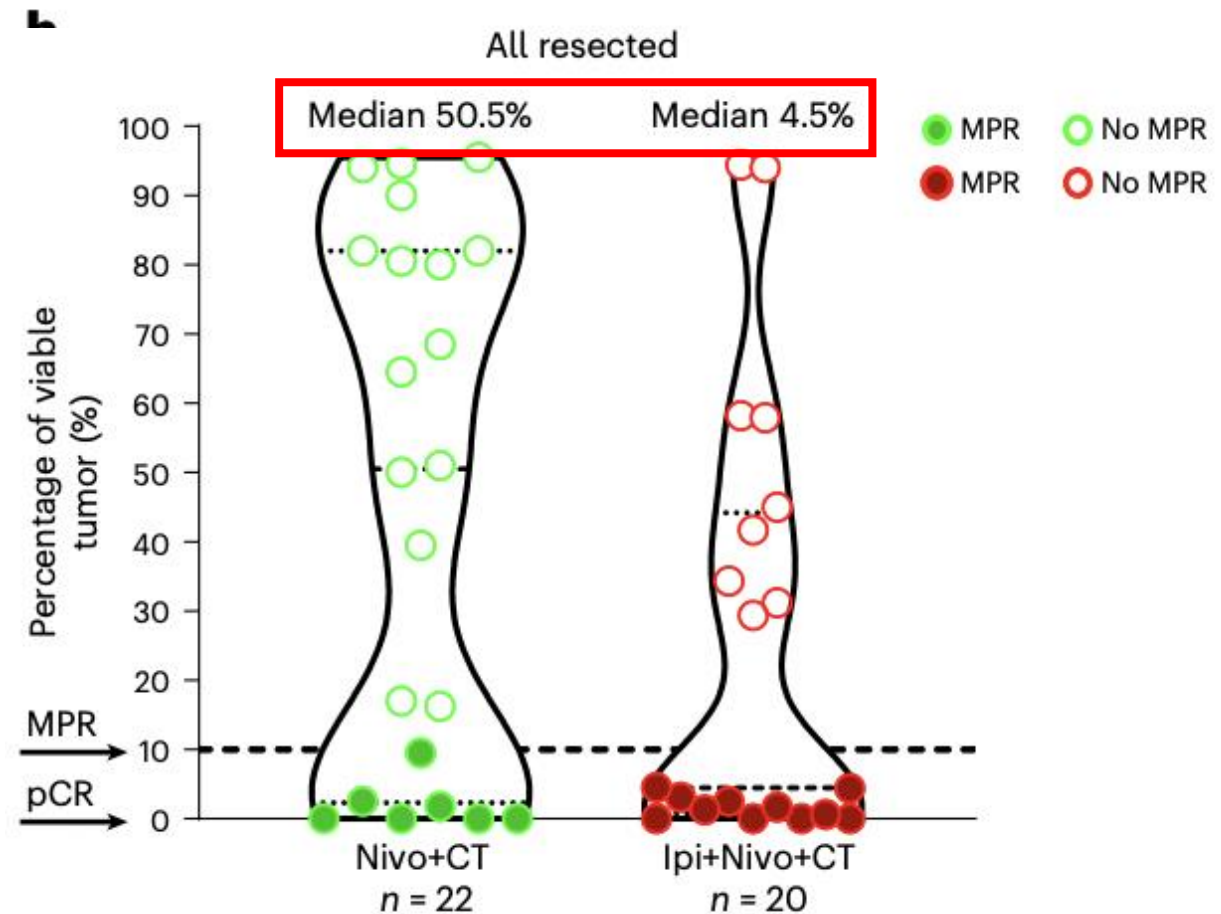
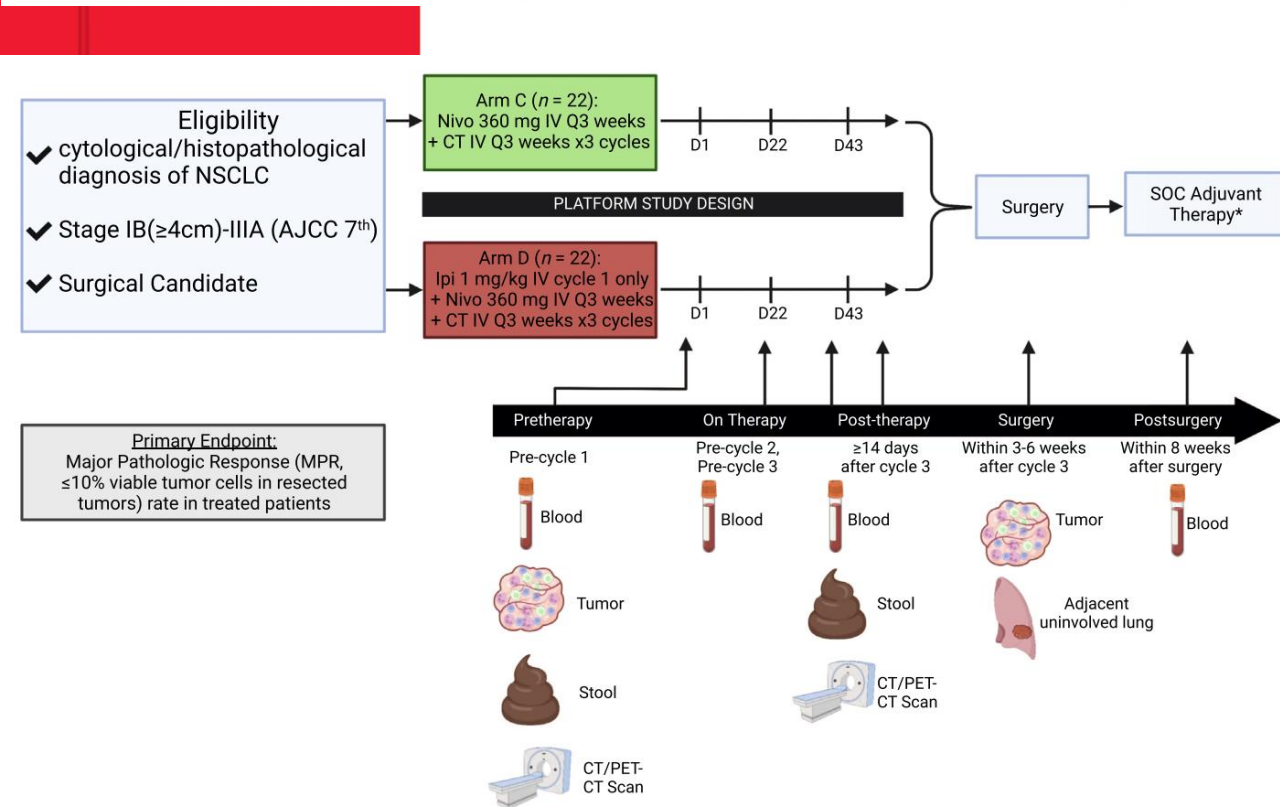
ctDNA MRD Profiling

- Identify patients with high risk of recurrence

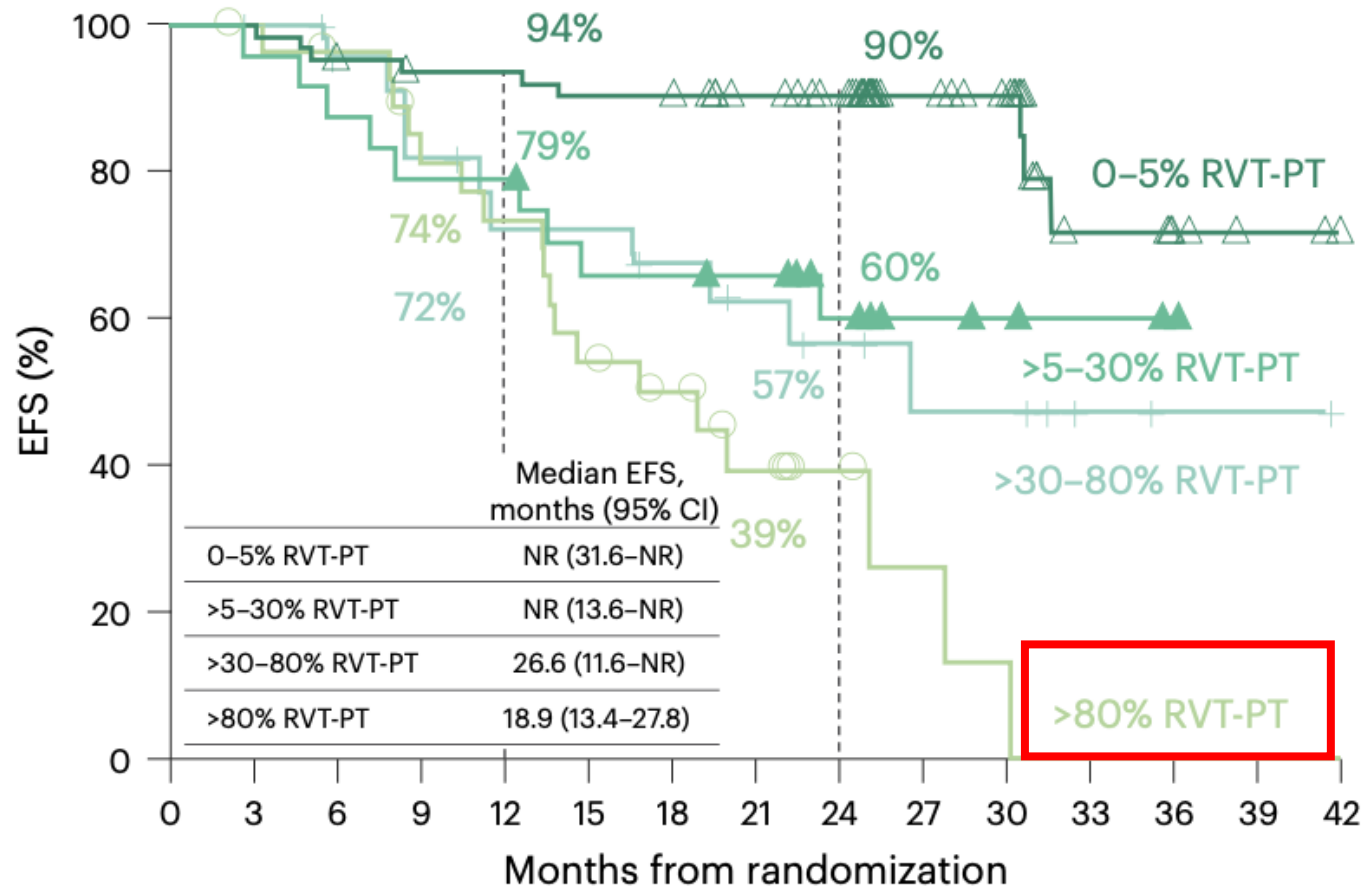




Neoadjuvant chemotherapy plus nivolumab with or without ipilimumab in operable non-small cell lung cancer: the phase 2 platform NEOSTAR trial



Key unmet need #4: How do we rescue patients with poor pathological response to neoadjuvant Tx

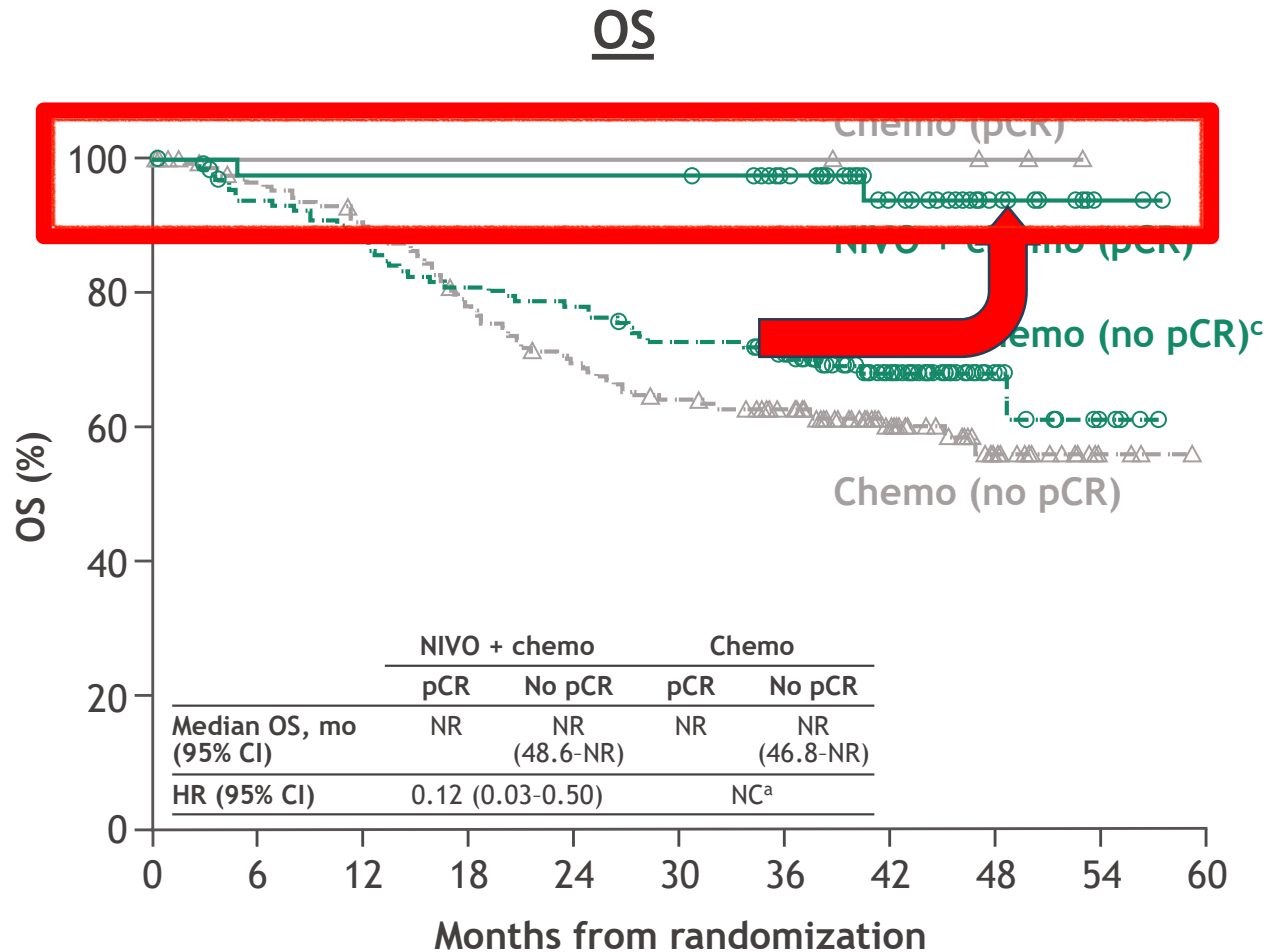


Deutsch et al, Nat Med 2023

No. at risk

| | | | | | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|----|---|---|---|---|
| 63 | 63 | 59 | 57 | 57 | 55 | 55 | 50 | 46 | 26 | 21 | 9 | 4 | 2 | 0 |
| 24 | 23 | 21 | 19 | 19 | 15 | 15 | 14 | 10 | 5 | 4 | 2 | 1 | 0 | 0 |
| 25 | 24 | 21 | 18 | 15 | 15 | 13 | 11 | 9 | 5 | 5 | 2 | 1 | 1 | 0 |
| 29 | 28 | 26 | 22 | 19 | 14 | 11 | 7 | 4 | 2 | 1 | 0 | 0 | 0 | 0 |

Key unmet need #4: How do we rescue patients with poor pathological response to neoadjuvant Tx



| | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|----|----|----|---|---|
| 43 | 42 | 42 | 42 | 42 | 42 | 36 | 22 | 10 | 2 | 0 |
| 4 | 4 | 4 | 4 | 4 | 4 | 4 | 3 | 2 | 0 | 0 |
| 136 | 124 | 116 | 107 | 103 | 95 | 81 | 45 | 13 | 4 | 0 |
| 175 | 162 | 151 | 130 | 115 | 105 | 91 | 49 | 20 | 4 | 0 |

Accurate risk prediction is the path to efficient and useful adjuvant therapy

Article

Single-cell spatial landscapes of the lung tumour immune microenvironment

<https://doi.org/10.1038/s41586-022-05672-3>

Received: 24 March 2022

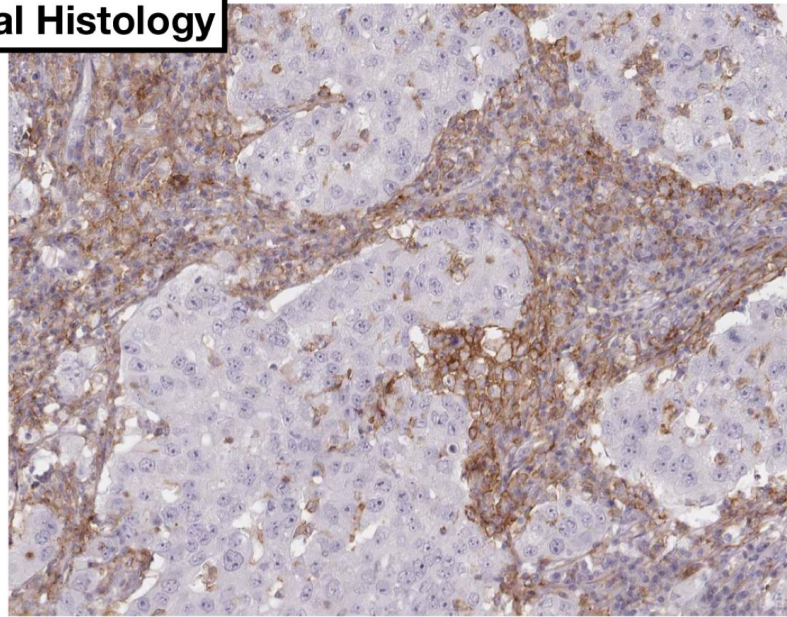
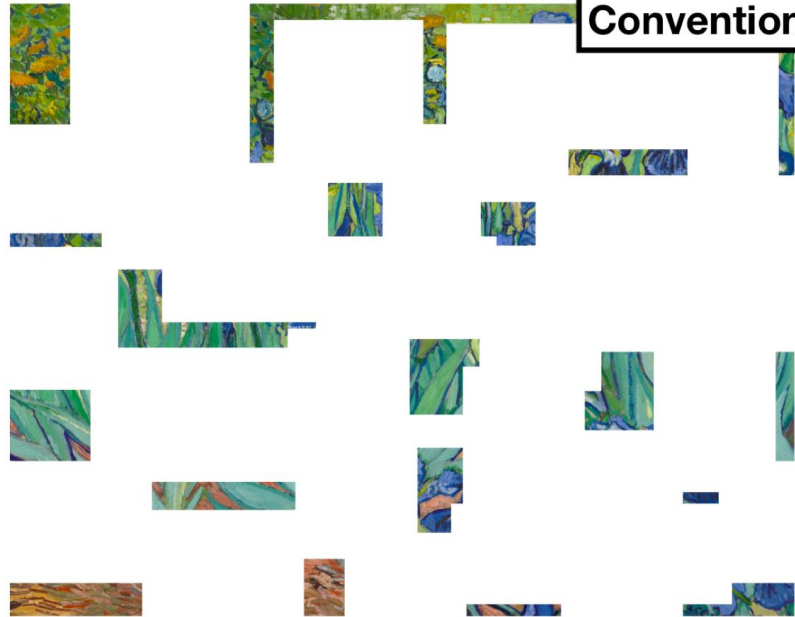
Accepted: 20 December 2022

Published online: 01 February 2023

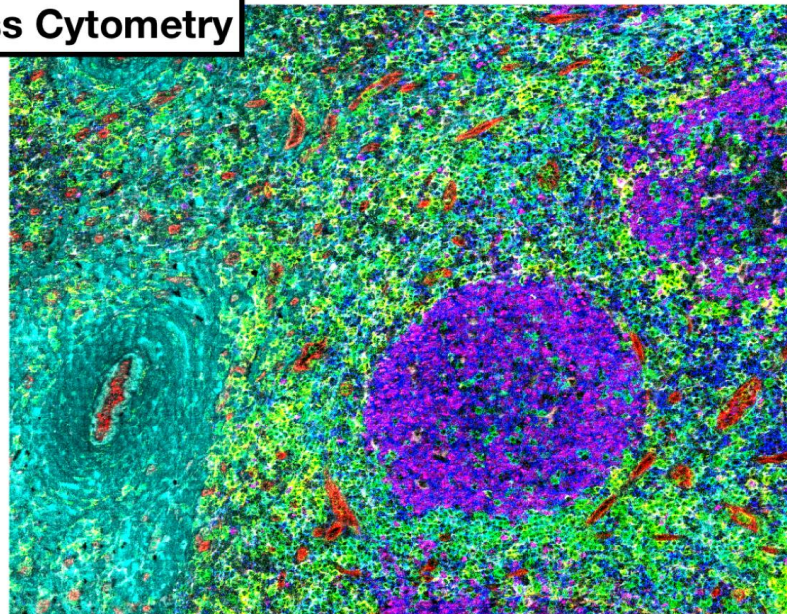
Open access

Mark Sorin^{1,2,13}, Morteza Rezanejad^{3,4,13}, Elham Karimi^{1,13}, Benoit Fiset¹, Lysanne Desharnais^{1,2}, Lucas J. M. Perus^{1,5}, Simon Milette^{1,5}, Miranda W. Yu^{1,5}, Sarah M. Maritan^{1,6}, Samuel Doré^{1,2}, Émilie Pichette⁷, William Enlow⁸, Andréanne Gagné⁸, Yuhong Wei¹, Michele Orain⁸, Venkata S. K. Manem^{8,9}, Roni Rayes¹, Peter M. Siegel^{1,6,10}, Sophie Camilleri-Broët¹¹, Pierre Olivier Fiset¹¹, Patrice Desmeules⁸, Jonathan D. Spicer^{1,6,12}, Daniela F. Quail^{1,5,6}✉, Philippe Joubert⁸✉ & Logan A. Walsh^{1,2}✉

Conventional Histology



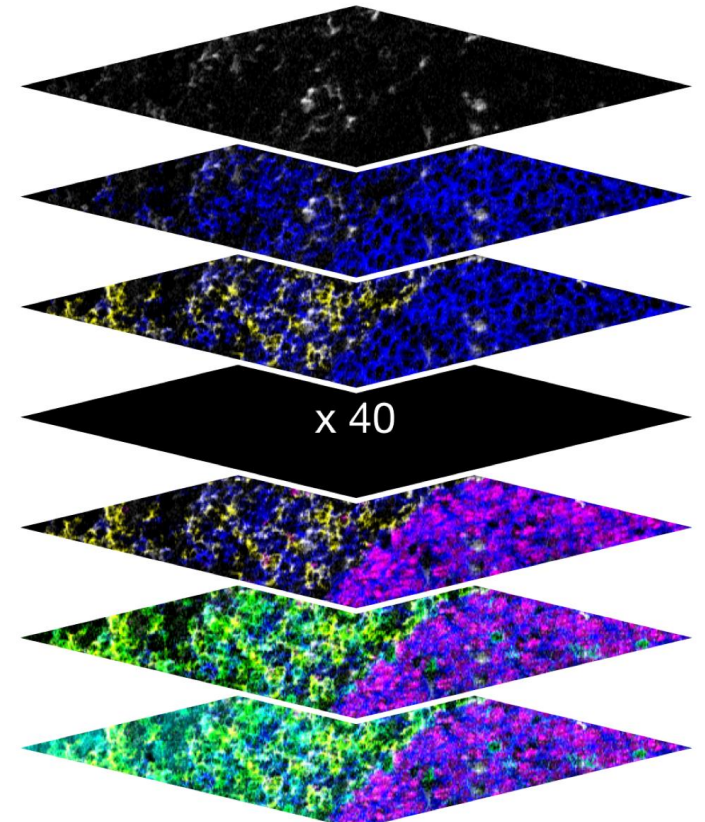
Imaging Mass Cytometry

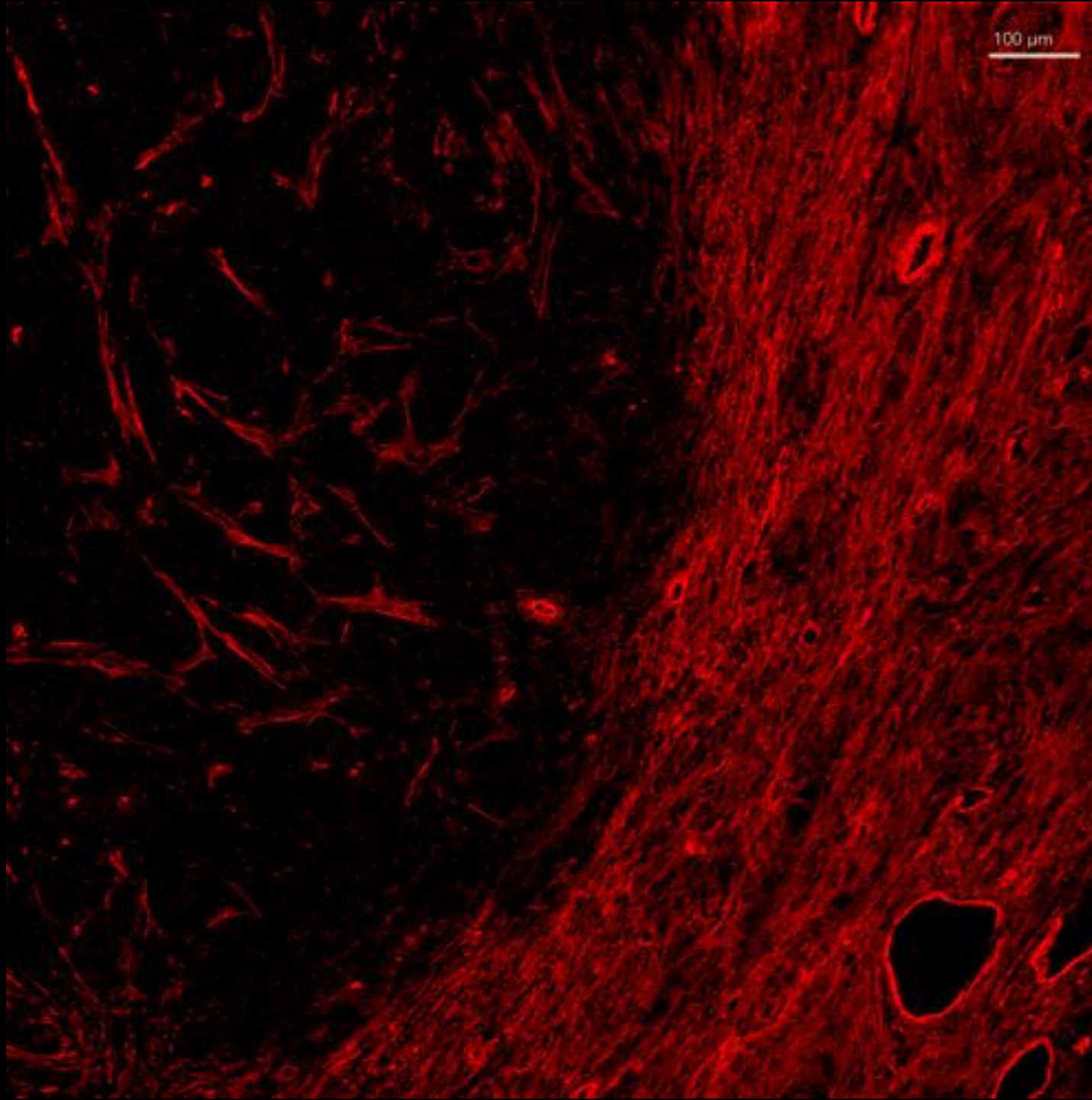


Three Minute Thesis // *Simon Milette*

***Seeing the Big Picture —
Imaging the immune response to
lung malignancies using highly
multiplexed mass cytometry***

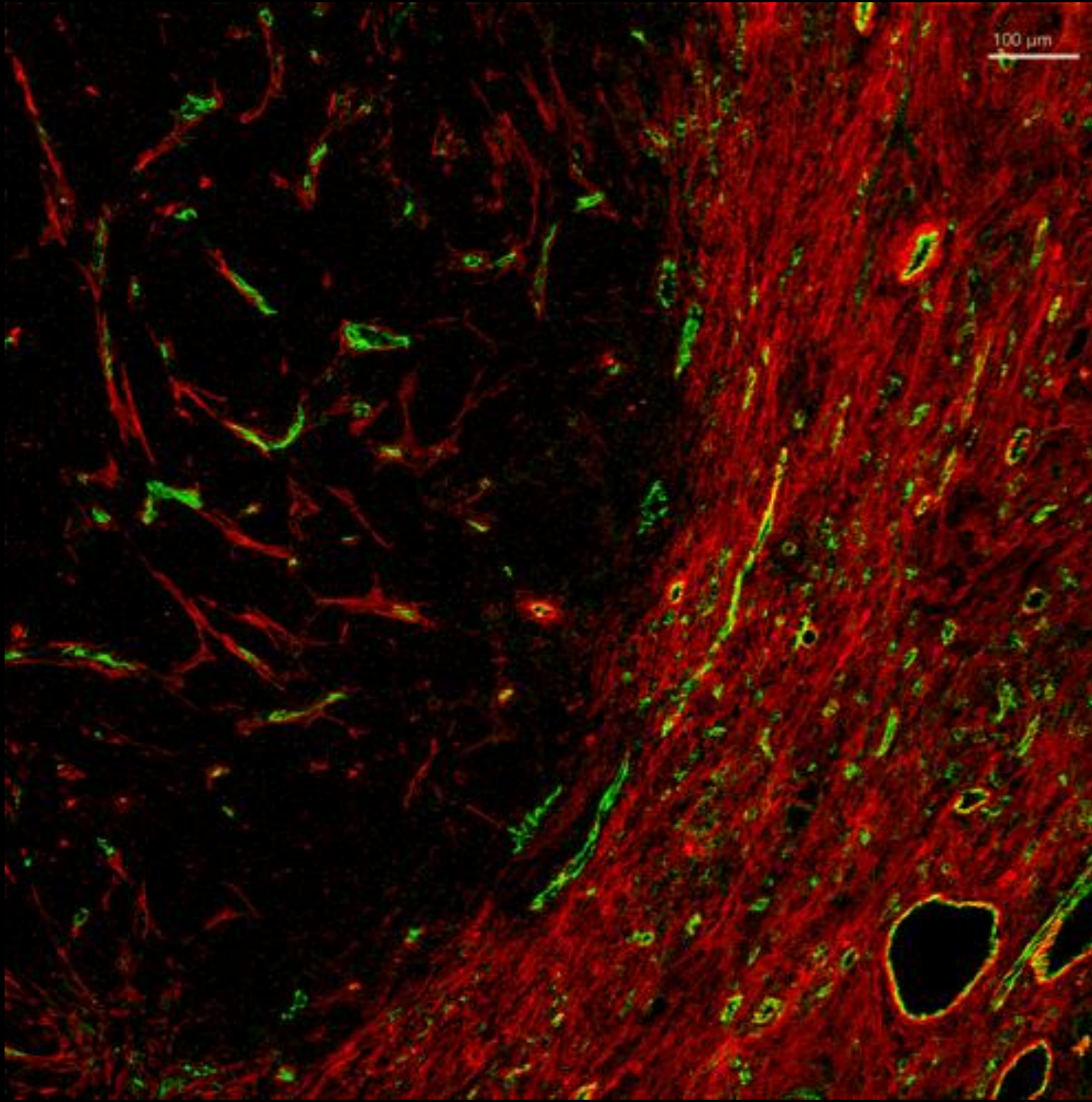
// C.R.P. Research Day



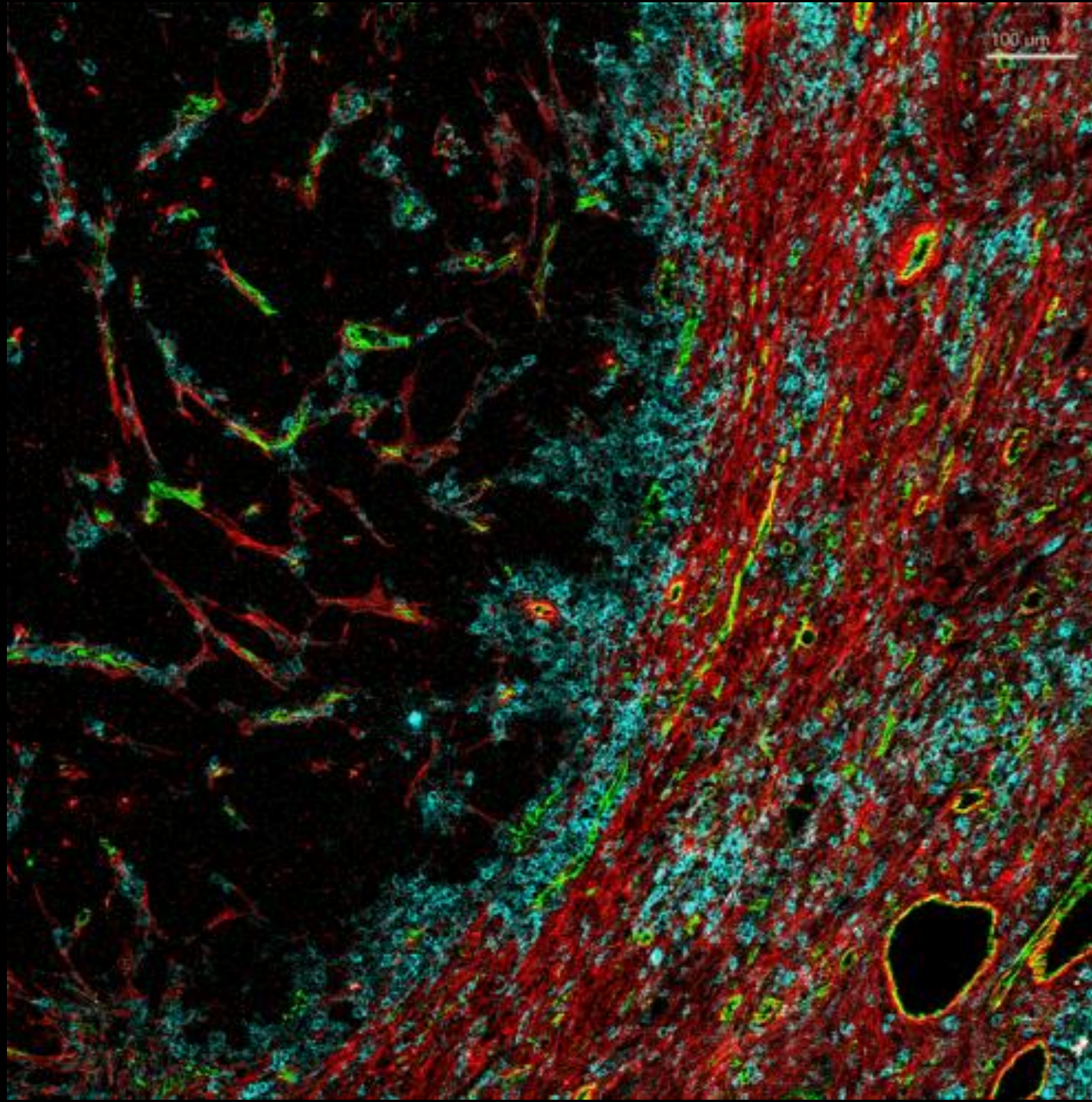


100 μ m

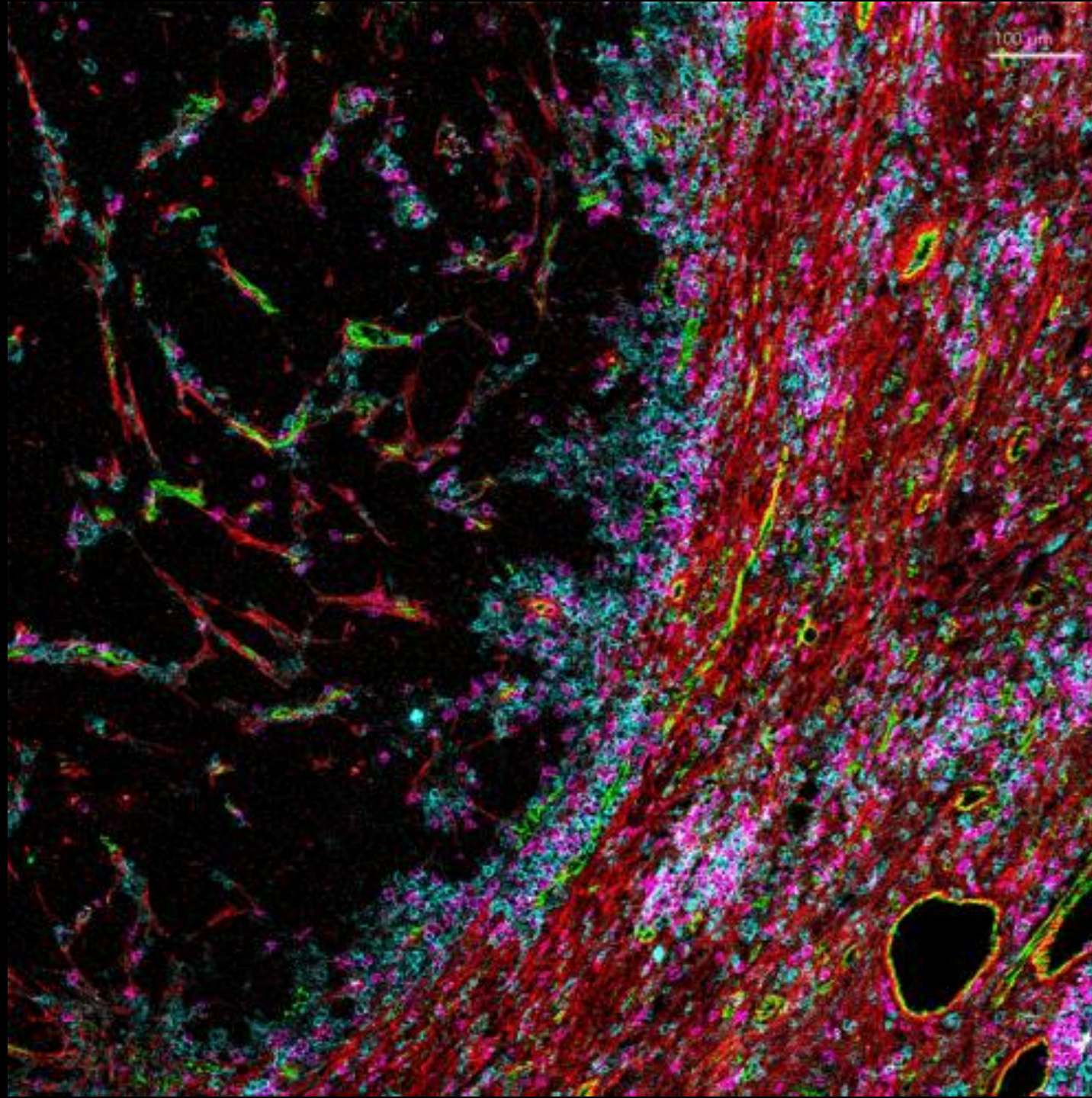
SMA



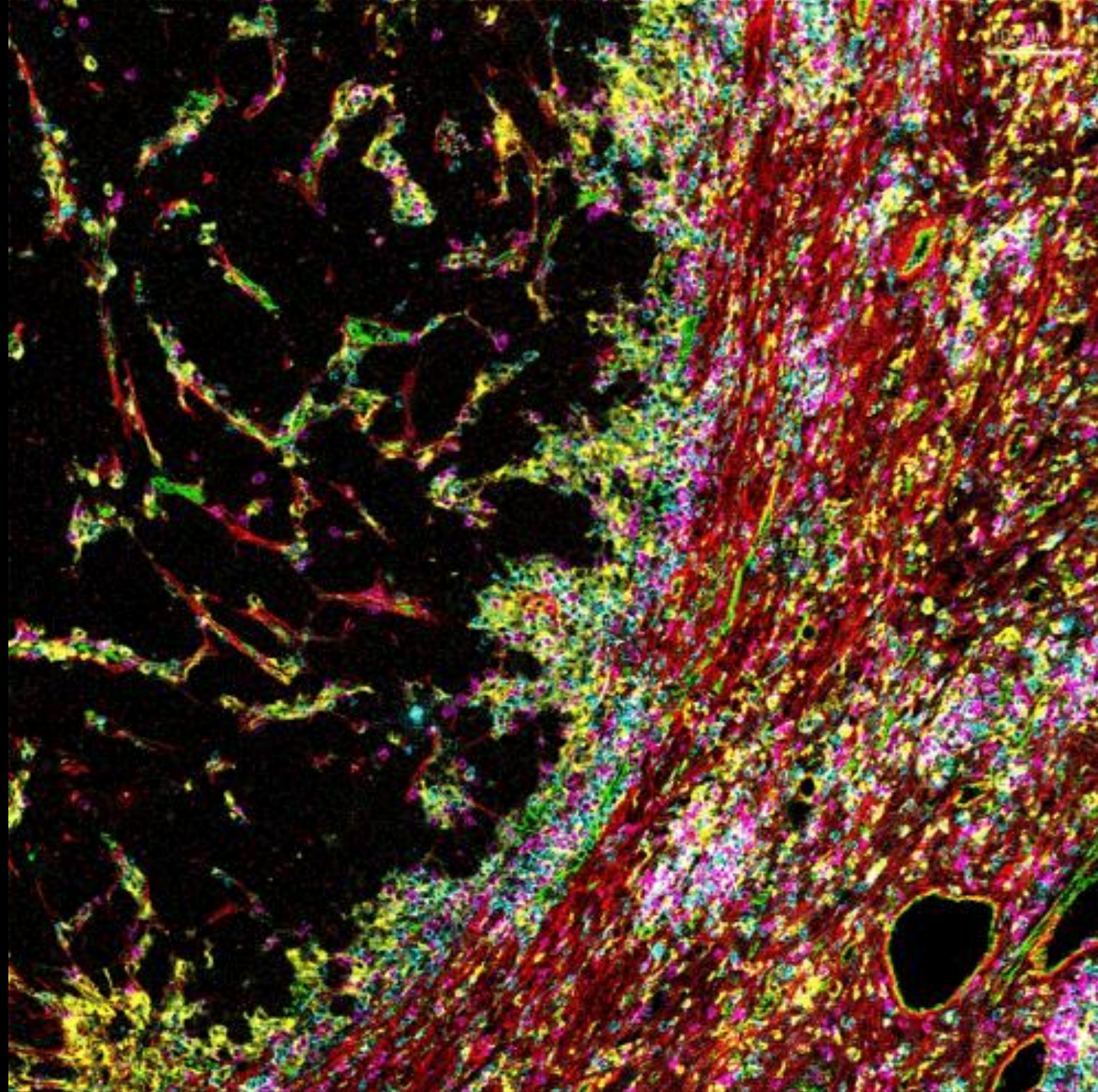
SMA
CD31



SMA
CD31
CD4



SMA
CD31
CD4
CD8a



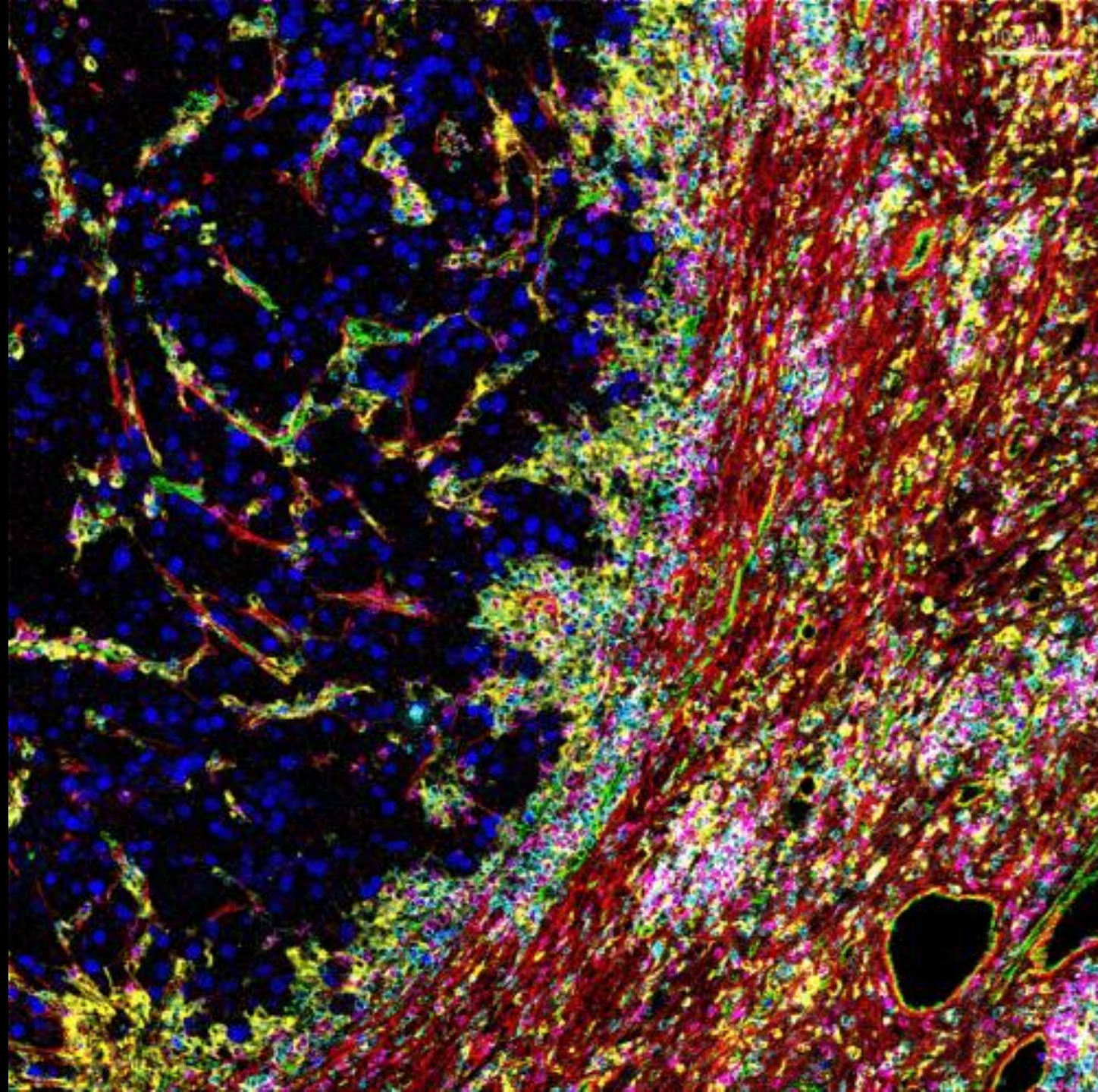
SMA

CD31

CD4

CD8a

CD68



SMA

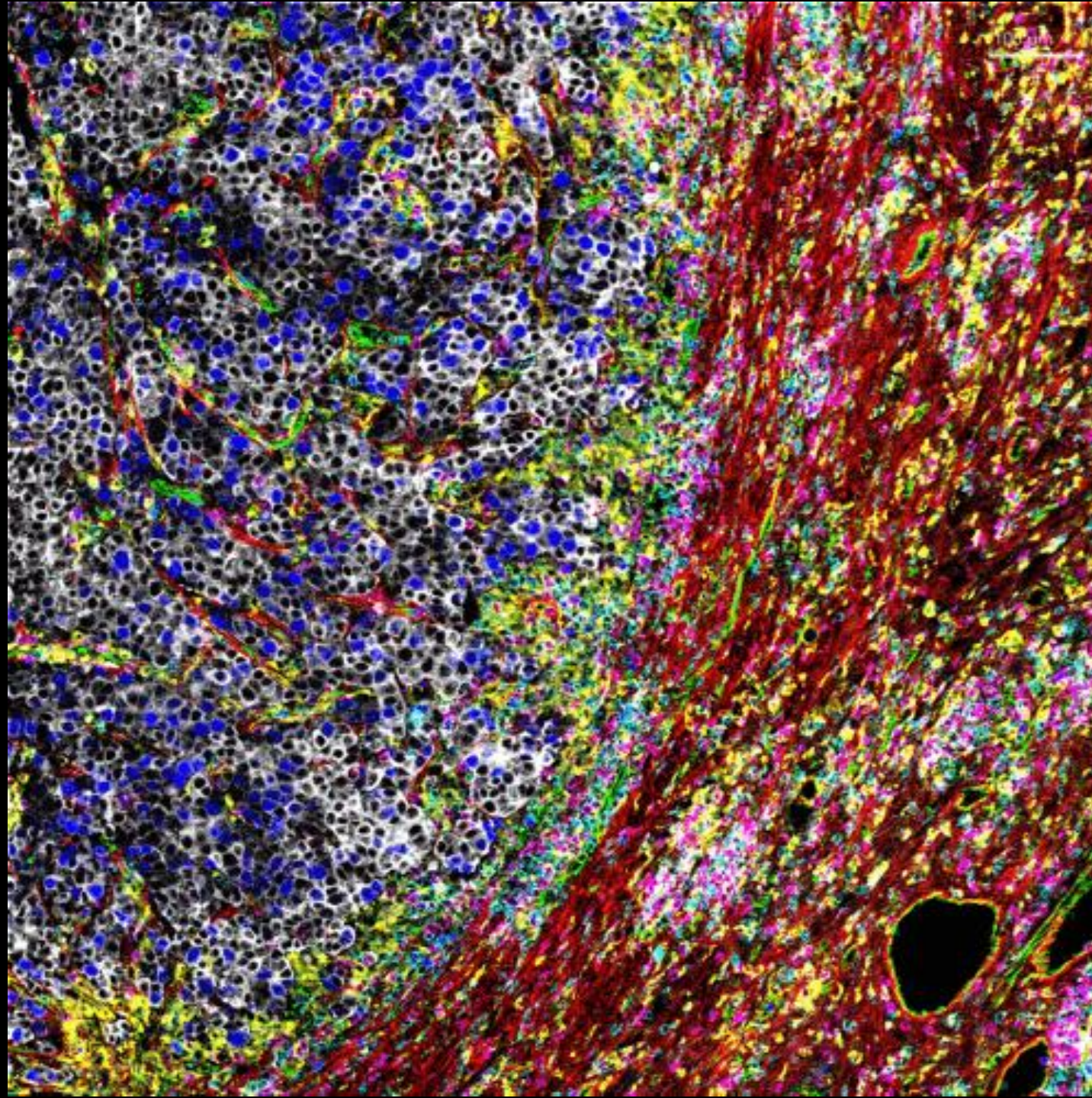
CD31

CD4

CD8a

CD68

Ki67



SMA

CD31

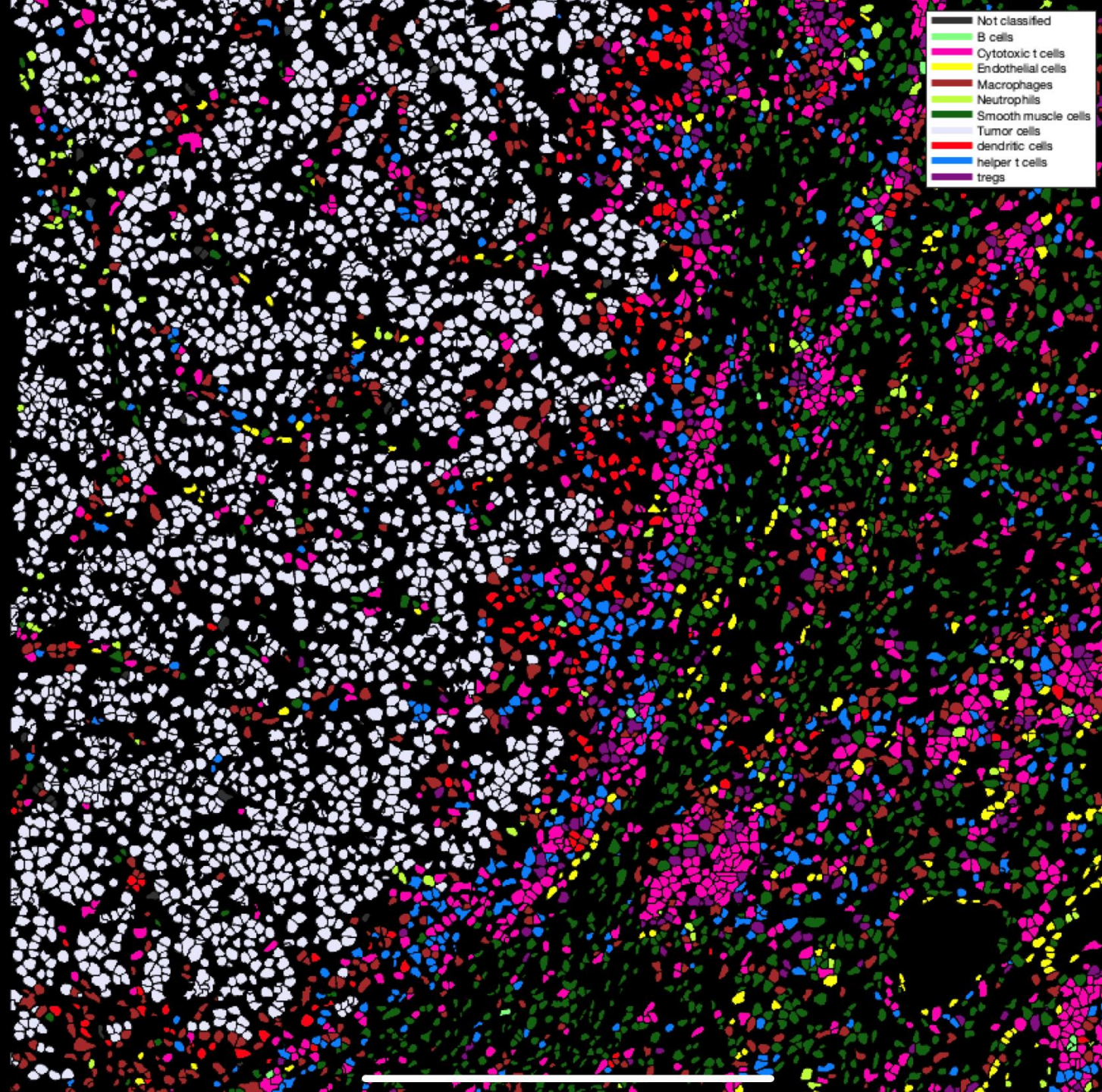
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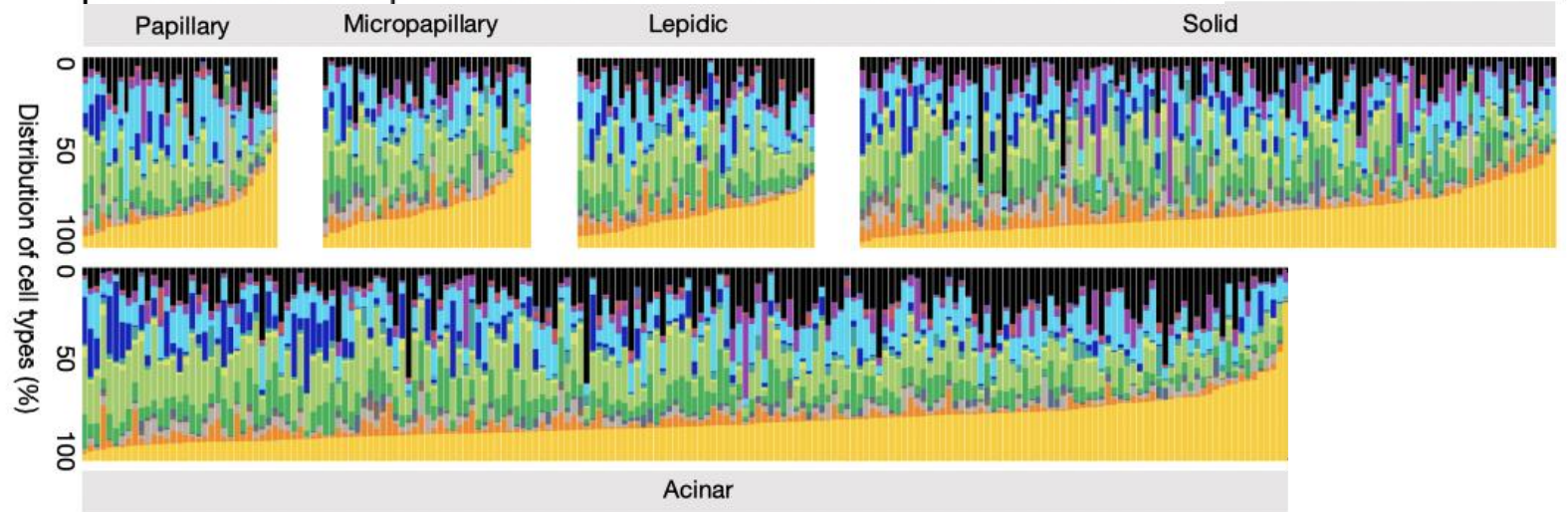
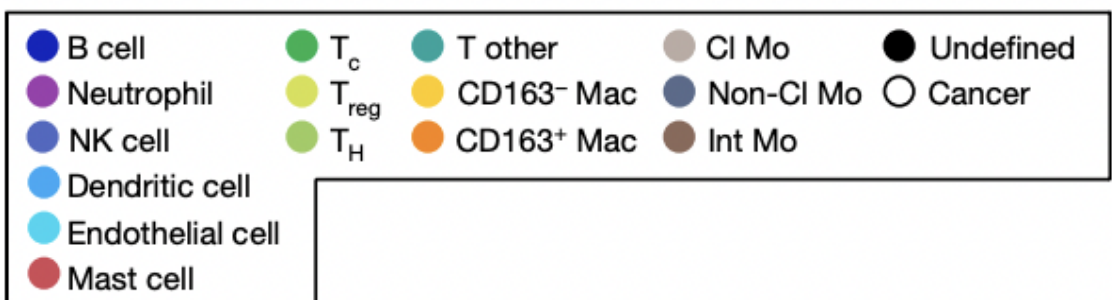
CD8a

CD68

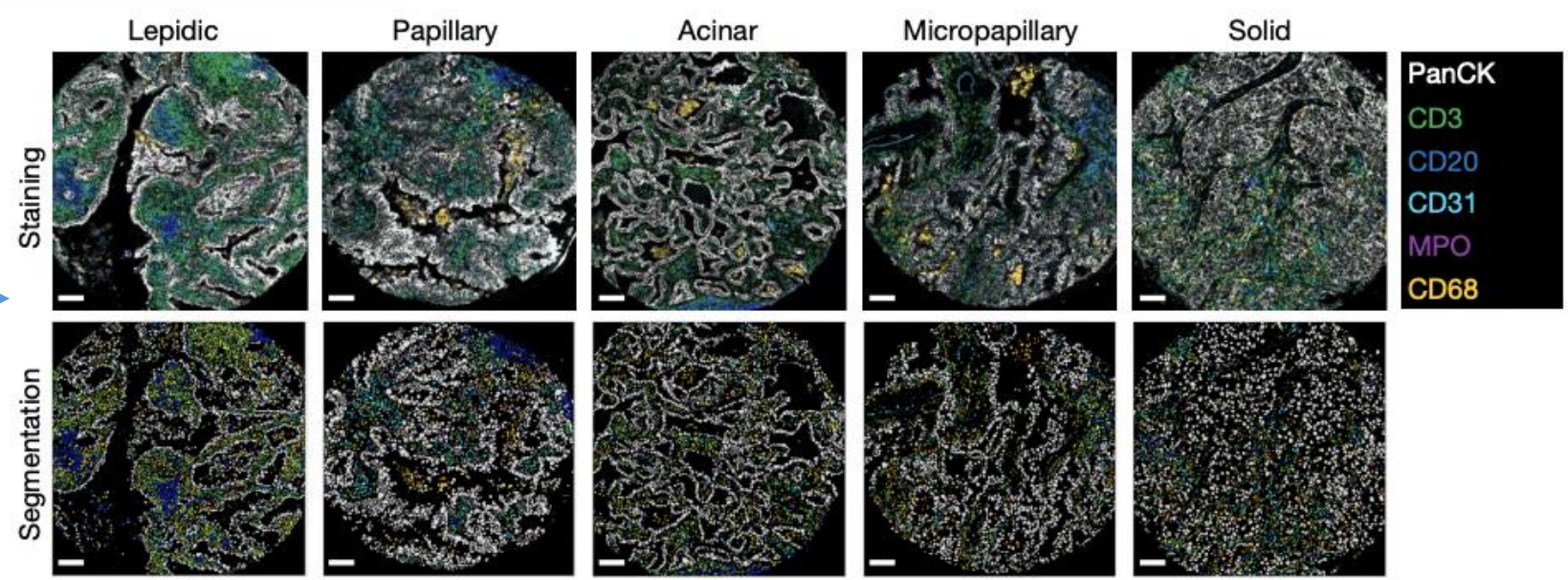
Ki67

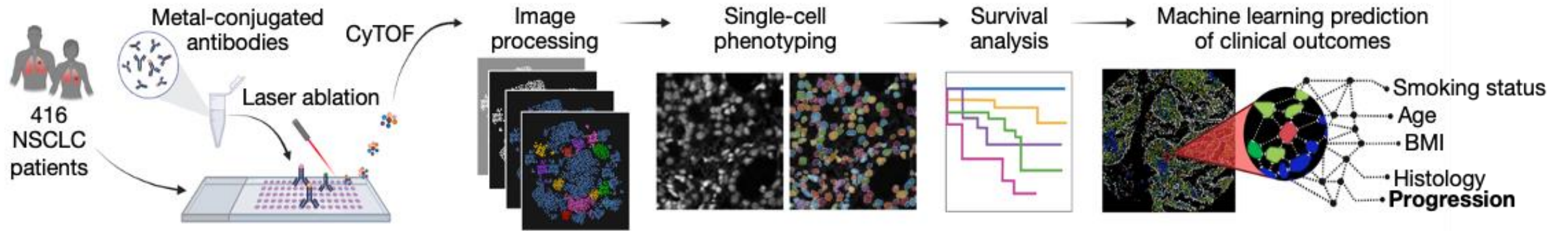
PanCK



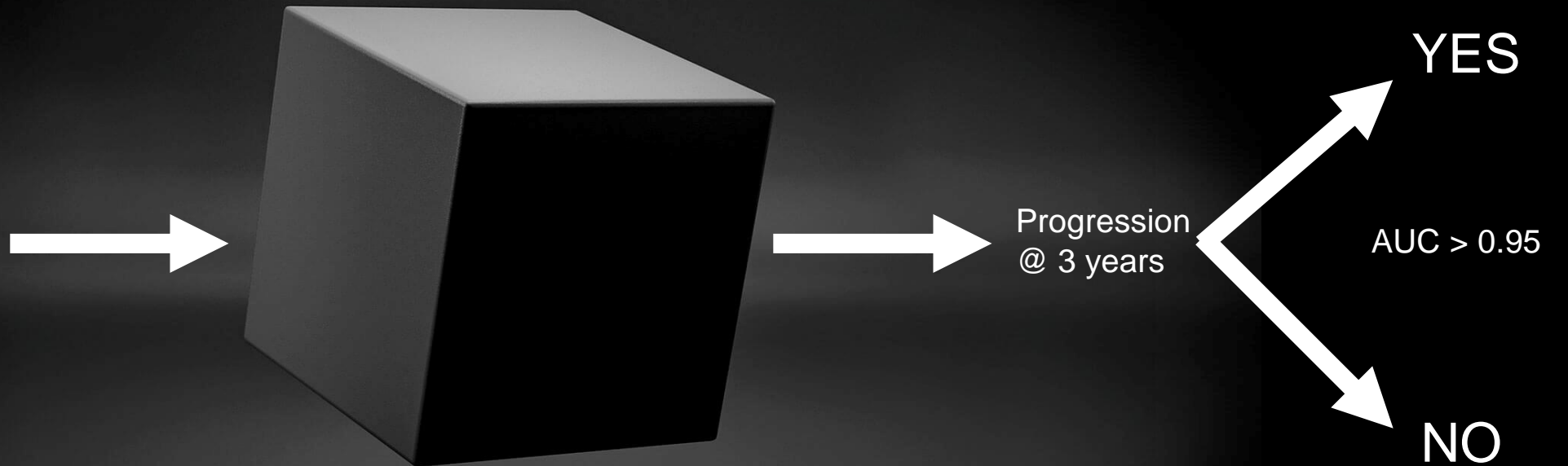


Extensive characterization of the Tumor-Immune MicroEnvironment of lung adeno ca





- Stage I adeno ca
- 1 mm² FFPE section
- 4 μm thickness
- 36 mAb panel for immune/stromal/tumoral lineage ID



Key unmet need #5: Can we omit surgery if we can predict PCR accurately?

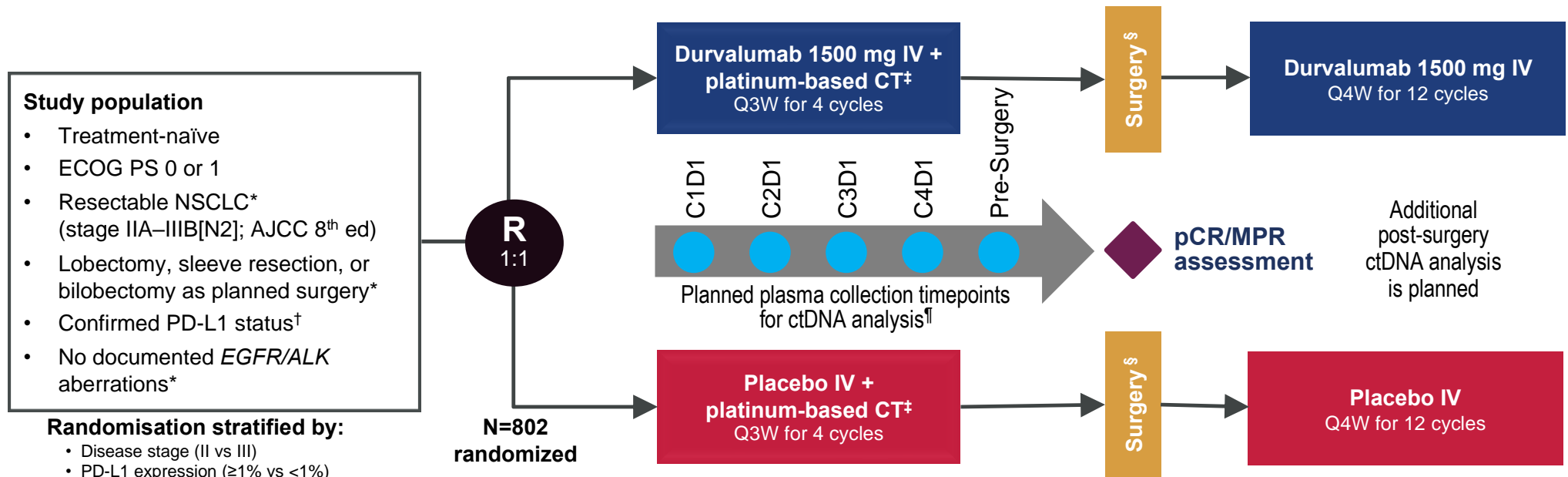


ctDNA assessments during neoadjuvant therapy

AEGEAN Study Design

Reck et al, ESMO 2023

Phase 3, global, randomised, double-blind, placebo-controlled study



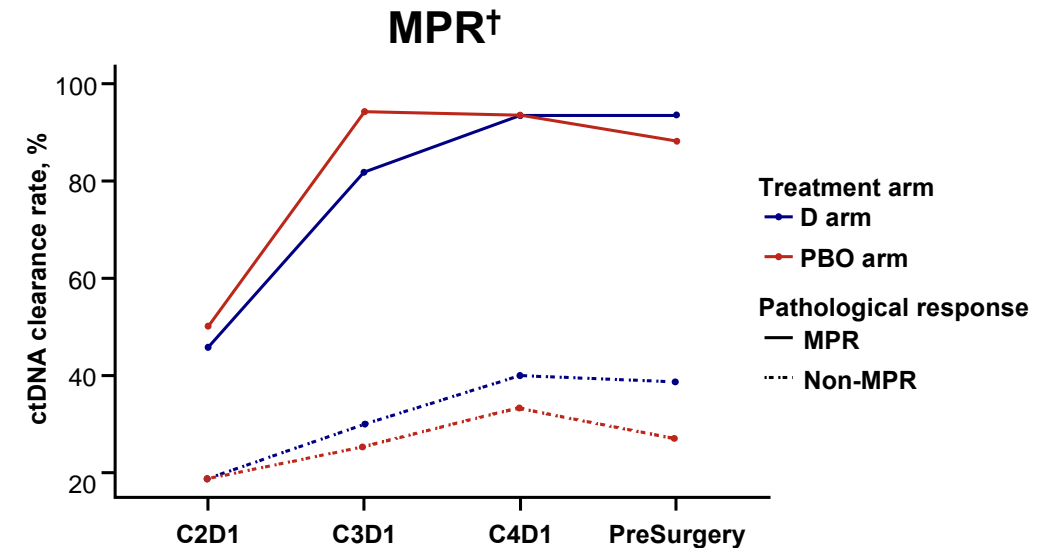
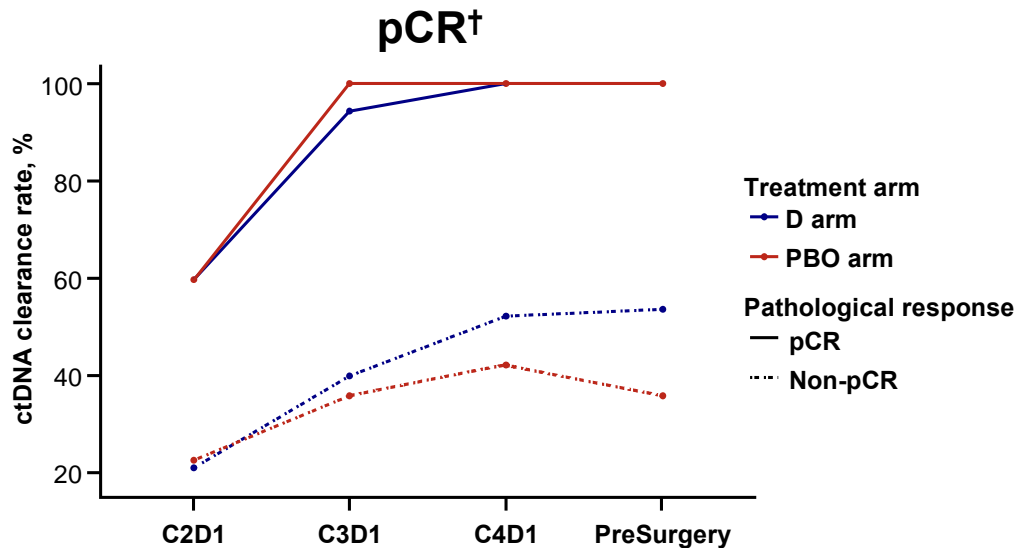
- Plasma samples were collected at protocol-specified timepoints, including prior to each neoadjuvant treatment cycle and before surgery
- Analysis was performed using Invitae Personalized Cancer Monitoring™, a tumour-informed MRD assay¹
 - Patient-specific tumour-informed panels were designed to include 16-50 variants, identified by whole exome sequencing of treatment-naïve diagnostic biopsies only (rather than on-study surgical resections) to avoid selection bias

*The protocol was amended while enrolment was ongoing to exclude (1) patients with tumours classified as T4 for any reason other than size; (2) patients with planned pneumonectomies; and (3) patients with documented *EGFR/ALK* aberrations. [†]Ventana SP263 immunohistochemistry assay. [‡]Choice of CT regimen determined by histology and at the investigator's discretion. For non-squamous: cisplatin + pemetrexed or carboplatin + pemetrexed. For squamous: carboplatin + paclitaxel or cisplatin + gemcitabine (or carboplatin + gemcitabine for patients who have comorbidities or who are unable to tolerate cisplatin per the investigator's judgment). [§]Post-operative radiotherapy was permitted where indicated per local guidance. [¶]Not all patients had samples available at all timepoints. AJCC, American Joint Committee on Cancer; CT, chemotherapy; ctDNA, circulating tumour DNA; CXDX, cycle X day X; ECOG PS, Eastern Cooperative Oncology Group performance status; IV, intravenous; MPR, major pathologic response; MRD, molecular residual disease; pCR, pathologic complete response; QXW, every X weeks; R, randomisation.

ctDNA clearance dynamics track with PCR

Association of ctDNA Clearance with pCR/MPR and Its Predictive Utility

- Among patients who were ctDNA-positive at baseline (C1D1), all patients achieving pCR and >90% of all patients achieving MPR had ctDNA clearance at C4D1*



- Patients without ctDNA clearance were unlikely to achieve pCR (NPV > 84.0% at C2D1 in both arms)
- Patients who achieved ctDNA clearance in the D arm vs the PBO arm were more likely to achieve pCR (PPV = 50.0% vs 14.3% at C2D1)

Predictive value of ctDNA clearance at different timepoints for pCR

| D arm | pCR | |
|------------|-------|--------|
| | PPV | NPV |
| C2D1 | 50.0% | 84.9% |
| C3D1 | 43.6% | 97.1% |
| C4D1 | 40.5% | 100.0% |
| PreSurgery | 41.5% | 100.0% |

| PBO arm | pCR | |
|------------|-------|--------|
| | PPV | NPV |
| C2D1 | 14.3% | 96.9% |
| C3D1 | 18.2% | 100.0% |
| C4D1 | 18.2% | 100.0% |
| PreSurgery | 19.4% | 100.0% |

*In the BEP, pCR (25.6% vs 6.3%) and MPR (44.4% vs 18.8%) rates were higher in the D arm vs the PBO arm.
 †The plots include all evaluable patients at each timepoint.
 NPV, negative predictive value; PPV, positive predictive value.

PanCanadian Project Program

**Neoadjuvant precision therapy for
non-small cell lung cancer: A platform
for discovery**



THE TERRY FOX RESEARCH INSTITUTE
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Take home messages

- Embrace change and don't get too comfortable!
- "Skate to where the puck is going, not where it has been"
 - Wayne Gretzky
- We have only seen the tip of the iceberg
- A robust understanding of medical oncology is required for modern high level lung cancer surgery
- We must leverage both the curative potential of surgery and its unique potential for discovery



Questions?



McGill

Department of
Surgery